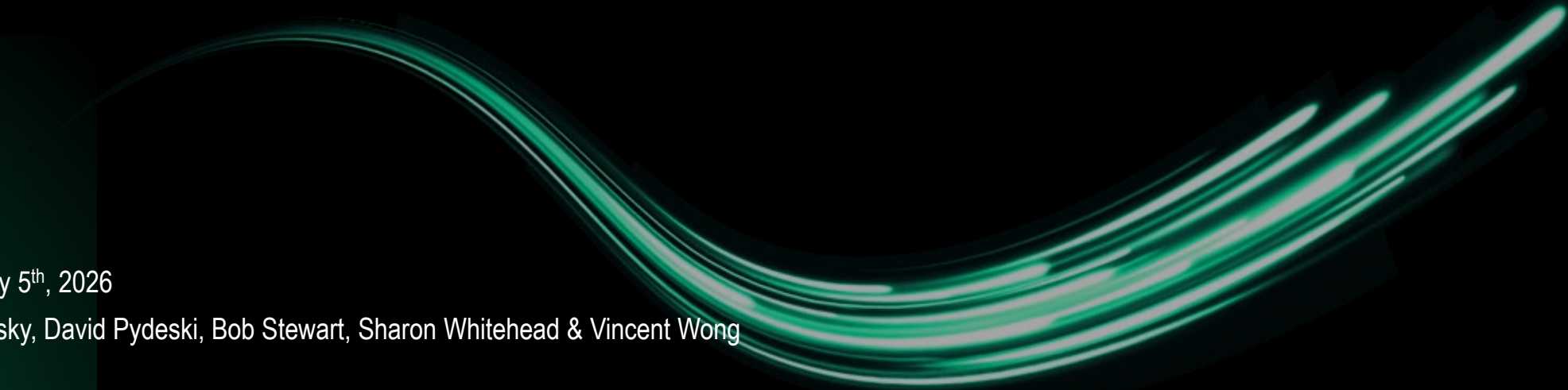


**DTCC**

# **DTCC TRANSFORMATION INSIGHT SERIES: EQUITIES CLEARING & SETTLEMENT TECHNICAL PREPARATION**

Date: Thursday, February 5<sup>th</sup>, 2026

Presenters: Inga Khalavsky, David Pydeski, Bob Stewart, Sharon Whitehead & Vincent Wong



# TODAY'S PRESENTERS



**Inga Khalavsky**

Director,  
Product  
Modernization,  
DTCC



**Bob Stewart**

Executive Director,  
Chief Client Office,  
DTCC



**Sharon Whitehead**

Associate Director,  
Integration Manager,  
DTCC



**Vincent Wong**

Director,  
Client Connectivity  
Services, DTCC



**David Pydeski**

Director,  
Product Owner, DTCC

# DTCC

1. New Important Changes for 2026
2. Key Activities for 2026
3. Guidance on Establishing Connectivity to DTCC
4. ISO 20022 Test Facility Demonstration
5. Relevant Resources
6. Q&A Segment

# AGENDA

# NEW IMPORTANT CHANGES FOR 2026

## CNS<sup>®</sup>

Modernized CNS<sup>®</sup> application **go-live is in November 2026**.  
The **Client Interface (CI)** migration is **postponed to Q2 2027**  
with a Production **migration deadline of Q3 2028**.

### Legacy CI

**Q3 2026** – PSE opens for Regression Testing (legacy interfaces + modernized application CNS<sup>®</sup>). Print Image CSV replacement available online (in SDX) as files in PSE.

**Nov 2026** – Modernized CNS<sup>®</sup> go-live (legacy interfaces)

### Modernized CI

**Q2 2026** – CSV message / report specifications & layouts published

**Jan 2027** – Modernized **Connectivity** Onboarding & Testing (PSE)

**Mar 2027** – **UAT / Functional** testing in PSE begins (Modernized CNS & Modernized Connectivity)

**Q2 2027** – Clients start migrating to CNS<sup>®</sup> Modernized Connectivity in Production

**Q3 2028** – Clients must be migrated to Modernized Client Interfaces

## ETF

Modernized ETF application **go-live is in Q2 2027**.  
The **Client Interface** migration is **postponed to Q4 2027** with  
a Production **migration deadline of Q3 2028**.

### Legacy CI

**Q4 2026** – PSE opens for Regression Testing (legacy interfaces & modernized application ETF). Print Image CSV replacement available online (in SDX) as files in PSE.

**Q2 2027** – Modernized ETF go-live (legacy interfaces)

### Modernized CI

**Q2 2026** – CSV message / report specifications & layouts published

**Jan 2027** – Modernized **Connectivity** Onboarding & Testing (PSE)

**Q2 2027** – **UAT / Functional** testing in PSE begins (Modernized ETF & Modernized Connectivity)

**Q4 2027** – Clients start migrating to ETF Modernized Connectivity in Production

**Q3 2028** – Clients must be migrated to Modernized Client Interfaces

# KEY ACTIVITIES FOR 2026 – MULTI-STAGE MODERNIZATION ONBOARDING

A structured, end-to-end onboarding framework ensuring transparency, readiness, and successful client activation



## 1 INITIAL CLIENT ENGAGEMENT

### Engagement

- **Initial Engagement:** Relationship Management outreach to introduce the Transformation program. Clients are encouraged to obtain Usage Reports from DTCC, detailing trade volumes, existing interfaces, inputs/outputs, profile configurations, and any modernization needs.
- **Client Project Kick-Off:** DTCC Integration hosts a project kick-off meeting to formally begin client migration.

## 2 CLIENT ONBOARDING

### Onboarding

- Establish **Robot-IDs entitlements** and begin connectivity setup. Clients will utilize their current Registration Company; if a new one is necessary, further efforts are required.
- Finalize all required **Connectivity Documentation:** MQ and SFTP Connectivity and Data Delivery forms.
- Regular cadence of meetings with DTCC Integration to review submitted documentation, address inquiries, and ensure completeness.

## 3 CONNECTIVITY

### Connectivity – PSE & Prod

- The DTCC Integration team oversees the required provisioning to connect to test (PSE) and Production environments.
- Work closely with Integration teams and other relevant parties to set up leased lines, adjust firewall settings, allocate IP addresses, update protocols, assign entitlements, and complete test account configurations.
- Facilitate connectivity testing for all environments.

## 4 TESTING

### Client Testing

- Conduct functional testing utilizing DTCC-provided test scripts that cover submissions, inputs, outputs, and client-specific UAT scenarios.
- ISO 20022 Test Facility to test and validate messages
- Testing sign off will be required to complete testing and advance to the final stage of the project: Activation

## 5 ACTIVATION

### Pre-Production

- Clients provide formal testing attestation to confirm production readiness.
- Go Live**
- Clients transition to modernized Production systems, confirming successful live submissions, processing, and file retrieval.

### Post-Production Support

- DTCC Integration supports clients through initial operations monitoring and issue resolution.

# GUIDANCE ON ESTABLISHING CONNECTIVITY TO DTCC



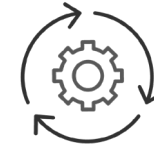
1 INITIAL CLIENT  
ENGAGEMENT



2 CLIENT  
ONBOARDING



**3 CONNECTIVITY**



4 TESTING



5 ACTIVATION



## DTCC Integration provides the connectivity excel forms:

- DTCC Distributed MQ Services Request Form
- DTCC Distributed SFTP Request Form



## Required Legal Entity Data:

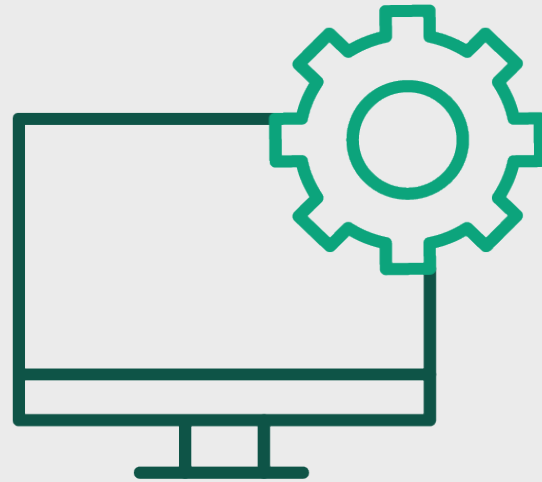
- Company Name
- Organization ID (Registration Company)
- Legal Entity Identifier (LEI)



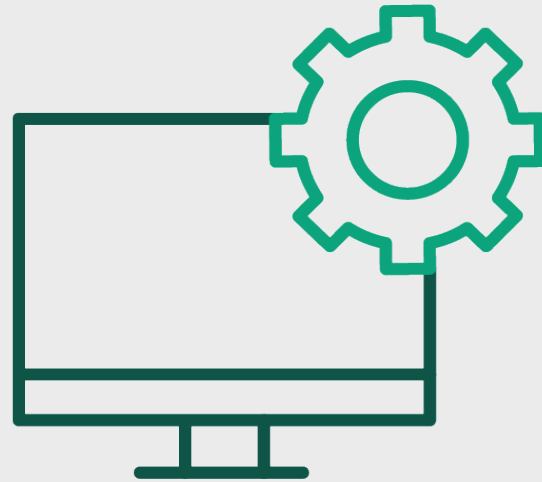
## Robot IDs:

- A Robot ID is a unique login credential assigned to a non-human profile
- Management of Robot IDs will be completed through the Super Access Coordinator(s) (SACs) in the Customer Registration System (CRS)
- DTCC Integration provides guidance on the appropriate Robot ID naming conventions

# CONNECTIVITY FORMS DEMONSTRATION



**Live Demonstration**



**Live Demonstration**

# RELEVANT RESOURCES



## Available now!

- [CNS Important Notice – Jan. 28, 2026](#)
- [Functional Change Document – Dec. 10, 2025](#)
- [Clearing Transformation Roadmap – Nov. 2025](#)
- [Settlement Transformation Roadmap – Nov. 2025](#)
- [Settlement Resources: Message Specs & Flows, Report layouts and ISO 2022 Test Facility Guide](#)

## Upcoming soon

- Milestone and Functional Checklist
- Client Test Plan

# Q&A SEGMENT

Please submit your questions and upvote other questions on the right side of this Cvent live stream if you haven't already.

Additionally, you can email us your questions at [DTCCTransformation@dtcc.com](mailto:DTCCTransformation@dtcc.com).



**DTCC**

**THANK YOU!**

