



DTCC MUTUAL FUND SERVICES

2023 FEE SCHEDULE

Fund/SERV®	Membership Fee: \$50.00 per month Transaction Fee: \$0.06 per transaction
Networking	Membership Fee: \$200.00 per month Activity Fee (all types except for Networking Omnibus Activity/Position/529 Aggregation Files (Omni/SERV): \$0.10 per 100 records (\$.001 per record) Networking Omnibus Activity/Position/529 Aggregation Files (Omni/SERV): \$1,500.00 monthly file fee for omnibus file transmissions
DTCC Payment aXis® Commission and Fee Settlement Invoicing and Fee Settlement	Membership Fee: \$50.00 per month Processing Fees: \$50.00 monthly minimum <ul style="list-style-type: none"> • From 1 to 500,000 records, \$0.30 per hundred records • From 500,001 to 1,000,000 records, \$0.20 per hundred records • More than 1,000,000 records, \$0.10 per hundred records Membership Fee: \$500.00 per month Processing (Excluding Detail Records) Fees: <ul style="list-style-type: none"> • From 1 to 500,000 records, \$0.10 per 1 record • From 500,001 to 1,000,000 records, \$0.08 per 1 record • More than 1,000,000 records, \$0.06 per 1 record Detail Record Fees: <ul style="list-style-type: none"> • From 1 to 500,000 records, \$0.30 per hundred records • From 500,001 to 1,000,000 records, \$0.20 per hundred records • More than 1,000,000 records, \$0.10 per hundred records
Mutual Fund Profile Service	Phase I (Price and Rate) Only: \$325.00 per month Phase I and II: \$1,250.00 per month* <small>* Fund subscribers of Profile II with 1-25 Security Issue IDs will receive a \$1,000 credit per month against the base \$1,250 fee, thus the net fee for small fund families will be \$250 per month.</small>
MF Info Xchange	Tier 1: \$1,500.00 per month for Fund Members with greater than 25 Security Issue IDs on Fund/SERV, and all users other than Fund members. Tier 2: \$250.00 per month for Fund Members with 25 or fewer Security Issue IDs on Fund/SERV.