



CCF/CCF-II/MDH Transmission Guides

16.6 Collateral Loan Closing Balances (COLOAN) via CCF/CCF-II: User's Guide



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1. Objectives of This Chapter

The objectives of this chapter are:

- To give information about the Collateral Loan function (COLOAN).
- Illustrate the formats for required COLOAN records.

2. The COLOAN Function: Overview

CCF/CCF II users can receive DTC Collateral Loan Closing Balance information via the COLOAN function. In general, the COLOAN function gives DTC Pledgee Banks daily access to the Collateral Loan Closing Balance via CCF/CCF II in a machine-readable format.

Information received via the DTF/COLOAN function can be used to automate the updating of users' files and to verify transaction processing sent to DTC.

2.1 Availability of COLOAN

COLOAN is normally available daily from 4:00 a.m. until 5:00 p.m. (Eastern Time).

2.2 Available Transmission Modes

COLOAN files are available via CCF and CCF-II. Users requesting COLOAN via CCF receive a file consisting of a CCF Header Record followed by the requested COLOAN record. Users requesting COLOAN via CCF-II receive a file consisting of CCF-II Header and Trailer Records separated by the requested COLOAN record.

The formats for the CCF Header Record, CCF-II Header and Trailer Records, followed by the Collateral Loan Closing Balance Record are described in Section 3, COLOAN Record Templates, beginning on page 2.



3. COLOAN Record Templates

As mentioned in Section 2.2 (page 1), transmission record structure depends on whether a Participant is using CCF or CCF-II.

- For CCF users, the record structure consists of the CCF Header Record, followed by the Collateral Loan Closing Balance Record.
- For CCF-II users, the record structure consists of the CCF-II Header Record, followed by the Collateral Loan Closing Balance Record, followed by the CCF-II Trailer Record.

The formats of these records are detailed in the remaining parts of this section.



3.1 CCF Header Record

The CCF Header Record format is shown below.

Note: NDM users executing NDMDTF01, and RJE 3770 users executing RJESDTF2, receive the CCF Header Record described below:

CCF Header Record Format				
Position	Length	Format	Field Name	Field Description
1	6	Character	Data Type Requested	COLOAN Data type name with which this data was requested.
7	6	Character	Data Type Created	COLOAN Data type name with which this data was created.
13	8	Character	Data Date	<i>mm/dd/yy.</i>
21	8	Character	Data Load Date	<i>mm/dd/yy.</i>
29	8	Character	DTC Data Load Time	<i>hh:mm:ss.</i>
37	2	binary	Record Size	Size of each data record.
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB.
43	4	Binary	Record Count	Number of data records.
47	???	Character	Filler	DTC use only; do not use.



3.2 CCF-II Header and Trailer Record

The format of each CCF-II Header and Trailer Record is as described below. Please note that the Header and Trailer Records are identical except for the first and last field of each record.

CFF-II Header and Trailer Record (DTC Transmits to User) Format

Position	Length	Format	Field Name	Field Description
1	3	Character	Record Identifier	HDR or TLR.
4	4	Character	Signon ID	Signon ID.
8	6	Character	Data Type Requested	COLOAN.
14	6	Character	Data Type Created	COLOAN.
20	8	Character	Data Creation Date	<i>mm/dd/yy.</i>
28	8	Character	Data Load Date	<i>mm/dd/yy.</i>
36	8	Character	Data Load Time	<i>hh:mm:ss.</i>
44	4	Numeric	Record Length	Record Length of data requested.
48	8	Numeric	Record Count	Number of data records in file.
56	4	Numeric	80-byte Record Count	Number of 80-byte records per data type requested.
60	15	Character	Filler	DTC use only; do not use.
75	6	Numeric	Sequence	Numbering sequence; used as a data integrity check. HDR 000000 TLR 999999



3.3 Collateral Loan Closing Balance Record

The following is a description of the Collateral Loan Closing Balance Record:

Collateral Loan Closing Balance Record Format				
Position	Length	Format	Field Name	Field Description
1	4	Character	Filler	DTC use only; do not use.
5	4	Character	Participant Number	<i>nnnn</i> 4-character Participant number.
9	5	Character	Date of Loan	<i>yyddd</i> .
14	2	Character	Filler	DTC use only; do not use.
16	9	Character	CUSIP Number	9-character CUSIP number.
25	1	Character	Filler	DTC use only; do not use.
26	36	Numeric	Filler	DTC use only; do not use.
62	13	Numeric	Share Quantity	Share quantity, in units of 1.
75	14	Numeric	Expanded Price Per Share	9(7)V9(7) seven whole dollar characters followed by seven fractional dollar characters, with an implied decimal point in between (see note below).
89	18	Numeric	Expanded Valuation	9(16)V9(2) sixteen whole dollar characters followed by two characters representing cents, with an implied decimal point in between.
107	4	Character	Filler	DTC use only; do not use.

Note: The price per share is provided on a best-efforts basis only. DTC does not guarantee the accuracy of this field.