

Ann E. Bergin

Managing Director & General Manager, Wealth Management Services and Asset Services



Ann Bergin is Managing Director and General Manager, Wealth Management Services and Asset Services, for The Depository Trust & Clearing Corporation (DTCC). She is responsible for a business portfolio that supports domestic and global mutual funds, insurance and alternative investment products, and further enabling client delivery synergies across Asset Services.

Ann joined DTCC's National Securities Clearing Corporation (NSCC) subsidiary in 1997. Before joining DTCC, Ann was Senior Vice President at Bisys Fund Services, Inc.

She also spent four years with Concord Financial Group as Senior Vice President of Administration. Ann started her career with The Dreyfus Corporation. In 2007, Ann was honored with a Fund Operations Award for Leadership by SourceMedia for bringing efficiencies and scalability to the managed solutions market and was similarly honored in 2003 for leadership to the mutual funds industry.

Ann is actively involved in numerous associations that represent the mutual fund, managed accounts and alternative investment product industries, including The Investment Company Institute (ICI), The Money Management Institute, the Securities Industry and Financial Markets Association (SIFMA). Additionally, she was a member of the Joint NASD/Industry Task Force on Breakpoints and the NASD Omnibus Account Task Force.

In March 2004, she testified before the U.S. Senate Committee on Banking, Housing and Urban Affairs – giving valuable insight on NSCC's role in combating late trading of funds.

About DTCC

With over 45 years of experience, DTCC is the premier post-trade market infrastructure for the global financial services industry. From operating facilities, data centers and offices in 15 countries, DTCC, through its subsidiaries, automates, centralizes and standardizes the processing of financial transactions, mitigating risk, increasing transparency and driving efficiency for thousands of broker/dealers, custodian banks and asset managers. Industry owned and governed, the firm simplifies the complexities of clearing, settlement, asset servicing, data management, data reporting and information services across asset classes, bringing increased security and soundness to financial markets. In 2019, DTCC's subsidiaries processed securities transactions valued at more than U.S. \$2.15 quadrillion. Its depository provides custody and asset

servicing for securities issues from 170 countries and territories valued at U.S. \$63.0 trillion. DTCC's Global Trade Repository service, through locally registered, licensed, or approved trade repositories, processes over 14 billion messages annually. To learn more, please visit us at www.dtcc.com or connect with us on [LinkedIn](#), [Twitter](#), [YouTube](#) and [Facebook](#).