

File Downloads

Guide and FAQs on Downloading and Using the Files

NSCC Member Directory

Q. What is the "gutsno" listed in the spreadsheet?

A. The "gutsno" is simply a unique number identifying the entry in our database and can be ignored for most uses. Generally, the higher the "gutsno," the later the entry was made in the directory.

Q. I want to reorder the directory entries in the Excel spreadsheet alphabetically. How can I do that?

A. By using the sort functions in Excel. You can do that by opening the spreadsheet, putting your cursor in the top row where the headings are (but don't highlight the cell where you have the cursor), and then clicking on the "Data" menu at the top of the Excel application and selecting "Sort." You can then sort the entries by company name, clearing no., zip code, or by any other heading. Generally, the heading where you have your cursor will be the default entry for sorting (i.e., if you have your cursor in the clearing no. heading, the first sort field will show a sort by clearing no., although you can change this to whatever sort characteristics you want.)

Q. Can I search for part of a name?

A. Yes. The easiest way is to use the Find feature. The Find feature is part of Excel's "Edit" menu. To use it, click on the "Edit" menu, select "Find," but make sure that you uncheck the "Find entire cells only" box.

Q. I see something in the directory that is inaccurate. Who can I notify?

A. For simple inaccuracies of information that has been formally changed with Relationship Management or Membership Administration, you can send an e-mail to nsccaa@dtcc.com. Simple inaccuracies can be changed quickly and easily. However, if the changes involve legal issues (such as name changes, changes in clearing arrangements, etc.), then formal letters on your firm's letterhead must be sent to the DTCC Relationship Manager for your firm or to Membership Administration, so the necessary changes can also be made on NSCC Masterfiles. They can be contacted by calling 1.888.382.2721 (option 5, sub-option 5).

***Please see Guide at the bottom of directory for further information**

NSCC MPID Directory

Tab 1: OTC MPIDs

Tab 2: Corporate Bond MPIDs

Tab 3: Municipal Bond MPIDs

Tab 4: UIT MPIDs

Per tab

Column A: Executing Broker MPID

Column B: Clearing Broker NSCC Number

Column C: Executing Broker Name