



Standing Instructions Database

3. Standing Instructions Database Machine-Readable Institution Account Information for Broker-Dealers (SIDBAA)

(Data Dump)



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1. Introduction

The Standing Instructions Database (SID) is a relational database which serves as the central repository for all customer/account and settlement information for the purpose of inclusion in ID confirmations. This document describes DTC's SIDBAA function, which allows ID Broker/Dealers to receive information extracted from the Standing Instructions Database (SID) via DTC's Data Transmission Facility (DTF).

Users who communicate with DTC via CCF-II (RJE, SNA/RJE, BDT or NDM) should read the appropriate CCF-II System User Guide.

Users who communicate with DTC via CCFUSER software should read the CCF User Guide before reading this document.

Additional prerequisites for a thorough understanding of this document are a familiarity with computer operations.

2. The SIDBAA Function

The SIDBAA function uses DTC's Data Transfer Facility (DTF) to allow ID Broker/Dealers to receive Institution account information from the SID database in machine readable format. The information consists of Institution account data which has been assigned by the Institution to one or more ID Broker/Dealers for the execution of trades on their behalf. Brokers requesting this information will receive only those accounts which the Institution has assigned to them. This document provides basic information for the use of DTF as well as a description of the file produced by the SID system.

To receive information from the SIDBAA function the Broker/Dealer must contact their SID service representative at DTC. This will allow the SID service representative to obtain pertinent information necessary for processing the request. This includes:

- ID Number of the Broker
- Type of account information requested:
 - All Accounts
 - Linked Accounts
 - Unlinked Accounts
- Institution number (optional)

The SIDBAA file is produced daily and is available approximately between 7:00 P.M. and 3:00 P.M. of the following day.



3. SIDBAA Record Information

The SIDBAA files are available via CCF and CCF-II.

Users requesting SIDBAA via CCF will normally receive a file comprised of a CCF Header record followed by the SIDBAA detail records.

Users requesting SIDBAA via CCF-II will receive a file comprised of CCF-II Header and Trailer records separated by the SIDBAA detail records.

The CCF Header record, CCF-II Header and Trailer records, and the SIDBAA record are described on the following pages.



4. Record Formats

4.1 CCF Header Record

The first record on the function file will be a header record when HEADER=YES is specified as a CCFDTFDB parameter. The Header Record contains information regarding the creation of the file. The CCF Header Record format is as follows:

Position	Length	Format	Field Name	CCF Header Record Field Description
1-6	06	Character X(06)	Record Type Requested	Data type name with which this data was requested (function name).
7-12	06	Character X(06)	Record Type Created	Data type name with which this data was created (function name).
13-20	08	Character X(08)	Data Creation Date	Date of data in MM/DD/YY format.
21-28	08	Character X(08)	DTC Data Load Date	DTC data load date in MM/DD/YY format.
29-36	08	Character X(08)	DTC Data Load Time	DTC data load time in HH/MM/SS format.
37-38	02	Numeric 9(02) COMP	Record Size	Size of each data record.
39-42	04	Numeric 9(04) COMP	Block Count	Number of data blocks input to CCFDTFDB.
43-46	04	Numeric 9(04) COMP	Record Count	Number of data records.
47-??	??	Character X(??)	Filler	DTC use only; do not use.



4.2 CCF-II Header and Trailer Records

The format of each CCF-II Header and Trailer record is as described below. Please note that the Header and Trailer records are identical except for the first and last field of each record.

Position	Length	Format	Field Name	CCF-II Header and Trailer Rec Field Descr	(Page 1 of 2)
1-3	3	Character X(03)	Record ID	Record ID: HDR or TLR.	
4-7	4	Character X(04)	Signon ID	Signon ID.	
8-13	6	Character X(06)	Data Type Requested	Data type requested:	
14-19	6	Character X(06)	Data Type Created	Data type created:	
20-27	8	Character X(08)	Data Creation Date	Data creation date in MM/DD/YY format.	
28-35	8	Character X(08)	Data Load Date	Data load date in MM/DD/YY format.	
36-43	8	Character X(08)	Data Load Time	Data load time in HH:MM:SS format.	
44-47	4	Numeric 9(04)	Record Length	Record length of data requested.	
48-55	8	Numeric 9(8)	Record Count	Number of data records in file.	
56-59	4	Numeric 9(04)	Segments per Data Type	Number of 80-byte records per data type requested.	
60-74	15	Character X(15)	Filler	DTC use only; do not use.	



Position	Length	Format	Field Name	CCF-II Header and Trailer Rec Field Descr	(Page 2 of 2)
75-80	6	Character X(06)	Numbering Sequence	Numbering sequence, used as a data integrity check. HDR 000000 TLR 999999	



4.3 Transaction Header

Position	Length	Format	Field Name	Transaction Header Field Description
1-1	-1	Character X(01)	Feedback Indicator	Contains a 'b' (blank) on input. Contains a '?' to indicate when this request is returned with error(s) and cannot be processed by DTC. Contains an '*' on output to indicate a valid response.
2-2	3	Character X(01)	Production/Test Indicator	Distinguishes between an actual production transaction and a test transaction. P = Production T = Test (for future use)
3-8	6	Character X(06)	Record Type	Identifies the DTF function for this file. SIDBAA = Broker All Accounts
9-10	2	Numeric 9(02)	Record Suffix	
11-12	2	Numeric 9(02)	Version Number	Indicates the type of request made for this record. 01 = All accounts 02 = Linked accounts 03 = Unlinked accounts
13-18	6	Character X(06)	User Reference Number	Reserved for future use.
19-26	8	Character X(08)	Addressee	The entity on behalf of whom the transaction is processed.



4.4 Record 01—Customer Header Information

Position	Length	Format	Field Name	Record 01 Field Description
(Page 1 of 3)				
Positions 1 through 26: Transaction Header				
1-1	1	Character X(1)	Feedback Indicator	Contains a 'B' (blank) on input. Contains a '?' to indicate when this request is returned with error(s) and cannot be processed by DTC. Contains an '*' on output to indicate a valid response.
2-2	1	Character X(1)	Production/Test Indicator	Distinguishes between an actual production transaction and a test transaction. P = Production T = Test (for future use)
3-8	6	Character X(6)	Record Type	Identifies the DTF function for this file SIDBAA = Broker All Accounts
9-10	2	Numeric 9(2)	Record Suffix	
11-12	2	Numeric 9(2)	Version Number	Indicates the type of request made for this record. 01 = All accounts 02 = Linked accounts 03 = Unlinked accounts
13-18	6	Character (X6)	Record Sequence Number	Reserved for future use.
19-26	8	Character X(8)	Addressee	The entity on behalf of whom the transaction is processed.
27-30	4	Numeric 9(04)	Inquiry Response Code	Reserved for use with interactive inquiries. 0000—When not an inquiry response



Position	Length	Format	Field Name	Record 01 Field Description	(Page 2 of 3)
31-32	2	Character X(02)	Output Type	Output Record Type 01 = Customer Header	
33-40	8	Character X(08)	Institution Number	ID number for the Institution that controls the customer account; right justified, zero filled.	
41-56	16	Character X(16)	Institution Internal Account Number	The Institution's Customer Internal Account Number.	
57-59	3	Character X(03)	Receiver Role	The role of the ID entity recipient. IXA = ID Agent IXB = Broker-Dealer	
60-67	8	Character X(08)	Receiver Number	ID Number for the ID entity recipient; right justified, zero filled.	
68-75	8	Character X(08)	ID Agent Number	ID number for the ID Agent; right justified, zero filled.	
76-87	12	Character X(12)	ID Agent Internal Account Number	The ID Agent's Internal Account number for the customer of the Institution.	
88-95	8	Character X(08)	Effective Date	Date customer is to be effective on SID in CCYYMMDD format.	
96-96	1	Character X(01)	Record Type	Overall indicator whether this record designates an Add, Update, or Delete.	
97-97	1	Character X(01)	Process Indicator		
98-137	40	Character X(40)	Institution Name	Institution's name as it appears on the ID Master File.	
138-138	1	Character X(01)	Process Indicator		



Position	Length	Format	Field Name	Record 01 Field Description	(Page 3 of 3)
139-178	40	Character X(40)	Institution Internal Account Name	The Institution's Internal Account name for the customer's account; default will be the institution's name.	
179-179	1	Character X(01)	Process Indicator		
180-187	8	Character X(08)	Customer Number	Customer number as defined on the ID Master file if an ID entity.	
188-188	1	Character X(01)	Process Indicator		
189-228	40	Character X(40)	Customer Name	Customer name as defined on the ID Master file if an ID entity.	
229-229	1	Character X(01)	Process Indicator		
230-237	8	Character X(08)	Institution Number (Parent)	ID number for the parent institution associated with the child institution that controls the customer account.	



4.5 Record 12–Customer Detail Information

Position	Length	Format	Field Name	Record 12–Client Detail Info Field Description (Page 1 of 7)
Positions 1 through 26: Transaction Header				
1–1	01	Character X(01)	Feedback Indicator	<p>Contains a 'b' (blank) on input.</p> <p>Contains a '?' to indicate when this request is returned with error(s) and cannot be processed by DTC.</p> <p>Contains an '*' on output to indicate a valid response.</p>
2–2	01	Character X(01)	Production/Test Indicator	<p>Distinguishes between an actual production transaction and a test transaction:</p> <p>P = Production T = Test (for future use)</p>
3–8	06	Character X(06)	Record Type	<p>Identifies the type of data contained within this message:</p> <p>SIDBAA = Broker All Accounts</p>
9–10	02	Numeric 9(02)	Record Suffix	<p>01 = Sequence number of multiple segmented records.</p>
11–12	02	Numeric 9(02)	Version Number	<p>The version number of the input which defines the record format; this number is incremented as fields are added to or deleted from the format (see the cover page of this document for version number).</p>
13–18	06	Character X(06)	User Reference Number	<p>For future use.</p>
19–26	08	Character X(08)	Addressee	<p>The entity on whose behalf the transaction is being processed.</p>
27–30	04	Character X(04)	Filler	<p>DTC use only; do not use.</p>
31–32	02	Character X(02)	Output Record Type	<p>Output record type:</p> <p>12 = Client Detail Information Record.</p>



Position	Length	Format	Field Name	Record 12-Client Detail Info Field Description (Page 2 of 7)
33-40	08	Character X(08)	Institution Number	ID of the institution that controls the client account. Right justified, zero filled.
41-56	16	Character X(16)	Institution Internal Account Number	Institution's client internal account number. Left justified, trailing blanks.
57-64	08	Character X(08)	Effective Date	Effective date of transaction in CCYYMMDD format.
65-65	01	Character X(01)	PI Bank ID Code #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
66-76	11	Character X(11)	Bank Identification Code Number	Bank Identification Code of the Institution maintained by SWIFT.
77-77	01	Character X(01)	PI SS/Tax Type	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
78-78	01	Character X(01)	SS/Tax Type	Type of Tax Identifier: S = Social Security Number T = Tax Identifier Number
79-79	01	Character X(01)	PI SS/Tax Number	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
80-90	11	Character X(11)	SS/Tax Number	Valid SSN or Tax identifier for client.
91-91	01	Character X(01)	PI Disclose Non-ID	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
92-92	01	Character X(01)	Disclose Non-ID Client on Confirm	Indicator of whether the institution wishes the Broker-Dealer to suppress mailed confirmation to non-ID clients. Y = Suppress N = Don't suppress
93-93	01	Character X(01)	PI Sup Bkr Cfm to Ins	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.



Position	Length	Format	Field Name	Record 12–Client Detail Info Field Description (Page 3 of 7)
94–94	01	Character X(01)	Suppress Broker Mail Confirm to Institution	Indicator of whether the institution has signed Broker Mail Confirm Elimination Agreement (BMCEA) filed with DTC. Y = Agreement filed with DTC N = No agreement signed
95–95	01	Character X(01)	PI Sup Mnthly Stmt to Inst	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
96–96	01	Character X(01)	Suppress Monthly Statement to Institution	Indicator of whether the institution wishes the Broker-Dealer to suppress the monthly statement to the institution. Y = Suppress N = Don't suppress
97–97	01	Character X(01)	PI Sup Bkr Mail Cfm	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
98–98	01	Character X(01)	Suppress Broker Confirm to Client	Indicator of whether the institution wishes the Broker-Dealer to suppress the mailed confirmations to the client. Y = Suppress N = Don't suppress
99–99	01	Character X(01)	PI Sup Mthly Stmt to Client	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
100–100	01	Character X(01)	Suppress Monthly Statement to Client	Indicator of whether the institution wishes the Broker-Dealer to suppress the monthly statement to the client. Y = Suppress N = Don't suppress
101–101	01	Character X(01)	PI ID Agt Eff. Date	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
102–109	08	Character X(08)	ID Agent Effective Date	Effective date when the ID agent has been assigned to the client in CCYYMMDD format.
110–110	01	Character X(01)	PI ID Agent #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
111–118	08	Character X(08)	ID Agent Number	Agent's ID number. Right justified, zero filled.



Position	Length	Format	Field Name	Record 12–Client Detail Info Field Description (Page 4 of 7)
119–119	01	Character X(01)	PI ID Agent Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
120–159	40	Character X(40)	ID Agent Name	ID Agent’s name as it appears in the ID Master File.
160–160	01	Character X(01)	PI ID Agent I/A Identifier	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
161–172	12	Character X(12)	ID Agent Internal Account Identifier	ID Agent’s internal account number for the institution’s client.
173–173	01	Character X(01)	PI ID Agent I/A Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
174–213	40	Character X(40)	ID Agent Internal Account Name	ID Agent’s name for the above internal account (position 161).
214–214	01	Character X(01)	PI ID Agent Model Ident	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
215–217	03	Character X(03)	ID Agent Model Identifier	Settlement model number that points to the specific settlement model of the ID agent; default = 000.
218–218	01	Character X(01)	PI Beneficial Cust.	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
219–221	03	Character X(03)	Beneficial Customer	Designation of beneficial customer for this account. Blank = Not designated INS = Institution IXA = ID agent IP1 = ID Interested Party 1 IP2 = ID Interested Party 2 IP3 = ID Interested Party 3 NI1 = Non-ID interested party 1 NI2 = Non-ID interested party 2 NI3 = Non-ID interested party 3 NI4 = Non-ID interested party 4 NI5 = Non-ID interested party 5



Position	Length	Format	Field Name	Record 12–Client Detail Info Field Description (Page 5 of 7)
222–222	01	Character X(01)	PI Orig. Cfm Stmt	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
223–225	03	Character X(03)	Original Confirm Statement	Designation of receiver of original confirm statement for this account. Blank = Not designated INS = Institution IXA = ID agent IP1 = ID Interested Party 1 IP2 = ID Interested Party 2 IP3 = ID Interested Party 3 NI1 = Non-ID interested party 1 NI2 = Non-ID interested party 2 NI3 = Non-ID interested party 3 NI4 = Non-ID interested party 4 NI5 = Non-ID interested party 5
226–248	23	Character X(23)	Filler	DTC use only; do not use.
249–249	01	Character X(01)	PI Release Cfm to Agt	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
250–250	01	Character X(01)	Release Confirm to Agent	Indicator of whether the institution releases its copy of the ID confirm to the agent bank. Y = Release confirm N = Do not release confirm
251–251	01	Character X(01)	PI Sup Bkr Mail Cfm	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
252–252	01	Character X(01)	Suppress Broker Mail Confirm to Agent	Indicator of whether the ID Agent has a signed Broker Mail Confirm Elimination Agreement (BMCEA) filed with DTC. Y = Agreement filed with DTC N = No agreement signed
253–253	01	Character X(01)	PI Sup Mthly Stmt to Agent	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.



Position	Length	Format	Field Name	Record 12-Client Detail Info Field Description (Page 6 of 7)
254-254	01	Character X(01)	Suppress Monthly Statement to Agent	Indicator of whether the institution wishes the broker-dealer to suppress the monthly statement to the ID Agent. Y = Suppression N = No suppression
255-255	01	Character X(01)	PI Effective Date	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
256-263	08	Character X(08)	Affirming Party Effective on Trade Date	Date the ID entity has been assigned as the affirming party in format CCYYMMDD.
264-264	01	Character X(01)	PI Aff. Party Type	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
265-265	01	Character X(01)	Affirming Party Type	Identifies which party can affirm the trade: I = Institution A = ID Agent B = Both the institution and ID agent P = Interested party
266-266	01	Character X(01)	PI Affirming Party #	DTC use only; do not use
267-274	08	Character X(08)	Affirming Party Number	<ul style="list-style-type: none"> If Affirming Party Type (position 265) = P: 8-character identifier of interested party If Affirming Party Type (position 265) ... P: Spaces
275-275	01	Character X(01)	PI Aff Party Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
276-315	40	Character X(40)	Affirming Party Name	<ul style="list-style-type: none"> If Affirming Party Type (position 265) = P: Affirming party name as defined on the ID Master File If Affirming Party Type (position 265) ... P: Spaces
316-316	01	Character X(01)	PI Inst Match to Bkr	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.



Position	Length	Format	Field Name	Record 12-Client Detail Info Field Description (Page 7 of 7)
317-317	01	Character X(01)	Institution Match to Executing Broker	Indicates whether an institution wishes to participate in the matching program for institutional trades. Y = Match N = No match
318-318	01	Character X(01)	PI Match on Trd Date	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
319-319	01	Character X(01)	Match on Trade Date Indicator	Indicates whether the institution wants to perform matching on trade date; used in conjunction with the Institution Match to Executing Broker indicator (position 317). Y = Yes N = No
320-320	01	Character X(01)	PI Match on Price	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
321-321	01	Character X(01)	Match on Trade Price Indicator	Indicates whether the institution wants to perform matching on trade price; used in conjunction with the Institution Match to Executing Broker indicator (position 317). Y = Yes N = No
322-322	01	Character X(01)	PI Advice of Corr.	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
323-323	01	Character X(01)	Advice of Correction Indicator	Indicates whether the institution is to receive a copy of the original confirm when an advice of correction is received. Y = Yes N = No



4.6 Record 20—Customer Address

Position	Length	Format	Field Name	Record 20—Client Contact at Inst Fld Descrip (Page 1 of 2)
Positions 1 through 26: Transaction Header				
1-1	01	Character X(01)	Feedback Indicator	<p>Contains a 'b' (blank) on input.</p> <p>Contains a '?' to indicate when this request is returned with error(s) and cannot be processed by DTC.</p> <p>Contains an '*' on output to indicate a valid response.</p>
2-2	01	Character X(01)	Production/Test Indicator	<p>Distinguishes between an actual production transaction and a test transaction:</p> <p>P = Production T = Test (for future use)</p>
3-8	06	Character X(06)	Record Type	<p>Identifies the type of data contained within this message:</p> <p>SIDBAA = Broker All Accounts</p>
9-10	02	Numeric 9(02)	Record Suffix	<p>01 = Sequence number of multiple segmented records</p>
11-12	02	Numeric 9(02)	Version Number	<p>The version number of the input which defines the record format; this number is incremented as fields are added to or deleted from the format (see the cover page of this document for version number).</p>
13-18	06	Character X(06)	User Reference Number	<p>For future use.</p>
19-26	08	Character X(08)	Addressee	<p>The entity on whose behalf the transaction is being processed.</p>
27-30	04	Character X(04)	Filler	<p>DTC use only; do not use.</p>
31-32	02	Character X(02)	Output Record Type	<p>Output record type:</p> <p>20 = Client contact at institution</p>
33-40	08	Character X(08)	Institution Number	<p>Eight-character identifier of the Institution that controls the client account. Right justified, zero filled.</p>



Position	Length	Format	Field Name	Record 20–Client Contact at Inst Fld Descrip (Page 1 of 2)
41–56	16	Character X(16)	Institution Internal Account Number	The Institution's client internal account number. Left justified, trailing blanks.
57–64	08	Character X(08)	Effective Date	Effective date of transaction in CCYYMMDD format.
65–68	04	Character X(04)	Filler	DTC use only; do not use.
69–69	01	Character X(01)	PI Client First Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
70–84	15	Character X(15)	Client First Name	First name of the client contact.
85–85	01	Character X(01)	PI Client Last Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
86–110	25	Character X(25)	Client Last Name	Last name of the client contact.
111–297	186	Character X(178)	Filler	DTC use only; do not use.
298–298	01	Character X(01)	PI Client Phone Type	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
299–299	01	Character X(01)	Client Phone Type	Phone type (see Appendix 5.2, Eligible Telephone Types and Masks, page 46).
300–300	01	Character X(01)	PI Client Ph Type Descrip	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
301–330	30	Character X(30)	Client Phone Type Description	Phone type description (see Appendix 5.2, Eligible Telephone Types and Masks, page 46).



4.7 Record 30–Interested Parties

Transaction Header (positions 1 through 26).

Position	Length	Format	Field Name	Record 30–Interested Parties Field Descrip (Page 1 of 6)
Positions 1 through 26: Transaction Header				
1–1	01	Character X(01)	Feedback Indicator	<p>Contains a 'b' (blank) on input.</p> <p>Contains a '?' to indicate when this request is returned with error(s) and cannot be processed by DTC.</p> <p>Contains an '*' on output to indicate a valid response.</p>
2–2	01	Character X(01)	Production/Test Indicator	<p>Distinguishes between an actual production transaction and a test transaction:</p> <p>P = Production T = Test (for future use)</p>
3–8	06	Character X(01)	Record Type	<p>Identifies the type of data contained within this message:</p> <p>SIDBAA = Broker All Accounts</p>
9–10	02	Numeric 9(02)	Record Suffix	<p>01 = Sequence number of multiple segmented records.</p>
11–12	02	Numeric 9(02)	Version Number	<p>The version number of the input which defines the record format; this number is incremented as fields are added to or deleted from the format (see the cover page of this document for version number).</p>
13–18	06	Character X(06)	User Reference Number	<p>For future use.</p>
19–26	08	Character X(08)	Addressee	<p>The entity on whose behalf the transaction is being processed.</p>
27–30	04	Character X(04)	Unused	<p>Unused.</p>
31–32	02	Character X(02)	Output Record Type	<p>Output record type:</p> <p>30 = Interested Parties</p>



Position	Length	Format	Field Name	Record 30-Interested Parties Field Descrip (Page 2 of 6)
33-40	08	Character X(08)	Institution Number	Eight-character identifier of the institution that controls the client account. Right justified, zero filled.
41-56	16	Character X(16)	Institution Internal Account Number	The Institution's Client Internal Account Number. Left justified, trailing blanks.
57-64	08	Character X(08)	Effective Date	Effective date of transaction in CCYYMMDD format.
65-65	01	Character X(01)	PI I/P Type	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
66-66	01	Character X(01)	Interested Party Type	Identifies type of Interested Party. I = ID N = Non-ID C = ID Customer M = Institution A = Agent
67-67	01	Character X(01)	PI I/P Role #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
68-68	01	Character X(01)	Interested Party Role Number	The role of the Interested Party. 1 = First, 2 = Second, 3 = Third, etc Not applicable for Institution and Agent.
69-69	01	Character X(01)	PI I/P #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
70-77	08	Character X(08)	Interested Party Number	An ID Interested Party, Institution or Agent number as defined on the ID Master File or given by the Institution if a Non-ID Interested Party.
78-78	01	Character X(01)	PI I/P Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
79-118	40	Character X(40)	Interested Party Name	Institution, Agent or Interested Party name as defined on the ID Master File or as given by the Institution if it is a Non-ID Interested Party.



Position	Length	Format	Field Name	Record 30–Interested Parties Field Descrip (Page 3 of 6)
119–119	01	Character X(01)	PI I/P I/A #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
120–131	12	Character X(12)	Interested Party Internal Account Number	Internal Account Number at the Interested Party for this client. Not applicable for Institution and Agent.
132–132	01	Character X(01)	PI I/P Spl	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
133–157	25	Character X(25)	Interested Party Internal Account Name 1	Internal Account Name 1 for the customer at the Interested Party. Displayed on SID as two 25- character fields. (Please note: SID may default to the Interested Party’s name if not entered). Not applicable for Institution and Agent.
158–158	01	Character X(01)	PI I/P Spl	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
159–183	25	Character X(25)	Interested Party Internal Account Name 2	Internal Account Name 1 for the customer at the Interested Party. Displayed on SID as two 25- character fields. (Please note: SID may default to the Interested Party’s name if not entered). Not applicable for Institution and Agent.
184–184	01	Character X(01)	PI 1st Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
185–199	15	Character X(15)	Interested Party Contact First Name	First name of the contact.
200–200	01	Character X(01)	PI Last Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
201–225	25	Character X(25)	Interested Party Contact Last Name	Last name of the contact.



Position	Length	Format	Field Name	Record 30–Interested Parties Field Descrip (Page 4 of 6)
226–226	01	Character X(01)	PI I/P Role	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
227–229	03	Character X(03)	Interested Party Role	Indicates the role of the contact: DOM = Domestic INT = International
230–230	01	Character X(01)	PI I/P Title	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
231–233	03	Character X(03)	Interested Party Title	Title of the Interested Party.
234–237	04	Character X(04)	Filler	DTC use only; do not use.
238–238	01	Character X(01)	PI Address 1	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
239–278	40	Character X(40)	Interested Party Address 1	Address line 1 for the interested party.
279–279	01	Character X(01)	PI Address 2	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
280–319	40	Character X(40)	Interested Party Address 2	Address line 2 for the Interested Party.
320–320	01	Character X(01)	PI I/P City	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
321–360	40	Character X(40)	Interested Party City	City where the interested party is located.
361–361	01	Character X(01)	PI I/P State	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
362–363	02	Character X(02)	Interested Party State/Province	State or province where the interested party is located (see Appendix 5.2, Eligible State/Province Codes, page 45).



Position	Length	Format	Field Name	Record 30–Interested Parties Field Descrip (Page 5 of 6)
364–364	01	Character X(01)	PI Postal Cde	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
365–379	15	Character X(15)	Interested Party Postal Code	User-formatted postal code; can be alpha-numeric; initialized to blanks.
380–380	01	Character X(01)	PI Country	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
381–382	02	Character X(02)	Interested Party Country	Country where the Interested Party is located; valid country codes only accepted by the ID/SID system (for country codes, see Interactive ID Input/Output Information).
382–383	01	Character X(01)	PI Ph Mask	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
384–385	02	Character X(02)	Interested Party Phone Mask	A mask used to format the phone number based on geographical locations (see Appendix 5.2, Eligible Telephone Types and Masks, page 46).
386–386	01	Character X(01)	PI Phone #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
387–410	24	Character X(24)	Interested Party Phone Number	Formatted phone number string; for example, (212) 898-8000.
411–411	01	Character X(01)	PI Extension	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
412–416	05	Character X(05)	Interested Party Phone Extension	Unformatted extension number; if not defined, is filled with blanks.
417–417	01	Character X(01)	PI Ph Type	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
418–418	01	Character X(01)	Interested Party Phone Type	Phone type (see Appendix 5.2, Eligible Telephone Types and Masks, page 46).



Position	Length	Format	Field Name	Record 30–Interested Parties Field Descrip (Page 6 of 6)
419–419	01	Character X(01)	PI Descrip	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
420–449	30	Character X(30)	Interested Party Phone Type Description	Phone type description (see Appendix 5.2, Eligible Telephone Types and Masks, page 46).
450–450	01	Character X(01)	PI Monthly	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
451–451	01	Character X(01)	Suppress Monthly Statement	Indicates whether the institution wishes the broker-dealer to suppress the monthly statement to the interested party: Y = Suppression N = No suppression. Not applicable to Institution and Agent.
452–452	01	Character X(01)	PI Bkr Cfn	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
453–453	01	Character X(01)	Suppress Broker Confirm	Indicates whether the institution wishes the broker-dealer to suppress the broker confirm to the interested party: Y = Suppression N = No Suppression. Not Applicable to Institution and Agent.



4.8 Record 40-ID Agent Security Settlement Information

Position	Length	Format	Field Name	Record 40-ID Agt Sec Settlnmt Info Fld Descr (Page 1 of 7)
Positions 1 through 26: Transaction Header				
1-1	01	Character X(01)	Feedback Indicator	<p>Contains a 'b' (blank) on input.</p> <p>Contains a '?' to indicate when this request is returned with error(s) and cannot be processed by DTC.</p> <p>Contains an '*' on output to indicate a valid response.</p>
2-2	01	Character X(01)	Production/Test Indicator	<p>Distinguishes between an actual production transaction and a test transaction:</p> <p>P = Production T = Test (for future use)</p>
3-8	06	Character X(06)	Record Type	<p>Identifies the type of data contained within this message:</p> <p>SIDBAA = Broker All Accounts</p>
9-10	02	Character X(02)	Record Suffix	<p>01 = Sequence number of multiple segmented records.</p>
11-12	02	Numeric 9(02)	Version Number	<p>The version number of the input which defines the record format; this number is incremented as fields are added to or deleted from the format (see the cover page of this document for version number).</p>
13-18	06	Character X(06)	User Reference Number	<p>For future use.</p>
19-26	08	Character X(08)	Addressee	<p>The entity on whose behalf the transaction is being processed.</p>
27-30	04	Character X(04)	Filler	<p>DTC use only; do not use.</p>
31-32	02	Character X(02)	Output Type	<p>Output record type:</p> <p>40 = ID Agent security settlement</p>
33-40	08	Character X(08)	Institution Number	<p>Eight-character identifier of the institution that controls the client account. Right justified, zero filled.</p>



Position	Length	Format	Field Name	Record 40-ID Agt Sec Settlmnt Info Fld Descr (Page 2 of 7)
41-56	16	Character X(16)	Institution Internal Account Number	The institution's client internal account number. Left justified, trailing blanks.
57-64	08	Character X(08)	Effective Date	Effective date of ID Agent settlement instructions in CCYYMMDD format.
65-65	01	Character X(01)	PI ID Agent Model #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
66-68	03	Character X(03)	ID Agent Model Number	Settlement Model Number that points to the specific settlement model of the ID agent; default = 000 General Model.
69-69	01	Character X(01)	PI ID Agent Model Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
70-99	30	Character X(30)	ID Agent Model Name	Settlement model name that describes the specific settlement model of the ID agent; default = GENERAL.
100-100	01	Character X(01)	PI Location Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
101-103	03	Character X(03)	ID Agent Settlement Location (Country/ Depository)	Country or Depository in which the security is to be settled; can be overridden by client beneficial account information associated with this client (for settlement location codes, see Chapter 3.04, Interactive ID Input/Output Information).
104-104	01	Character X(01)	PI Settlement Location	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
105-144	40	Character X(40)	ID Agent Settlement Location Name (Country/ Depository)	Name of country or depository in which the security is to be settled (for country codes, see Chapter 3.04, Interactive ID Input/Output Information).
145-145	01	Character X(01)	PI Agent Sec. Type	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.



Position	Length	Format	Field Name	Record 40-ID Agt Sec Settlmnt Info Fld Descr (Page 3 of 7)
146-148	03	Character X(03)	ID Agent Security Type	Type of security that can be settled at the location; can be overridden by Customer Beneficial Account Information associated to this customer. See Appendix 5.3, Security Type Codes and Descriptions, on page 47. Note: Parent-Child Security Type relationships have not been finalized as of this printing.
149-149	01	Character X(01)	PI Sec. Type Name	Process Indicator: (A)dd, (U)pdate, (D)delete, or spaces.
150-189	40	Character X(40)	ID Agent Security Type Name	Description of Security Type.
190-190	01	Character X(01)	PI Clearer/Corrt #	Process Indicator: (A)dd, (U)pdate, (D)delete, or spaces.
191-198	08	Character X(08)	Clearer/Correspondent Number	Eight-character identifier of the Agent's Clearer or Correspondent; = DTC Participant number if the settlement location is DTC. Right justified, zero filled.
199-199	01	Character X(01)	PI Clearer/Corr Name	Process Indicator: (A)dd, (U)pdate, (D)delete, or spaces.
200-239	40	Character X(40)	Clearer/Correspondent Name	Clearing agent/correspondent name as it appears on the ID Master File.
240-240	01	Character X(01)	PI Clearer I/A #	Process Indicator: (A)dd, (U)pdate, (D)delete, or spaces.
241-256	16	Character X(16)	Clearer Internal Account Number	ID Agent's account number at the specified Clearer or Correspondent; can be overridden by client beneficial account information associated with this client.
257-257	01	Character X(01)	PI Stmt Suffix	Process Indicator: (A)dd, (U)pdate, (D)delete, or spaces.
258-282	25	Character X(25)	Settlement Suffix	Settlement-instruction related text; can be overridden by client beneficial account information associated with this client.



Position	Length	Format	Field Name	Record 40-ID Agt Sec Settlmnt Info Fld Descr (Page 4 of 7)
283-283	01	Character X(01)	PI Clearer Model #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
284-286	03	Character X(03)	Clearer Model Number	Settlement model number that points to the specific settlement model of the clearing agent; default = 000 General Model.
287-287	01	Character X(01)	PI Clearer Model Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
288-317	30	Character X(30)	Clearer Model Name	Settlement model name that describes the specific settlement model of the clearing agent; default = GENERAL.
318-318	01	Character X(01)	PI Effective Date	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
319-326	08	Character X(08)	Effective Date	Effective date of clearing agent settlement instructions in CCYYMMDD format.
327-327	01	Character X(01)	PI Clearer Set. Loc.	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
328-330	03	Character X(03)	Clearer Settlement Location (Country/ Depository)	Country or depository in which the security is to be settled (for settlement location codes, see Chapter 3.04, Interactive ID Input/Output Information).
331-331	01	Character X(01)	PI Set. Location Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
332-371	40	Character X(40)	Clearer Settlement Location Name (Country/ Depository)	Name of Country or Depository in which the security is to be settled (for settlement location codes, see Chapter 3.04, Interactive ID Input/Output Information).
372-372	01	Character X(01)	PI Clearer Sec. Type	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.



Position	Length	Format	Field Name	Record 40-ID Agt Sec Settlmnt Info Fld Descr (Page 5 of 7)
373-375	03	Character X(03)	Clearer Security Type	Type of security that can be settled at the location; can be overridden by Customer Beneficial Account Information associated to this customer. See Appendix 5.3, Security Type Codes and Descriptions, on page 47. Note: Parent-Child Security Type relationships have not been finalized as of this printing.
376-376	01	Character X(01)	PI Security Type Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
377-416	40	Character X(40)	Clearer Security Type Name	Description of Security Type.
417-417	01	Character X(01)	PI Clearer Split Currency Ind.	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
418-418	01	Character X(01)	Clearer Split Currency Indicator	Indicator of whether securities and currencies are to settle in different Locations. N = No Y = Yes
419-419	01	Character X(01)	PI Subcust./Br./ Depository #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
420-427	08	Character X(08)	Subcustodian/ Branch/ Depository Number	Eight-character identifier of the Subcustodian, branch or depository as defined on the ID Master File.
428-428	01	Character X(01)	PI Subcust./Br./ Depository Nm	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
429-468	40	Character X(40)	Subcustodian/ Branch/Depository Name	The name of the Subcustodian, branch or depository as defined on the ID Master File.
469-469	01	Character X(01)	PI Subcust./Br./ Depos. I/A #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.



Position	Length	Format	Field Name	Record 40-ID Agt Sec Settlmnt Info Fld Descr (Page 6 of 7)
470-485	16	Character X(16)	Subcustodian/ Branch/Depository Internal Account Number	The clearing agent's internal account number at the Subcustodian, branch or depository; for example, for a bank clearing at the Federal Reserve Bank (FED), it contains the bank's ABA number.
486-486	01	Character X(01)	PI Settlement Prefix	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
487-511	25	Character X(25)	Settlement Prefix	Free-form settlement-related instructions.
512-512	01	Character X(01)	PI Settlement Instructions 1	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
513-552	40	Character X(40)	Settlement Instructions 1	Free-form settlement-related instruction text.
553-553	01	Character X(01)	PI Settlement Instructions 2	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
554-593	40	Character X(40)	Settlement Instructions 2	Free-form settlement-related instruction text.
594-595	02	Character X(02)	Filler	DTC use only; do not use.
596-596	01	Character X(01)	PI ID Agent Sec. Type	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
597-599	03	Character X(03)	ID Agent Security Type (Parent)	The parent of the type of security that can be settled at the location; can be overridden by Customer Beneficial Account Information associated to this customer. See Appendix 5.3, Security Type Codes and Descriptions, on page 47. Note: Parent-Child Security Type relationships have not been finalized as of this printing.
600-600	01	Character X(01)	PI Clr Agent Sec Type	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.



Position	Length	Format	Field Name	Record 40-ID Agt Sec Settlmnt Info Fld Descr (Page 7 of 7)
601-603	03	Character X(03)	Clearing Agent Security Type (Parent)	<p>The parent of the type of security that can be settled at the location; can be overridden by Customer Beneficial Account Information associated to this customer.</p> <p>See Appendix 5.3, Security Type Codes and Descriptions, on page 47.</p> <p>Note: Parent-Child Security Type relationships have not been finalized as of this printing.</p>



4.9 Record 50–ID Agent Currency Settlement Information

Position	Length	Format	Field Name	Record 50–ID Agent Split Curr Info Fld Descr (Page 1 of 7)
Positions 1 through 26: Transaction Header				
1–1	01	Character X(01)	Feedback Indicator	Contains a 'b' (blank) on input. Contains a '?' to indicate when this request is returned with error(s) and cannot be processed by DTC. Contains an '*' on output to indicate a valid response.
2–2	01	Character X(01)	Production/Test Indicator	Distinguishes between an actual production transaction and a test transaction: P = Production T = Test
3–8	06	Character X(06)	Record Type	Identifies the type of data contained within this message: SIDBAA = Broker All Accounts
9–10	02	Numeric 9(02)	Record Suffix	01 = Sequence number of multiple segmented records
11–12	02	Numeric 9(02)	Version Number	The version number of the input which defines the record format; this number is incremented as fields are added to or deleted from the format (see the cover page of this document for version number).
13–18	06	Character X(06)	User Reference Number	For future use.
19–26	08	Character X(08)	Addressee	The entity on whose behalf the transaction is being processed.
27–30	04	Character X(04)	Filler	DTC use only; do not use.
31–32	02	Character X(02)	Output Type	Output record type: 50 = ID agent currency settlement
33–40	08	Character X(08)	Institution Number	Eight-character identifier of the Institution that controls the client account. Right justified, zero filled.



Position	Length	Format	Field Name	Record 50-ID Agent Split Curr Info Fld Descr (Page 2 of 7)
41-56	16	Character X(16)	Institution Internal Account Number	The institution's client internal account number; left justified, trailing blanks.
57-64	08	Character X(08)	Effective Date	Effective date of ID agent settlement instructions in CCYYMMDD format.
65-65	01	Character X(01)	PI ID Agent Model #	DTC use only; do not use.
66-68	03	Character X(03)	ID Agent Model Number	Settlement model number that points to the specific settlement model of the ID Agent; default = 000 General Model.
69-69	01	Character X(01)	PI ID Agent Model Name	DTC use only; do not use.
70-99	30	Character X(30)	ID Agent Model Name	Settlement model name that describes the specific settlement model of the ID Agent; default = GENERAL.
100-100	01	Character X(01)	PI Agt Settlement Loc	DTC use only; do not use.
101-103	03	Character X(03)	ID Agent Settlement Location (Country/ Depository)	Country or depository in which the security is to be settled; can be overridden by client beneficial account information associated to this client (for settlement location codes, see Chapter 3.04, Interactive ID Input/Output Information).
104-104	01	Character X(01)	PI Agt Settlement Loc Nm	DTC use only; do not use
105-144	40	Character X(40)	ID Agent Settlement Location Name (Country/ Depository)	Name of Country or Depository in which the security is to be settled (for settlement location codes, see Chapter 3.04, Interactive ID Input/Output Information).
145-145	01	Character X(01)	PI ID Agt Sec. Type	DTC use only; do not use



Position	Length	Format	Field Name	Record 50-ID Agent Split Curr Info Fld Descr (Page 3 of 7)
146-148	03	Character X(03)	ID Agent Security Type	Type of security that can be settled at the location; can be overridden by Customer Beneficial Account Information associated to this customer. See Appendix 5.3, Security Type Codes and Descriptions, on page 47. Note: Parent-Child Security Type relationships have not been finalized as of this printing.
149-149	01	Character X(01)	PI Agt Sec. Type Name	DTC use only; do not use.
150-189	40	Character X(40)	ID Agent Security Type Name	Description of security type.
190-190	01	Character X(01)	PI Clr/Corr #	DTC use only; do not use.
191-198	08	Character X(08)	Clearer/Correspondent Number	Eight-character identifier of the agent's clearer or correspondent.
199-199	01	Character X(01)	PI Clr/Corr Name	DTC use only; do not use.
200-239	40	Character X(40)	Clearer/Correspondent Name	Clearing agent/correspondent name as it appears on the ID master file.
240-240	01	Character X(01)	PI Clr I/A #	DTC use only; do not use.
241-256	16	Character X(16)	Clearer Internal Account Number	ID agent's account number at the specified clearer or correspondent; can be overridden by client beneficial account information associated with this client.
257-257	01	Character X(01)	PI Settle Suffix	DTC use only; do not use.
258-282	25	Character X(25)	Settlement Suffix	Free-form settlement instructions text.
283-283	01	Character X(01)	PI Clr Modl #	DTC use only; do not use.



Position	Length	Format	Field Name	Record 50-ID Agent Split Curr Info Fld Descr (Page 4 of 7)
284-286	03	Character X(03)	Clearer Model Number	Settlement model number that points to the specific settlement model of the clearing agent; default = 000 General Model.
287-287	01	Character X(01)	PI Clr Modl Name	DTC use only; do not use.
288-317	30	Character X(30)	Clearer Model Name	Settlement model name that describes the specific settlement model of the clearing agent; default = GENERAL.
318-318	01	Character X(01)	PI Effective Date	DTC use only; do not use.
319-326	08	Character X(08)	Effective Date	Effective date of clearing agent settlement instruction in CCYYMMDD format.
327-327	01	Character X(01)	PI Clr Settle Loc	DTC use only; do not use.
328-330	03	Character X(03)	Clearer Settlement Location (Country/ Depository)	Country or Depository in which the security is to be settled; (for settlement location codes, see Chapter 3.04, Interactive ID Input/Output Information).
331-331	01	Character X(01)	PI Clr Settle Loc Name	DTC use only; do not use.
332-371	40	Character X(40)	Clearer Settlement Location Name (Country/ Depository)	Name of Country or Depository in which the security is to be settled (for settlement location codes, see Chapter 3.04, Interactive ID Input/Output Information).
372-372	01	Character X(01)	PI Clr Security Type	DTC use only; do not use.



Position	Length	Format	Field Name	Record 50-ID Agent Split Curr Info Fld Descr (Page 5 of 7)
373-375	03	Character X(03)	Clearer Security Type	Type of security that can be settled at the location; can be overridden by Customer Beneficial Account Information associated to this customer. See Appendix 5.3, Security Type Codes and Descriptions, on page 47. Note: Parent-Child Security Type relationships have not been finalized as of this printing.
376-376	01	Character X(01)	PI Clr Security Type Name	DTC use only; do not use.
377-416	40	Character X(40)	Clearer Security Type Name	Description of security type.
417-417	01	Character X(01)	PI Clr Split Currency Ind	DTC use only; do not use.
418-418	01	Character X(01)	Clearer Split Currency Indicator	Indicator of whether securities and currencies settle in different locations. N = No Y = Yes
419-419	01	Character X(01)	PI Currency Settlement In	DTC use only; do not use.
420-422	03	Character X(03)	Currency Settlement In	Code of the currency in which the security is to be settled (for ISO currency codes, see Chapter 3.04, Interactive ID Input/Output Information).
423-423	01	Character X(01)	PI Currency Bank #	DTC use only; do not use.
424-434	11	Character X(11)	Currency Bank Number	The Bank Identifier code; for example, BIC Number, for the bank where the currency is being transacted.
435-435	01	Character X(01)	PI Currency Bank Name	DTC use only; do not use.



Position	Length	Format	Field Name	Record 50-ID Agent Split Curr Info Fld Descr (Page 6 of 7)
436-450	15	Character X(15)	Currency Bank Name	The name of the bank where the currency is being transacted.
451-451	01	Character X(01)	PI Currency Bank I/A #	DTC use only; do not use.
452-467	16	Character X(16)	Currency Bank Internal Account Number	Clearing agent's account number at the Currency Bank.
468-468	01	Character X(01)	PI Currency Bnk I/A Nm 1	DTC use only; do not use.
469-491	23	Character X(23)	Currency Bank Internal Account Name 1	Free-form text of currency bank account special instructions line 1.
492-492	01	Character X(01)	PI Currency Bnk I/A Nm 2	DTC use only; do not use.
493-524	32	Character X(32)	Currency Bank Internal Account Name 2	Free-form text of currency bank account special instructions line 2.
525-525	01	Character X(01)	PI Currency Instructions 1	DTC use only; do not use.
526-565	40	Character X(40)	Currency Instructions 1	Free-form text of currency bank settlement instructions line 1.
566-566	01	Character X(01)	PI Currency Instructions 2	DTC use only; do not use.
567-606	40	Character X(40)	Currency Instructions 2	Free-form text of currency bank settlement instructions line 2.
607-608	02	Character X(2)	Filler	DTC use only; do not use.



Position	Length	Format	Field Name	Record 50-ID Agent Split Curr Info Fld Descr (Page 7 of 7)
609-609	01	Character X(01)	PI ID Agt Sec. Type (Parent)	DTC use only; do not use.
610-612	03	Character X(03)	ID Agent Security Type (Parent)	The parent of the type of security that can be settled at the location; can be overridden by Customer Beneficial Account Information associated to this customer. See Appendix 5.3, Security Type Codes and Descriptions, on page 47. Note: Parent-Child Security Type relationships have not been finalized as of this printing.
613-613	01	Character X(01)	PI Clr Agt Sec Type (Parent)	DTC use only; do not use.
614-616	03	Character X(03)	Clearing Agent Security Type (Parent)	The parent of the type of security that can be settled at the location; can be overridden by Customer Beneficial Account Information associated to this customer. See Appendix 5.3, Security Type Codes and Descriptions, on page 47. Note: Parent-Child Security Type relationships have not been finalized as of this printing.



4.10 Record 60—Broker-Dealer Internal Account Information

Position	Length	Format	Field Name	(Output) Record 60 Broker-Dlr Int Acct Info (Page 1 of 3)
Positions 1 through 26: Transaction Header				
1-1	01	Character X(01)	Feedback Indicator	Contains a 'b' (blank) on input. Contains a '?' to indicate when this request is returned with error(s) and cannot be processed by DTC. Contains an '*' on output to indicate a valid response.
2-2	01	Character X(01)	Production/Test Indicator	Distinguishes between an actual production transaction and a test transaction: P = Production T = Test (for future use)
3-8	06	Character X(06)	Record Type	Identifies the type of data contained within this message SIDBAA = Broker All Accounts
9-10	02	Numeric 9(02)	Record Suffix	01 = Sequence number of multiple segmented records.
11-12	02	Numeric 9(02)	Version Number	The version number of the input which defines the record format; this number will be incremented as fields are added to or deleted from the format (refer to the cover page of this document for version number).
13-18	06	Character X(06)	User Reference Number	(Optional) reference assigned by the submitter.
19-26	08	Character X(08)	Addressee	The entity on whose behalf the transaction is being processed.
27-30	04	Character X(04)	Filler	DTC use only; do not use.
31-32	02	Character X(02)	Output Record Type	Output record type: 60 = Broker Internal Account information
33-40	08	Character X(08)	Institution Number	ID Number for the institution that controls the client account. Right justified, zero filled.



Position	Length	Format	Field Name	(Output) Record 60 Broker-Dlr Int Acct Info (Page 2 of 3)
41-56	16	Character X(16)	Institution Internal Account	The institution's client internal account number. Left justified, trailing blanks.
57-64	08	Character X(08)	Effective Date	Effective date of broker internal account information in CCYYMMDD format.
65-65	01	Character X(01)	PI Exec. Bkr #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
66-73	08	Character X(08)	Executing Broker Number	ID number for the broker-dealer. Right justified, zero filled.
74-74	01	Character X(01)	PI Exec. Bkr Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
75-114	40	Character X(40)	Executing Broker Name	Broker-dealer's name as it appears on the ID Master File.
115-115	01	Character X(01)	PI Exec. Bkr Model #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
116-118	03	Character X(03)	Executing Broker Model Number	Settlement Model Number that points to the specific settlement model of the broker-dealer; default = 000 General Model.
119-119	01	Character X(01)	PI Exec. Bkr Model Name	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
120-149	30	Character X(30)	Executing Broker Model Name	Settlement Model Name that describes the specific settlement model of the broker-dealer; default GENERAL.
150-150	01	Character X(01)	PI Bkr I/A #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
151-162	12	Character X(12)	Broker Internal Account Number	Internal account number of the broker-dealer for the client of the institution.



Position	Length	Format	Field Name	(Output) Record 60 Broker-Dlr Int Acct Info (Page 3 of 3)
163-163	01	Character X(01)	PI Branch #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
164-171	08	Character X(08)	Branch Number	Branch number assigned to a broker internal account. Right justified, zero filled.
172-172	01	Character X(01)	PI Bkr of Credit #	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
173-180	08	Character X(08)	Broker of Credit Number	Broker of credit number assigned to a broker internal account. Right justified, zero filled.
181-181	01	Character X(01)	PI Security Type	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
182-184	03	Character X(03)	Security Type	Type of security traded by the broker-dealer's account; can be overridden by Customer Beneficial Account Information associated with this customer. See Appendix 5.3, Security Type Codes and Descriptions, on page 47. Note: Parent-Child Security Type relationships have not been finalized as of this printing.
185-185	01	Character X(01)	PI Sec. Type Desc.	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
186-225	40	Character X(40)	Security Type Description	Description of Security Type.
226-226	01	Character X(01)	PI Currency Code	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
227-229	03	Character X(03)	Currency Code	The Currency Code of the currency in which the security is traded (for currency codes, see Chapter 3.04, Interactive ID Input/Output Information).
230-230	01	Character X(01)	PI Currency Desc.	Process Indicator: (A)dd, (U)pdate, (D)elete, or spaces.
231-260	30	Character X(30)	Currency Code Description	The currency Description in which the security is to be traded (for currency codes, see Chapter 3.04, Interactive ID Input/Output Information).





4.11 Record 99–Trailer Information

Position	Length	Format	Field Name	Record 99 Field Description
Positions 1 through 26: Transaction Header				
1-1	1	Character X(1)	Feedback Indicator	<p>Contains a 'B' (blank) on input.</p> <p>Contains a '?' to indicate when this request is returned with error(s) and cannot be processed by DTC.</p> <p>Contains an '*' on output to indicate a valid response.</p>
2-2	1	Character X(1)	Production/Test Indicator	<p>Distinguishes between an actual production transaction and a test transaction</p> <p>P = Production T = Test (for future use)</p>
3-8	6	Character X(6)	Record Type	<p>Identifies the DTF function for this file</p> <p>SIDBAA = Broker All Accounts</p>
9-10	2	Numeric 9(2)	Record Suffix	
11-12	2	Numeric 9(2)	Version Number	<p>Indicates the type of request made for this record</p> <p>01 = All accounts 02 = Linked accounts 03 = Unlinked accounts</p>
13-18	6	Character (X6)	Record Sequence Number	Reserved for future use
19-26	8	Character X(8)	Addressee	The entity on behalf of whom the transaction is processed
27-30	(04)	Numeric 9(04)	Inquiry Response Code	Reserved for interactive inquiries.
31-32	(02)	Character X(02)	Output Type	<p>Output record type</p> <p>99 = Trailer information</p>



Position	Length	Format	Field Name	Record 99 Field Description	(Page 2 of 2)
33-40	(08)	Character X(08)	Institution Number	ID Number for the Institution that controls the customer account; right justified, zero filled.	
41-56	(16)	Character X(16)	Institution Internal Account Number	The Institution's Customer Internal Account Number; left justified, trailing blanks.	
57-64	(08)	Character X(08)	Effective Date	Date the set of customer information is to be effective on SID in CCYYMMDD format.	
65-69	(05)	Character X(05)	Trailer Set Count	A five character counter indicating the number of records the user receives for each set of records, including the trailer; that is, a set of 01, 12, 30, 40 and 99 = 00005 as a trailer set count.	



5. Appendices

5.1 Eligible State/Province Codes

Code	Description	Code	Description	Code	Description
AB	Alberta	LB	Labrador	OK	Oklahoma
AK	Alaska	MA	Massachusetts	ON	Ontario
AL	Alabama	MB	Manitoba	OR	Oregon
AR	Arkansas	MD	Maryland	PA	Pennsylvania
AS	American Samoa	ME	Maine	PE	Prince Edward
AZ	Arizona	MH	Marshall Islands	PQ	Quebec
BC	British Columbia	MI	Michigan	PR	Puerto Rico
CA	California	MO	Missouri	PW	Palau
CO	Colorado	MP	Northern Mariana Is	RI	Rhode Island
CT	Connecticut	MS	Mississippi	SC	South Carolina
DC	District of Columbia	MT	Montana	SD	South Dakota
DE	Delaware	NB	New Brunswick	SK	Saskatchewan
FL	Florida	NC	North Carolina	TN	Tennessee
FM	Fed Sts of Micronesia	ND	North Dakota	TX	Texas
GA	Georgia	NE	Nebraska	UT	Utah
GU	Guam	NF	Newfoundland	VA	Virginia
HI	Hawaii	NH	New Hampshire	VI	Virgin Islands
IA	Iowa	NJ	New Jersey	VT	Vermont
ID	Idaho	NM	New Mexico	WA	Washington
IL	Illinois	NS	Nova Scotia	WI	Wisconsin
IN	Indiana	NT	Northwest Territories	WV	West Virginia
KS	Kansas	NV	Nevada	WY	Wyoming
KY	Kentucky	NY	New York	YT	Yukon Territory
LA	Louisiana	OH	Ohio		



5.2 Eligible Telephone Types and Masks

Phone Type	Description
B	Beeper
C	Cellular
D	Disaster Recovery
F	Fax
H	Home
M	Modem/Data Phone
T	Telex
W	Work

Phone Mask	Description
(...)-...-....	AG Argentina
(...)-....-....	F1 France (specific)
(...)-(.)-...-....	IN International
(...)-...-....	I2 International
(...).....	I3 International
(...)-...-....	I4 International
...(.)-...-..	LX Luxembourg
(...)-...-....	US United States

5.3 Security Type Codes and Descriptions

Type	Description
ABS	Asset-Backed Security
AGS	Agencies
BAS	Banker's Acceptances
CDS	Corporate Debt Securities
CER	Representative Certificates
CMO	Collateralized Mortgage Obligation
COD	Certificate of Deposit
CON	Convertible Bond
CPA	Commercial Paper
CPN	Coupons
CSH	Cash
EBD	Eurobonds
ECD	Euro CD
ECP	Euro CP
ENT	Euronotes
FMR	Freddie Mac REMICS
FNM	Fannie Mae
FPA	Face or Principal or Nominal Amount
FRM	Freddie Mac
GDS	Government Debt Securities
GMR	Ginnie Mae REMICS
GNM	Ginnie Mae
ITS	Indexed Treasury Securities

Type	Description
MBS	Mortgage Backed Securities
MMI	Money Market Instruments
MSC	Miscellaneous
MTN	Medium Term Notes
MUN	Municipal Bonds
NSD	Non-US Sovereign Debt
OMB	Other Mortgage Backed Securities
OMM	Other Money Market Instruments
OPC	Options Contracts
OPS	Option Shares
PRC	Premium Contracts
PRS	Premium Shares
RTE	Rentes
RTS	Rights
SHS	Equities (Shares)
TBI	Treasury
TEM	Tax Exempt Money Markets
TRB	Treasury Bonds
TRN	Treasury Notes
UNT	Units
WTS	Warrants
XCP	Tax Exempt Commercial Paper
Spaces	Not determined