4.06 Memo Segregation Activity (MEMOSG): Function User's Guide

WHAT'S NEW:

4/3/01 -- Added the following fields to section 2.3 Data Records -

69	1	Character	Foreign Ordinary Securities Indicator	" 0 " = Security is not eligible for Foreign Ordinary Securities processing. " 1 " = Security is eligible for Foreign Ordinary Securities processing.
70	11	Character	Filler	DTC use only. Do not use.

4.06 MEMOSG: Function User's Guide

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1.0 The MEMOSG Function

A CCF/CCF-II user may use the MEMOSG function in order to receive DTC System information regarding MEMO SEGREGATION Instructions.

The MEMOSG function will contain all the Memo Segregation instructions to a participant processed by DTC during the day's processing cycle regardless of the method of input. If a CCF/CCF-II user entered any Memo Segregation instructions to DTC through the PTS systems MSEG function those transactions will appear on the MEMOSG file.

1.1 Scheduling Use of MEMOSG

MEMOSG will generally be available from 8:30 p.m. until 4:30 p.m. the following day.

2.0 MEMOSG Record Formats

2.1 The CCF Header Record

The first record on the function file will be a header record when "HEADER=YES" is specified as a CCFDTFDB parameter. The header record contains information regarding the creation of the file.

Note: NDM users executing NDMDTF01 and RJE 3770 users executing RJESDTF2 will receive the following CCF Header record.

Position	Length	Format	Field	CCF Header Field Description
1	6	Character	Data Type Requested	Data type name with which this data was requested. MEMOSG
7	6	Character	Data Type Created	Data type name with which this data was created. MEMOSG
13	8	Character	Creation Date	Data Type Created (MM/DD/YY).
21	8	Character	Spool Date	(MM/DD/YY).
29	8	Character	Load Time	(HH:MM:SS).
37	2	Binary	Record Size	Size of each data record.
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB.
43	4	Binary	Record Count	Number of data records .
47	???	Character	Filler	DTC use only. Do not use.

2.2 The CCF-II Header and Trailer Records

The format of each CCF-II Header and Trailer record is as described below. Please note that the Header and Trailer records are identical except for the first and last field of each record.

Note: NDM users executing NDMDTF01 and RJE 3770 Users executing RJESDTF2 will receive the CCF Header record on the previous page.

Position	Length	Format	Field Name	CCF-II Header and Trailer Record (DTC Transmits to User) Description
1	3	Character	Record ID	Record ID "HDR" or "TLR".
4	4	Character	SIGNON ID	SIGNON ID.
8	6	Character	Data Type Requested	Data type name with which this data was requested. MEMOSG
14	6	Character	Data Type Created	Data type name with which this data was created. MEMOSG
20	8	Character	Creation Date	Data Creation Date (MM/DD/YY).
28	8	Character	Spool Date	Data Load Date (MM/DD/YY).
36	8	Character	Load Time	Data Load Time (HH:MM:SS).
44	4	Numeric	Record Size	Record Length of data requested.
48	8	Numeric	Block Count	Number of data records in file.
56	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested. (For RJE 3780 Users only.)
60	15	Character	Filler	DTC use only. Do not use.
75	6	Numeric	Sequence Number	Used as a data integrity check. HDR===>000000 TLR===>999999

2.3 Data Records

Each record will confirm to the format described below.

Position	Length	Format	Field Name	MEMOSG Detail Report Description
1	4	Character	Filler	DTC use only. Do not use.
5	4	Character	Participant Number	The number of the participant to which the record is being sent.
9	2	Character	Country Code	To be used for International CUSIP Number. If not used will be space filled.
11	9	Character	CUSIP Number	CUSIP Number.
20	1	Character	International Check Digit	If not used will be space filled.
2	9	Numeric	Filler	DTC use only. Do not use.
30	5	Character	Filler	DTC use only. Do not use.
35	1	Numeric	System Identifier	0 = DEFAULT 1 = CCF 2 = CF2 3 = PTS 4 = MDH 5 = REORG
36	8	Character	Processed Time	Format (HH:MM:SS). The actual time the transaction was processed by DTC.
44	9	Character	RBA Number	A unique identifying number which, along with the date, can be used for any correspondence with DTC.
53	6	Character	Process Date	Format MMDDYY.
59	1	Character	Action Code	" " = Overlay previous amount "A" = Add to previous amount "S" = Subtract from previous amount
60	9	Numeric	Share Quantity	Share Quantity, in units of 1.

Position	Length	Format	Field Name	MEMOSG Detail Report Description
69	1	Character	Foreign Ordinary Securities Indicator	" 0 " = Security is not eligible for Foreign Ordinary Securities processing. " 1 " = Security is eligible for Foreign Ordinary Securities processing.
70	11	Character	Filler	DTC use only. Do not use.