Onboarding Overview

➢ Applicant is introduced to its FICC team, which includes a dedicated representative from FICC Integration that will serve as the applicant's primary point of contact through the entire onboarding process, from initiation to activation.

➢ Applicant attends periodic check-in meetings with FICC Integration to discuss application status and address applicant's questions throughout the duration of onboarding process.

Vetting	Application Phase	Risk Review	Operational Readiness	Activation

Vetting Process

- Review products, services and available access models
- Obtain understanding of application expectations, timelines, and membership requirements.

Documentation

- Complete required documentation needed for desired membership type.
- Meet with FICC Integration on a regular basis to review documentation submissions and answer questions, as needed,
- Submit estimated trade volumes.
- Complete and execute legal agreements.

Credit & Market Risk Review

- DTCC Risk reviews all documentation and may reach out for further due diligence information, as needed.
- Attend training session with DTCC Market Risk to understand risk trading requirements.
- Obtain DTCC Risk approval to move application forward
- Obtain understanding of ongoing risk membership requirements.

PSE Set Up

- Partner with FICC Integration and other stakeholders to get leased line set up, firewalls permissioned, IP set up, entitlements granted, and test account configurations complete.

Client Testing

- Participate in formal conformance testing based on product-specific requirements and provide sign-off once completed.

New Account Training

- Complete new account training with DTCC Learning.

Pre-Production

- Ensure production readiness with DTCC & Integration contact (i.e., connectivity, user set ups, access coordinators, etc.).

Go Live and Support

- Participate in successful Day 1 go-live.
- Client relationship is handed over from FICC Integration to DTCC RM for future support.

DTCC