

Andrew Gray

Managing Director, Group Chief Risk Officer



Andrew Gray is Managing Director, Group Chief Risk Officer, with global responsibility for all aspects of DTCC's Risk Management, including Credit, Market & Liquidity Risk, Model Risk, Operational Risk Management, Third Party Risk Management, Systemic Risk, Information Security and Technology Risk Management, Business Continuity Management and Global Security Management. Andrew also has responsibility for DTCC's Enterprise Data Management program.

Prior to this role, Andrew served as Managing Director, Core Business Management, with overarching responsibility for DTCC's businesses, including Clearance and Settlement of Equities and Fixed Income products, Asset Services, Wealth Management Services, Insurance & Retirement Services, Institutional Trade Processing and Data Services as well as the firm's Marketing & Communications function. Andrew serves on the Board of the International Securities Services Association (ISSA). He also serves as a Board member for the external Advisory Board of the NYU Stern Volatility and Risk Institute (VRI) as well as the Board of Trustees of Global Association of Risk Professionals (GARP).

Before joining DTCC in September 2009, Andrew spent more than a decade with Merrill Lynch. Most recently, he served as Managing Director and Chief Operating Officer for Merrill's Latin American and Canadian businesses. Prior to that, he was a Managing Director in Strategy and Business Development for Merrill's Global Markets and Investment Banking businesses and for Global Securities Research & Economics. Previously, Andrew was a principal at Booz-Allen & Hamilton, the global management consulting firm.

About DTCC

With over 45 years of experience, DTCC is the premier post-trade market infrastructure for the global financial services industry. From 21 locations around the world, DTCC, through its subsidiaries, automates, centralizes and standardizes the processing of financial transactions, mitigating risk, increasing transparency and driving efficiency for thousands of broker/dealers, custodian banks and asset managers. Industry owned and governed, the firm simplifies the complexities of clearing, settlement, asset servicing, data management, data reporting and information services across asset classes, bringing increased security and soundness to financial markets. In 2020, DTCC's subsidiaries processed securities transactions valued at more than U.S. \$2.3 quadrillion. Its depository provides custody and asset servicing for securities issues from 170 countries and territories valued at U.S. \$73.5 trillion. DTCC's Global Trade Repository service, through locally registered, licensed, or approved trade repositories, processes 15 billion messages annually. To learn more, please visit us at www.dtcc.com or connect with us on [LinkedIn](#), [Twitter](#), [YouTube](#) and [Facebook](#).