

VALUED ADR DELIVER ORDER

Business Transaction: **Valued ADR Deliver Order**

ISO Message Type: **MT543-Deliver Against Payment**

American Depository Receipt (ADR) DOs provides for the book-entry movements between participants with shares of a foreign corporation represented by an ADR.

Key: **M** = Mandatory, **O** = Optional

Mandatory Sequence A-General Information

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block-General Information	:16R:		GENL
M	Sender's Reference Number Sender's reference number	:20C:	:SEME//	16x
M	Message Function Field that identifies the function of the message	:23G:		NEWM

Optional Subsequence A1-Linkages (IMS TID)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block-Linkages	:16R:		LINK
M	IMS Transaction ID Inventory Management System (IMS) transaction identifier	:20C:	:RELA//	16x
M	End of Block-Linkages	:16S:		LINK

Optional Subsequence A1-Linkages (Obligation Warehouse)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block-Linkages	:16R:		LINK
M	Obligation Warehouse Control Number Obligation Warehouse Control Number for OW DOs	:20C:	:COMM//	16x Note: Mandatory for OW DO transaction. Format is 16 characters. The first character must be W and the remainder must be numeric. Format-WCCYYDDDDNNNNNNNNNN where W is a literal, CC-Century, YY-Year, DDD-Julian Day, NNNNNNNNN-8-byte sequential number.
M	End of Block-Linkages	:16S:		LINK

Optional Subsequence A1-Linkages (ID Control Number)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block-Linkages	:16R:		LINK
M	ID Control Number	:20C:	:PCTI//	16x Format is 16 characters. If value entered, must be 9 bytes alpha numeric with 7 trailing spaces. Otherwise, must be all spaces.
M	End of Block-Linkages	:16S:		LINK

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	End of Block-General Information	:16S:		GENL

Mandatory Sequence B-Trade Details

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block-Trade Details	:16R:		TRADEDET
M	Settlement Date Date on which the transaction should be settled.	:98A:	:SETT//	yyyymmdd

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	ISIN Identification of the financial Instrument (Country Code, CUSIP and Check Digit)	:35B:		<p>The literal "ISIN" followed by a space followed by the 12-character ISIN. Example-ISIN US1234567891.</p> <hr/> <p>Note: DTC accepts Non-U.S. ISIN for Deliver Orders.</p>

Optional Subsequence B1-Financial Instrument Attributes

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block-Financial Instrument Attributes	:16R:		FIA
O	CMO Factor Factor to determine the face value of an amortizing security. DOs only.	:92A:	:CUFC//	<p>99,999999999999</p> <p>No more than 2 whole digits may be present. No more than 12 fractional digits may be present. A decimal comma is always required.</p>
M	End of Block-Financial Instrument Attributes	:16S:		FIA

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Business Transaction ID	:22F:	:PROC/DTCY/	DO03
O	Fail Tracking Indicator Indicates whether a due bill is carried or not.	:22F:	:RPOR/DTCY/	<p>DBLY or DBLN.</p> <hr/> <p>Note: Formerly known as Due Bill Indicator Indicates whether due bills are carried on this issue. DBLY-Due bills are carried. DBLN-Due bills are not carried. If the field is not populated, DBLY will be assumed.</p>

M/O	Field Description	Tag	Qualifier(s)	Content Rules
O	Narrative-DTC DO Comments Provides additional settlement processing information.	:70E:	:SPRO//	35x crlf 35x crlf 35x crlf 35x crlf 35x crlf 35x The maximum length DTC will accept is 210, 6 lines of 35 characters. Each line should be separated by a CRLF (carriage return-line feed).
M	End of Block-Trade Details	:16S:		TRADEDET

Mandatory Sequence C-Financial Instrument/Account

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block-Financial Instrument/Account	:16R:		FIAC
M	Quantity Quantity of financial instrument to be settled expressed as units such as share quantity.	:36B:	:SETT//	UNIT/999999999, At least 1 whole digit is required. No more than 9 may be present. Fractions are not allowed. A decimal comma is always required.
M	Deliverer's Account Number Deliverer, payee, or pledgor account number. Could be DTCC Participant number or the deliverer's internal account number.	:97A:	:SAFE//	35x
M	End of Block-Financial Instrument/Account	:16S:		FIAC

Mandatory Sequence E-Settlement Details

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block-Settlement Details	:16R:		SETDET
O	Settlement Today Only Indicator Indicates if the transaction can only settle on settlement date or can attempt the next settlement date if past cutoff.	:22F:	:STCO/DTCY/	STOY or STON Valid Values: STOY-indicates that the transaction can only settle on the settlement date populated in the field 98A::SETT. STON-indicates that the transaction can attempt the next settlement date if cutoff has passes. IMS Reinroduce Profile will also be overridden in the event the transaction drops. If this optional field is not included, it indicates that the transaction can attempt the next settlement date if cutoff has passed. IMS Reinroduce Profile will not be overridden in the event the transaction drops.
O	PTA Indicator Indicates if the transaction should affect the Protected Transaction Account	:22F:	:STCO/DTCY/	PTAY or PTAN Valid Values: PTAY-Should affect the account or PTAN-Should not affect the account. If the field is not populated, 'PTAN' will be assumed.
O	Certification Indicator Indicator that is set to track if brokers warrant that they have the applicable certificate/papers.	:22F:	:STCO/DTCY/	CERY or CERN Valid Values: CERY-Yes or CERN-No
M	Reason Code The reason code for the transaction. The validity of a reason code is partly dependent upon the time of transmission.	:22F:	:SETR/DTCYREAS/	4x 0 followed by 3-digit DTCC reason code. Note: For submission of SFT Stock Loan deliver orders on valued and valued ADR transactions, please use Reason code SFT Stock Loan "200."
O	Prevent Pend Indicator Indicates if the transaction should be prevented from recycling.	:22F:	:SETS/DTCY/	PNDY or PNDN Valid Values: PNDY-do not permit recycling or PNDN-allow recycling

Mandatory Subsequence E1-Settlement Parties (Deliverer)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block-Settlement Parties	:16R:		SETPRTY
M	Deliverer Participant that delivers the security; for a payment order the payee; for collateral pledge the pledgor.	:95R:	:DEAG/DTCYPART/	00009999 0000 followed by 4 digits. All 4 digits are required.
O	Deliverer's Account Number Deliverer, payee, or pledgor account number. Could be DTCC Participant number or the deliverer's internal account number.	:97A:	:SAFE//	35x
M	End of Block-Settlement Parties	:16S:		SETPRTY

Mandatory Subsequence E1-Settlement Parties (Receiver)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block-Settlement Parties	:16R:		SETPRTY
M	Receiver Participant that receives the security; for a payment order, the payor; for collateral pledge the pledgee.	:95R:	:REAG/DTCYPART/	00009999 0000 followed by 4 digits. All 4 digits are required.
O	Receiver's Account Number Receiver, payor, or pledgee account number. Could be DTCC Participant number or the receiver's internal account number.	:97A:	:SAFE//	35x
M	Account Number	:20C:	:PROC//	16x Account information of institution receiving the shares.
M	BIC Bank Identification Code	:70D:	:REGI//	11x BIC of institution where shares are to be sent.
O	Free Format Text Text description. For example, a description of an error field.	:70C:	:PACO//	22x

FOR MORE INFORMATION

Email DTCC at:

DTCSupport@dtcc.com

or visit us on the web at:

<http://www.dtcc.com/client-center>