

Settlement Status (Long Version)

Business Transaction: **Settlement Status (Long Version)**

Version: 1.0

ISO Message Type: **MT548 - Settlement Status and Processing Advice**

These are optional messages are sent to the participant for "non-made" state changes in IMS/ATP occurs.

Key: **M** = Mandatory, **O** = Optional

Mandatory Sequence A - General Information

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - General Information	:16R:		GENL
O	DTCC Tracking Number	:20C:	:SEME//	16x
	Message Function			
O	Field that identifies the function of the message	:23G:		INST
	Transaction Update Date/Time			
O	Date the transmission was updated	:98C:	:PREP//	yyyymmddhhmmss

Mandatory Subsequence A1 - Linkages (IMS TID)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Linkages	:16R:		LINK
	IMS Transaction ID			
O	Inventory Management System (IMS) transaction identifier	:20C:	:RELA//	16x
M	End of Block - Linkages	:16S:		LINK

Mandatory Subsequence A1 - Linkages (ID Control Number)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Linkages	:16R:		LINK
	ID Control Number			
O	Unique reference used to identify Institutional Delivery (ID) transactions processed by Omgeo.	:20C:	:COMM//	16x
M	End of Block - Linkages	:16S:		LINK

Mandatory Subsequence A1 - Linkages (Obligation Warehouse)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
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M	Start of Block - Linkages	:16R:	LINK
	Obligation Warehouse Control Number		16x Note- Mandatory for OW DO transaction. Format is 16 characters. The first character must be W and the remainder must be numeric. Format- WCCYYDDDDNNNNNNNN where W is a literal, CC-Century, YY-Year, DDD-Julian Day, NNNNNNNN-8 byte sequential number
O	Obligation Warehouse Control Number for OW DOs	:20C: :COMM//	
M	End of Block - Linkages	:16S:	LINK

Mandatory Subsequence A1 - Linkages (Deliverer Reference Number)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Linkages	:16R:	LINK	
	Deliverer's Reference Number			
O	Assigned by payee (deliverer) for audit trail	:20C: :TRRF//	16x	
M	End of Block - Linkages	:16S:	LINK	

Mandatory Subsequence A1 - Linkages (IMS TID of Reclaimed Transaction)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Linkages	:16R:	LINK	
	IMS TID of Reclaimed Transaction			
	Unique transaction reference number assigned by the Inventory Management System (IMS) and used to process a reclaim or DK (don t know) request.			
O		:20C: :PREV//	16x	
M	End of Block - Linkages	:16S:	LINK	

Mandatory Subsequence A2 - Status

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Status	:16R:	STAT	
	Status Code			4x Valid Status Codes: AUTH - Authorized, CANA - ATP Cancelled, CANC - IMS Cancelled, MAKD - Made, NAL1 - CNS Level 1 Received, NAL2 - CNS Level 2 Received, PDRI - IMS Permanently Dropped, PDRP - Dropped Permanent,
O	The IMS or ATP states assigned as the transaction is processed through IMS and ATP.	:25D: :SETT/DTCY//		
	(Stage 4 change targeted for September 2014)			

Pre-Exempted, RATP - PDC Reduction Processed, RAUT - RAD Authorized, RDPR - ATP Dropped Reintroduced, RGRN - Recycling Green, RJCF - IMS Rejected to File, RJCT - ATP Rejected, RJCI - IMS Rejected, RLSD - Released, RSUB - Submitted to RAD, SUBA - Submitted to ATP, UNPR - Unprocessed, XMPT - Exempted, XPER - Recycling Express , **RXMP – Receiver RAD exempt**

Optional Subsequence A2a - Reason

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Reason	:16R:		REAS
O	Reject Code - Field Code Reject code	:24B:	:REJT/DTCY/	4x
O	Reject Code - Error Message Reason for the reject	:70D:	:REAS//	35x crlf 35x crlf 35x crlf 35x crlf 35x crlf 35x The maximum length DTC will accept is 210, 6 lines of 35 characters. Each line should be separated by a CRLF (carriage return - line feed).
M	End of Block - Reason	:16S:		REAS

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	End of Block - Status	:16S:		STAT

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	End of Block - General Information	:16S:		GENL

Optional Sequence B - Settlement Transaction Details

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Settlement Transaction Details	:16R:		SETTRAN
O	ISIN Identification of the financial Instrument (Country Code, CUSIP and Check Digit)	:35B:		The literal "ISIN" followed by a space followed by the 12 character ISIN. Note-DTC does not accept Non U.S. ISIN Example-ISIN US1234567891. Note-DTC does not accept Non U.S. ISIN
O	Quantity Quantity of financial instrument to be settled expressed as units such as share quantity	:36B:	:SETT//	UNIT/9999999999, At least 1 whole digit is required. No more than 9 may be present. Fractions are not

The contract date for an security payment order. The delivery date is the contract date and is required for reason codes.

Narrative - DTC DO Comments
 O Provides additional settlement processing information :70E: :SPRO//

35x crlf 35x crlf 35x crlf 35x crlf
 35x crlf 35x crlf 35x crlf 35x crlf
 35x crlf 35x
 The maximum length DTC will accept is 350, 10 lines of 35 characters. Each line should be separated by a CRLF (carriage return - line feed).

Optional Subsequence B1 - Settlement Parties (Deliverer)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Settlement Parties Deliverer	:16R:		SETPRTY
O	Participant that delivers the security; for a payment order the payee; for a collateral pledge the pledgor.	:95R:	:DEAG/DTCYPART/	00009999 0000 followed by 4 digits. All 4 digits are required.
O	Deliverer's Intermediary 1 Deliverer's Intermediary 1	:95Q:	DEI1/	35x max 4 lines of 35 bytes
O	Deliverer's Intermediary 2 Deliverer's Intermediary 2	:95R:	DEI2/OCCX	34x max 34 bytes
O	Deliverer's Account Number Deliverer, payee, or pledgor account number. Could be DTCC Participant number or the deliverer's internal account number.	:97A:	:SAFE//	35x
M	End of Block - Settlement Parties	:16S:		SETPRTY

Optional Subsequence B1 - Settlement Parties (Receiver)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Settlement Parties Receiver	:16R:		SETPRTY
O	Participant that receives the security; for a payment order, the payor; for a collateral pledge the pledgee.	:95R:	:REAG/DTCYPART/	00009999 0000 followed by 4 digits. All 4 digits are required.
O	Receiver's Intermediary 1 Receiver's Intermediary 1	:95Q:	REI1/	35x max 4 lines of 35 bytes
O	Receiver's Intermediary 2 Receiver's Intermediary 2	:95R:	REI2/OCCX	34x max 34 bytes
O	Receiver's Account Number Receiver, payor, or pledgee	:97A:	:SAFE//	35x

account number. Could be DTCC Participant number or the receiver's internal account number.

M End of Block - Settlement Parties :16S: SETPRTY

Optional Subsequence B1 - Settlement Parties (Place of Settlement)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Settlement Parties	:16R:		SETPRTY
O	Place of Settlement DTCC BIC Number	:95P:	:PSET//	DTCYUS33
M	End of Block - Settlement Parties	:16S:		SETPRTY

Optional Subsequence B1 - Settlement Parties (Deliverer - Intermediary)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Settlement Parties	:16R:		SETPRTY
O	Deliverer's Intermediary Depository Third Party field	:95R:	:DEI1/DTCYPART	34x
M	End of Block - Settlement Parties	:16S:		SETPRTY

Optional Subsequence B1 - Settlement Parties (Receiver - Intermediary)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Settlement Parties	:16R:		SETPRTY
O	Receiver's Intermediary Depository Third Party field	:95R:	:REI1/DTCYPART	34x
M	End of Block - Settlement Parties	:16S:		SETPRTY

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	End of Block - Settlement Transaction Details	:16S:		SETTRAN