

Fund/SERV®	<p>Membership Fee: \$50.00 per month</p> <p>Transaction Fee: \$0.06 per transaction</p>
Networking	<p>Membership Fee: \$200.00 per month</p> <p>Activity Fee (all types except for Networking Omnibus Activity/Position/529 Aggregation Files (Omni/SERV): \$0.10 per 100 records (\$.001 per record)</p> <p>Networking Omnibus Activity/Position/529 Aggregation Files (Omni/SERV): \$1,500.00 monthly file fee for omnibus file transmissions</p>
DTCC Payment aXis®	
Commission and Fee Settlement	<p>Membership Fee: \$50 per month</p> <p>Processing Fees: \$50 monthly minimum</p> <ul style="list-style-type: none"> • From 1 to 500,000 records, \$0.30 per hundred records • From 500,001 to 1,000,000 records, \$0.20 per hundred records • More than 1,000,000 records, \$0.10 per hundred records
Invoicing and Fee Settlement	<p>Membership Fee: \$500 per month</p> <p>Processing (Excluding Detail Records) Fees:</p> <ul style="list-style-type: none"> • From 1 to 500,000 records, \$0.10 per 1 record • From 500,001 to 1,000,000 records, \$0.08 per 1 record • More than 1,000,000 records, \$0.06 per 1 record <p>Detail Record Fees:</p> <ul style="list-style-type: none"> • From 1 to 500,000 records, \$0.30 per hundred records • From 500,001 to 1,000,000 records, \$0.20 per hundred records • More than 1,000,000 records, \$0.10 per hundred records
Mutual Fund Profile Service	<p>Phase I (Price and Rate) Only: \$325.00 per month</p> <p>Phase I and II: \$1,250.00 per month*</p> <p><small>* Fund subscribers of Profile II with 1-25 Security Issue IDs will receive a \$1,000 credit per month against the base \$1,250 fee, thus the net fee for small fund families will be \$250 per month</small></p>

Updated: 01/01/21