

ISO 15022 Message Layouts

**Transaction
Command ISO
Messages**



May 2011

Transaction Command

 Business Transaction: **Transaction Command**

Version: 1.0

 ISO Message Type: **MT530 - Transaction Command**

This message is sent by a participant to DTCC for messages related to receiver authorized delivery (RAD), authorizations, cancels, pend holds, promotions, and exemptions.

 Key: **M** = Mandatory, **O** = Optional

Mandatory Sequence A - General Information

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - General Information	:16R:		GENL
M	Sender's Reference Number Sender's reference number	:20C:	:SEME//	16x
M	Message Function Field that identifies the function of the message	:23G:		NEWM
M	Safekeeper Identifies account where financial instruments are maintained.	:97A:	:SAFE//	35x
M	End of Block - General Information	:16S:		GENL

Mandatory Sequence B - Request Details

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Request Details	:16R:		REQD
M	Reference ID A reference number carried throughout the life of a transaction. Could be assigned by a matching utility, the deliverer, and the IMS or ATP systems.	:20C:	:COMM// :PREV// :RELA// :TRRF//	16x COMM is used for the TID assigned to the transaction by the matching utility. TRRF is used with the TID assigned to the transaction by the deliverer. PREV is used with the TID ATP assigned to the transaction. RELA is used with the TID IMS assigned to the transaction. RELA is also used with the text MULTIPLE to indicate multiple reference numbers that are specified in the B1 subsequence. Multiple reference numbers are specified with a command scope of GLBL, LIST and ASTN.
				4x Command must be one of the following: AUTH - authorization, XMPT - exemption, UXMP - unexemption (Invalid with the SECU scope), CANC - cancel transaction (Valid only with the LIST scope and invalid with the CNSS transaction type), PENC - cancel pending transaction (Valid only with the LIST scope), PENH - pend hold

<p>M</p>	<p>Command Indicator</p> <p>A settlement command that is applied to transactions. For example, authorize, exempt, and pend are commands.</p>	<p>:22F:</p>	<p>:SETT/DTCY/</p>	<p>transaction (Valid only with the LIST scope), PENB - pend hold with blockage (Valid only with the LIST scope), PENA - pend activate to release the pend hold or hold with blockage (Valid only with the LIST scope), MODE - change mode (Invalid with the LIST scope), PATH - partially authorize (Valid only with the SECU and LIST scopes), PXMP - partially exempt (Valid only with the SECU and LIST scopes), RLSD - release (Valid only with the LIST, ASTN or SECU scopes), PAUS - pause (Valid only with the GBL scope), UNPS - unpause (Valid only with the GBL scope), CPRI - promote transactions (Valid only with the LIST scope), UNAU - unauthorize (Invalid with the SECU scope), FREL - free release request (Valid only with the LIST scope), VREL - valued release request (Valid only with the LIST scope), FEDR - free release request to Federal Reserve Bank (Valid only with the LIST scope), FRAP - free release approval (Valid only with the LIST scope), VRAP - valued release approval (Valid only with the LIST scope), FEDA - release approval by Federal Reserve Bank (Valid only with the LIST scope), QIBA- Third Party Approval for a Security Holder tracked transaction (Only valid with a command scope of LIST), QIBD - Third Party Dis-Approval for a Security Holder tracked transaction (Only valid with a command scope of LIST), RAUT - receiver RAD authorize (Valid only with the LIST scope)</p>
<p>M</p>	<p>Command Scope Indicator</p> <p>Defines the parameters of the settlement command that is applied to transactions. For example, scope could apply to transactions globally, in a list, or by asset class-transaction type.</p>	<p>:22F:</p>	<p>:PROC/DTCY/</p>	<p>4x Command scope must be one of the following: GBL - global (Invalid with the CANC, RAUT, PENC, PENH, PENB and PENA commands and invalid with the CNSS transaction type), ASTN - by asset class/transaction type (Invalid with the CANC, RAUT, PENC, PENH, PENB and PENA commands), LIST - list of transactions, SECU - by security (Valid only with the PATH, PXMP and RLSD commands), ACTV or PASS - authorization profile status (Valid only with the MODE command)</p>

Optional, Repetitive Subsequence A1 - Linkages (Reference)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
<p>M</p>	<p>Start of Block - Linkages</p>	<p>:16R:</p>		<p>LINK</p>
<p>M</p>	<p>Reference ID</p> <p>A reference number carried throughout the life of a transaction. Could be assigned by a matching utility, the deliverer, and the IMS or ATP systems.</p>	<p>:20C:</p>	<p>:COMM// :PREV// :RELA// :TRRF//</p>	<p>16x COMM is used for the TID assigned to the transaction by the matching utility. TRRF is used with the TID assigned to the transaction by the deliverer. PREV is used with the TID ATP assigned to the transaction. RELA is used with the TID IMS assigned to the transaction.</p>
<p>M</p>	<p>End of Block - Linkages</p>	<p>:16S:</p>		<p>LINK</p>

Optional, Repetitive Subsequence A1 - Linkages (Reference)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
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M	Reference ID A reference number carried throughout the life of a transaction. Could be assigned by a matching utility, the deliverer, and the IMS or ATP systems.	:20C:	:COMM// :PREV// :RELA// :TRRF//	16x COMM is used for the TID assigned to the transaction by the matching utility. TRRF is used with the TID assigned to the transaction by the deliverer. PREV is used with the TID ATP assigned to the transaction. RELA is used with the TID IMS assigned to the transaction.
M	End of Block - Linkages	:16S:		LINK

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				16x

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M	End of Block - Linkages	:16S:		LINK

Optional, Repetitive Subsequence A1 - Linkages (Reference)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Linkages	:16R:		LINK
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M	End of Block - Linkages	:16S:		LINK

Optional, Repetitive Subsequence A1 - Linkages (Reference)

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Optional, Repetitive Subsequence A1 - Linkages (Reference)

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M	End of Block - Linkages	:16S:		LINK

Optional, Repetitive Subsequence A1 - Linkages (Reference)

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Linkages	:16R:		LINK
	Reference ID			16x COMM is used for the TID assigned to

M	A reference number carried throughout the life of a transaction. Could be assigned by a matching utility, the deliverer, and the IMS or ATP systems.	:20C:	:COMM// :PREV// :RELA// :TRRF//	the transaction by the matching utility. TRRF is used with the TID assigned to the transaction by the deliverer. PREV is used with the TID ATP assigned to the transaction. RELA is used with the TID IMS assigned to the transaction.
M	End of Block - Linkages	:16S:		LINK

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	End of Block - Request Details	:16S:		REQD

Optional Sequence C - Additional Information

M/O	Field Description	Tag	Qualifier(s)	Content Rules
M	Start of Block - Additional Information	:16R:		ADDINFO
O	Classification Type Type of DTCC defined asset class	:12A:	:CLAS/DTCY/	4x4x The first 4 characters is a DTC defined asset class: ALLA - all asset classes MMIS - money market instruments EQTS - equities CRBD - corporate bonds MUNI - municipal bonds The last 4 characters is a valid transaction type: ALLT - all transaction types MITS - matched institutional trades NDOC - night deliver orders ACAT - ACATS transactions CNSS - CNS transactions RDRP - reintroduced drops BALO - balance orders from NSCC PETS - participant entered transactions LMIT - late affirmed institutional trades
O	ISIN Identification of the financial Instrument (Country Code, CUSIP and Check Digit)	:35B:		The literal "ISIN" followed by a space followed by the 12 character ISIN. Note-DTC does not accept Non U.S. ISIN Example-ISIN US1234567891. Note-DTC does not accept Non U.S. ISIN
O	Quantity Quantity of financial instrument to be settled expressed as units such as share quantity.	:36B:	:SETT//	UNIT/999999999, At least 1 whole digit is required. No more than 9 may be present. Fractions are not allowed. A decimal comma is always required.
O	Loan Date Loan date for a pledge or pledge release transaction	:98A:	:EFDD//	yyyymmdd
O	Pledgor's DTCC Participant Number Pledgor DTCC participant number	:95R:	:MEOR//	00009999 0000 followed by 4 digits. All 4 digits are required.
O	Pledgee's DTCC Participant Number Pledgor DTCC participant number	:95R:	:MERE//	00009999 0000 followed by 4 digits. All 4 digits are required.
M	End of Block - Additional Information	:16S:		ADDINFO