

For information about current connectivity to DTCC's products and services, please see the [Learning Center's Connectivity Document Repository](#). For information about a particular product or service, please select its name from the [Learning Center's home page](#).

1. WHAT IS THE CLIENT INTERFACES TRANSFORMATION INITIATIVE?

The Client Interfaces Program is a strategic effort aimed to elevate the client experience, reduce complexity, standardize capabilities, and improve resiliency by modernizing and enhancing how client data – specifically machine to machine data – is sent and received while utilizing certain DTCC products & services.

2. WHAT ARE SOME EXAMPLES OF A CLIENT INTERFACE?

Client Interfaces are the mechanisms used to exchange data between DTCC business applications and clients, regulators, or third parties. Examples include machine-to-machine technologies such as standard channels (FIX, SFTP, API), formats (ISO 20022, XML, JSON), and network connectivity (SMART, Radianz, Open Internet).

3. WHAT ARE THE KEY FEATURES AND BENEFITS CLIENTS CAN EXPECT FROM MODERNIZED AND ENHANCED CLIENT INTERFACES?

As part of the [DTCC Transformation](#) program, several applications are moving off the mainframe and onto modern distributed infrastructure. Connectivity changes will impact both message and file connections.

- **Standardized Client Interface Options:** A set of standardized client interface options to help reduce complexity and ensure consistency across the industry.
- **Enhanced Data Security and Compliance:** Advanced security features and compliance measures to protect client data and ensure regulatory adherence.
- **Self-Service Capabilities:** View data activity and manage connectivity configuration with DTCC applications.
- **Increased Resiliency:** Addressing single points of failure and implementing robust backup and recovery options.

4. HOW DOES THE CLIENT INTERFACES PROGRAM RELATE TO THE DTCC TRANSFORMATION EFFORT?

The Client Interfaces Program is a key component of the broader [DTCC Transformation](#) effort in that they both have similar goals (e.g., elevating client experience, improving resiliency, reducing complexity). The Client Interfaces Program focuses on transitioning clients to modern interfaces, which includes moving from legacy systems to a modern platform, standardizing interface options, and simplifying client connectivity.

5. HOW WILL THE CLIENT INTERFACES PROGRAM AFFECT CLIENTS' CURRENT INTERFACES?

With the objective of simplifying and modernizing client interactions, clients can expect changes to be based on the following principles:

- **Formats:** Moving away from proprietary formats (e.g., fixed position) and towards industry standard formats (e.g., FIX, ISO). Second, structured files (e.g., XML, JSON) will be utilized in lieu of unstructured files (e.g., flat). Lastly, data elements will align to enterprise data naming standards.
- **Channels:** Optionality through support of multiple channels including MQ (Message Queue), file (for BCP/Cyber recovery purposes), and APIs (where applicable and industry demand is present). More specifically, mainframe end points for clients to connect to our available channels (e.g., Messaging channels such as FIX, Files channels such as SFTP, and API channels like output APIs) will change in order to move to more flexible, distributed connections.
- **Network Connectivity:** The way your organization connects to DTCC's network, our Network Connectivity options, will continue to consist of private networks and public internet. The network options will be streamlined, and evaluations are still in progress. More details will be made available at a later date.

6. WHAT ARE SOME EXAMPLES OF POTENTIAL CLIENT IMPACT FOR THE CHANGES OUTLINED IN QUESTION #5?

Some examples include:

CURRENT STATE	TARGET STATE	FUTURE ACTION (IF ANY)
"I'm sending Files via NDM"	<ul style="list-style-type: none"> • Utilize SFTP channel 	<ul style="list-style-type: none"> • Update Files connection from mainframe to a distributed endpoint • Update protocol to sFTP
"I'm sending flat files"	<ul style="list-style-type: none"> • Utilize structured format 	<ul style="list-style-type: none"> • It will be required to update to a structured format (e.g., XML, JSON, ISO 20022)
"I send and/or receive SWIFT messages via MQ from DTCC"	<ul style="list-style-type: none"> • Send and/or receive SWIFT messages via MQ IPT channel 	<ul style="list-style-type: none"> • Update connection to new queue managers (QMGRs) on MQ (Message Queue) Internet Passthrough (IPT)
"I'm sending FIX messages using a FIX gateway"	<ul style="list-style-type: none"> • Send FIX messages • Utilize FIX messaging channel 	<ul style="list-style-type: none"> • Continue using FIX gateway • Continue sending FIX messages • Update connection to new IP address
"I'm utilizing a proprietary format via MQ"	<ul style="list-style-type: none"> • Send and/or receive via MQ IPT channel • Sending messages using industry standard format 	<ul style="list-style-type: none"> • It will be required to update to an industry standard format that is structured (e.g., XML, ISO 20022, etc.) • Update connection to new QMGRs on MQ IPT
<p>Note: The above scenarios are provided as examples and may not apply to your application. Additional application-specific communication will be released at a later date.</p>		

7. WILL THERE BE ANY TRAINING OR RESOURCES AVAILABLE TO HELP WITH THE TRANSITION?

Numerous virtual events in the DTCC Transformation Insight Series have been held to deliver a high-level overview and are available for replay on DTCC Transformation’s [Resources](#) page. These are now being complemented by a seven-part DTCC Transformation Integration Series. Visit [DTCC Transformation](#) to register for upcoming events.

8. WHAT IS THE EXPECTED TIMELINE FOR MIGRATION AND WHEN IS ACTION EXPECTED AND/OR REQUIRED FROM CLIENTS?

Please refer to the [DTCC Transformation](#) for the most recent [Equities Clearing](#) and [Settlement](#) roadmaps available.

9. WHO SHOULD I CONTACT IF I WOULD LIKE TO LEARN MORE ABOUT UPCOMING CLIENT INTERFACES-RELATED CHANGES?

Clients should contact their DTCC Relationship Manager for more information while additional communications are being prepared.

DOCUMENT REVISION HISTORY

Date	Version	Description
6/20/2025	1.0	Initial Release
5/8/2026	2.0	<ul style="list-style-type: none"> Updated training language to reference completed virtual events and the DTCC Transformation Integration Series. Streamlined timeline guidance to point clients to the DTCC Transformation roadmap as the source of record.

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