CCF/CCF-II/MDH Transmission Guides

14.12 OWTBAL: Function User's Guide

The Depository Trust Company April 1997

Copyright © 1997 by The Depository Trust Company ("DTC"). All rights reserved. This work is proprietary and is intended for the exclusive use of DTC's Participants and other users of DTC's services. No part of this work may be reproduced or distributed (including by transmission) in any form or by any means, or stored in any information storage and retrieval system, without DTC's prior written permission.

All requests for additional copies of this work or inquiries about this work should be directed to DTC Participant Interface Planning.

Copyright © 1997 by The Depository Trust Company

14.12 OWTBAL: Function User's Guide

Table of Contents

Section	Title	Page
1.0	**The OWTBAL Function**	**1**
1.1	Availability of OWTBAL	1
2.0	**Transmission Header Records**	**2**
2.1	The CCF Header Record	2
2.2	The CCF-II Header and Trailer Record	3
3.0	**OWTBAL Function Record Layout**	**4**
3.1	Withdrawal by Transfer Detail Balance Record	4

Copyright © 1997 by The Depository Trust Company

1.0 The OWTBAL Function

A User may use the OWTBAL function in order to receive DTC's Withdrawal by Transfer Detail Balance information.

When using the OWTBAL function, the CCF User will receive a series of machine-readable records. Each record corresponds to a Withdrawal by Transfer transaction which has updated a Participant's account balance.

The OWTBAL function consists of Withdrawal by Transfer Detail Balances, at the end of the previous day. The OWTBAL transmission contains all outstanding Withdrawal by Transfer transactions, along with a Participant assigned Reference ID number for each.

The information received via the OWTBAL function may be used to automate the updating of a User's files and verification of the transaction processing sent by the User to DTC.

1.1 Availability of OWTBAL

OWTBAL will generally be available from 7:00 a.m. through 5:00 p.m. (Eastern Time) each day.

Copyright © 1997 by The Depository Trust Company

2.0 Transmission Header Records

2.1 The CCF Header Record

The first record on the function file will be a Header Record when "HEADER=YES" is specified as a CCFDTFDB parameter. The Header Record contains information regarding the creation of the file.

Note: NDM Users executing NDMDTF01, and RJE 3770 Users executing RJESDTF2, will receive the CCF Header Record below.

The CCF Header Record's format is as follows:

CCF Header Record

Position	Length	Format	Field Name	Field Contents
1	6	Character	Data Type Requested	Value "OWTBAL".
7	6	Character	Data Type Created	Value "OWTBAL".
13	8	Character	Creation Date	Date of data (MM/DD/YY).
21	8	Character	Spool Date	DTC data load date (MM/DD/YY).
29	8	Character	Load Time	DTC data load time (HH:MM:SS).
37	2	Binary	Record Size	Size of each data record.
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB.
43	4	Binary	Record Count	Number of data records.
47	???	Character	Filler	DTC use only; do not use.

Copyright © 1997 by The Depository Trust Company

2.2 The CCF-II Header and Trailer Record

The format of each CCF-II Header and Trailer Record is as described below. Please note that the Header and Trailer Records are identical except for the first and last field of each record.

CCF-II Header and Trailer Record

Position	Length	Format	Field Name	Field Contents
1	3	Character	Record Identifier	Record ID “HDR” or “TLR”.
4	4	Character	SIGNON ID	Signon ID.
8	6	Character	Data Type Requested	Value “OWTBAL”.
14	6	Character	Data Type Created	Value “OWTBAL”.
20	8	Character	Creation Date	Data Creation Date (MM/DD/YY).
28	8	Character	Spool Date	Data Load Date (MM/DD/YY).
36	8	Character	Load Time	Data Load Time (HH:MM:SS).
44	4	Numeric	Record Length	Record Length of data requested.
48	8	Numeric	Record Count	Number of data records in file.
56	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested.
60	15	Character	Filler	DTC use only; do not use.
75	6	Numeric	Sequence Number	Numbering Sequence. Used as a data integrity check. HDR ===> 000000 TLR ===> 999999

Copyright © 1997 by The Depository Trust Company

3.0 OWTBAL Function Record Layout

3.1 Withdrawal by Transfer Detail Balance Record

The Withdrawal by Transfer Detail Balance Record contains detailed information regarding each outstanding Withdrawal by Transfer transaction. The following is a description of the Detail Records:

Format of OWTBAL Detail Balance Record

Position	Length	Format	Field Name	Field Description
1	4	Character	Filler	DTC use only; do not use.
5	9	Character	CUSIP Number	The unique nine-character identification number assigned to the security being traded.
14	2	Character	Filler	DTC use only; do not use.
16	6	Numeric	WT In-Transfer Date	MMDDYY.
22	2	Character	Filler	DTC use only; do not use.
24	13	Numeric	Shares Face Value	The number of shares involved in the Withdrawal by Transfer.
37	15	Character	Reference ID Number	The Participant assigned identification number.
52	2	Character	Rush Indicator	Spaces = regular WT. “RW” = rush WT.
54	6	Character	Date of Aging Message	MMDDYY. Blank when no message exists.
60	250	Character	Aging Message	Blank when no message exists.
310	5	Numeric	Fractional Share Quantity	V99999.
315	11	Character	Filler	DTC use only; do not use.

Copyright © 1997 by The Depository Trust Company