

Usage Guideline

DTCC Usage Guideline Meeting Notification seev.001.001.08

DTCC - US - PX - Meetings - SR2021

This document describes a usage guideline restricting the base message **MX seev.001.001.08**. You can also consult [this information online](#).

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Message Functionality

Overview

Scope

This message is sent to notify the Receiver of a shareholders meeting.

It is used to provide information on the participation details and requirements for the meeting, the vote parameters and the resolutions.

It is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or an ultimate security holder.

This message is also be used to notify an update or amendment to a previously sent MeetingNotification.

Usage

The MeetingNotification message is sent to notify a new meeting, a change in the meeting parameters or to provide a list of resolutions.

To notify an update, the Amendment building block must be filled in. Any building block that is modified must be included in the amendment message. The information previously notified and not repeated in the amendment message remains valid.

To update the resolutions ie the agenda, the complete list of resolutions must be repeated in the amendment message. The resolutions that are deleted should be assigned the status Withdrawn.

Outline

The DTCC - US - PX - Meetings - SR2021 / DTCC Usage Guideline Meeting Notification seev.001.001.08 message is composed of 13 building blocks

a. NotificationGeneralInformation

General information about the event notification type and status.

b. NotificationUpdate

Information specific to notification amendment as for instance the identification to a previous meeting notification.

c. EventsLinkage

Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

d. Meeting

Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

e. MeetingDetails

Dates and details of the shareholders meeting.

f. Issuer

Institution that is the issuer of the security to which the meeting applies.

g. IssuerAgent

Agent of the issuer.

h. Security

Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

i. Resolution

Detailed information of a resolution proposed to the vote.

j. Vote

Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

k. PowerOfAttorneyRequirements

Information about the use of power of attorney.

l. AdditionalInformation

Additional narrative information about the meeting event.

m. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

1 Restriction summary

This Usage Guideline restricts the seev.001.001.08 message.





Restriction Type	See page
Removed elements	13, 16, 17, 18, 19, 19, 20, 28, 28, 28, 49, 52, 53, 53, 54, 54, 59, 60, 60, 61, 61, 62, 62, 63, 63, 63, 64, 65, 65, 65, 66, 66, 67, 68, 71, 72, 75, 75, 76, 76, 76, 91, 91, 92, 109, 109, 111, 142, 142, 142, 144, 144, 144, 144, 147
Make mandatory	n.a.
Reduce Multiplicity	n.a.
Ignore	n.a.
Text rules	37, 59, 71, 131, 149
Conditional rules	n.a.
Formal rules	n.a.
Fixed values	n.a.
Truncates	n.a.
Comments	15, 59, 142, 143
Annotations	n.a.
Changed datatypes	n.a.
Extensions	n.a.
Synonyms	15, 15, 15, 15, 16, 16, 27, 28, 29, 29, 59, 68, 91, 92, 92, 92, 93, 142, 143

2 Structure

🚫 Removed - ⬜ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>MeetingNotificationV08</i>	<MtgNtfctn>		[1..1]		
	NotificationGeneralInformation	<NtfctnGnlInf>		[1..1]		12
	NotificationType	<NtfctnTp>		[1..1]		69
	NotificationStatus	<NtfctnSts>		[1..1]		70
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]		70
	NotificationUpdate	<NtfctnUpd>		[0..1]		12
	PreviousNotificationIdentification	<PrvsNtfctnId>		[1..1]	⚠	70
	ReconfirmInstructions	<RcnfrmInstrs>		[0..1]	🚫	71
	EventsLinkage	<EvtsLkg>		[0..*]	🚫	12
	EventIdentification	<EvtId>		[1..1]		56
	LinkageType	<LkgTp>		[0..1]		57
	Meeting	<Mtg>		[1..1]		13
	MeetingIdentification	<MtgId>		[1..1]	⚠	58
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]	🚫	59
	Type	<Tp>		[1..1]		59
	Classification	<Clssfctn>		[0..1]		59
	AnnouncementDate	<AnncmntDt>		[0..1]	🚫	60
	OneManOneVoteIndicator	<OneManOneVoteInd>		[0..1]	🚫	60
	Participation	<Prtcpn>		[0..*]	🚫	60
	Attendance	<Attndnc>		[0..1]	🚫	61
	AdditionalDocumentationURLAddress	<AddtlDcmnttnURLAdr>		[0..*]	🚫	61
	AdditionalProcedureDetails	<AddtlPrcdrDtls>		[0..5]	🚫	62
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfSciesOutsdng>		[0..1]	🚫	62
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]	🚫	63
	ProxyAppointmentNotificationAddress	<PrxyAppntmntNtfctnAdr>		[0..1]	🚫	63
	ProxyChoice	<PrxyChc>		[0..1]	🚫	63
	ContactPersonDetails	<CtctPrsnDtls>		[0..12]		64
	ResultPublicationDate	<RsltPblctnDt>		[0..1]	🚫	64
	SecuritiesBlockingPeriodEndDate	<SciesBlckgPrdEndDt>		[0..1]	🚫	65
	EntitlementFixingDate	<EntitlmntFxdDt>		[0..1]	🚫	65

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegistrationSecuritiesDeadline	<RegnSctiesDdln>		[0..1]		65
	RegistrationSecuritiesMarketDeadline	<RegnSctiesMktDdln>		[0..1]		66
	MeetingDetails	<MtgDtls>		[1..5]		14
	DateAndTime	<DtAndTm>		[1..1]		52
	DateStatus	<DtSts>		[0..1]		53
	QuorumRequired	<QrmReqr>		[0..1]		53
	Location	<Lctn>		[1..5]		53
	QuorumQuantity	<QrmQty>		[0..1]		54
	URLAddress	<URLAdr>		[0..1]		54
	Issuer	<Issr>		[1..1]		15
	Identification	<Id>		[1..1]		49
	URLAddress	<URLAdr>		[0..1]		49
	IssuerAgent	<IssrAgt>		[0..10]		16
	Identification	<Id>		[1..1]		48
	Role	<Role>		[0..1]		48
	Security	<Scty>		[1..200]		16
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		110
	Position	<Pos>		[0..1000]		110
	Resolution	<Rsltn>		[0..1000]		17
	IssuerLabel	<IssrLbl>		[1..1]		101
	Description	<Desc>		[0..*]		101
	ListingGroupResolutionLabel	<ListgGrpRsltnLbl>		[0..1]		102
	Type	<Tp>		[0..1]		102
	ForInformationOnly	<ForInfOnly>		[1..1]		102
	VoteType	<VoteTp>		[0..1]		102
	Status	<Sts>		[1..1]		102
	SubmittedBySecurityHolder	<SubmittdBySctyHldr>		[0..1]		103
	RightToWithdrawIndicator	<RghtToWdrwInd>		[0..1]		103
	VoteInstructionType	<VoteInstrTp>		[0..*]		103
	ManagementRecommendation	<MgmtRcmmndtn>		[0..1]		103
	NotifyingPartyRecommendation	<NtifngPtyRcmmndtn>		[0..1]		103

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Entitlement	<Entitlmt>		[0..1]		104
	VotingRightsThresholdForApproval	<VtngRghtsThrshldForAp- prvl>		[0..1]		104
	URLAddress	<URLAdr>		[0..1]		104
	Vote	<Vote>		[0..1]		17
	SecuritiesQuantityRequiredToVote	<ScetiesQtyReqrdToVote>		[0..1]		116
	PartialVoteAllowed	<PrtlVoteAllwd>		[1..1]		117
	SplitVoteAllowed	<SpltVoteAllwd>		[1..1]		117
	VoteDeadline	<VoteDdln>		[0..1]		117
	VoteMarketDeadline	<VoteMktDdln>		[0..1]		117
	VoteMethods	<VoteMthds>		[0..1]		118
	VotingBallotElectronicAddress	<VtngBlItElctrncAdr>		[0..1]		118
	VotingBallotRequestAddress	<VtngBlItReqAdr>		[0..1]		118
	RevocabilityDeadline	<RvcbltyDdln>		[0..1]		119
	RevocabilityMarketDeadline	<RvcbltyMktDdln>		[0..1]		119
	BeneficialOwnerDisclosure	<BnfcOwnrDsclsr>		[0..1]		120
	EarlyIncentivePremium	<EarlyIncntivPrm>		[0..1]		120
	IncentivePremium	<IncntivPrm>		[0..1]		120
	EarlyVoteWithPremiumDeadline	<EarlyVoteWthPrmDdln>		[0..1]		121
	VoteWithPremiumDeadline	<VoteWthPrmDdln>		[0..1]		121
	VoteWithPremiumMarketDeadline	<VoteWthPrmMktDdln>		[0..1]		121
	AdditionalVotingRequirements	<AddtlVtngRqrmnts>		[0..1]		122
	PreviousInstructionInvalidityIndicator	<PrvsInstrInvldtyInd>		[0..1]		122
	PowerOfAttorneyRequirements	<PwrOfAttnyRqrmnts>		[0..1]		18
	LegalRequirement	<LglRqrmnt>		[0..4]		95
	OtherDocumentation	<OthrDcmnttn>		[0..1]		95
	DocumentSubmissionDeadline	<DocSubmissnDdln>		[0..1]		96
	AdditionalInformation	<AddtlInf>		[0..1]		19
	Disclaimer	<Dsclmr>		[0..*]		29
	SupplementaryData	<SplmtryData>		[0..*]		19
	PlaceAndName	<PlcAndNm>		[0..1]		111
	Envelope	<Envlp>		[1..1]		111

3 ISO 20022 Rules

R1 Resolution1Guideline

When an agenda item needs to be linked to a resolution, it is recommended that the resolution information directly follows the agenda item information to which the resolution is linked, as illustrated in the following example:

Resolution/IssuerLabel = Agenda item 1

Description = <Item description.>

ForInformationOnly = True

Type =.

Directly followed by

Resolution/IssuerLabel = Resolution 1

Description = <Resolution description.>

ForInformationOnly = False

Type =.

.

Error handling: Undefined

R2 VoteInstructionType1Guideline

If all of the vote instructions types are to be the same for all resolutions, it is recommended that only the element Vote/VoteInstructionType is used. If the vote instruction types are not going to be the same for each resolution, then it is recommended that only the element Resolution/VoteInstructionType in each occurrence of Resolution is used.

Error handling: Undefined

R3 EntitlementDate1Guideline

If both Meeting/EntitlementFixingDate and Security/Position/HoldingBalance/Date are present, it is recommended that both date values be identical.

Error handling: Undefined

R4 PreviousNotificationIdentificationRule ✓

If NotificationGeneralInformation/NotificationType is REPL (Replacement), then NotificationUpdate/PreviousNotificationIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00486
- *Error Text:* PreviousNotificationIdentification should be present.

R5 AgentRole1Rule

IssuerAgent/Role PRIN (PrincipalAgent) can only be present in a single occurrence of IssuerAgent.

Error handling: Undefined

4 Message Building Blocks

Note The following chapter identifies the building blocks of the DTCC - US - PX - Meetings - SR2021 / DTCC Usage Guideline Meeting Notification seev.001.001.08 message definition.

4.1 NotificationGeneralInformation

XML Tag: NtfctnGnlInf

Presence: [1..1]

Definition: General information about the event notification type and status.

The **NtfctnGnlInf** block contains the following elements (see datatype "[NotificationGeneralInformation2](#)" on page 69 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotificationType	<NtfctnTp>		[1..1]		69
	NotificationStatus	<NtfctnSts>		[1..1]		70
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]		70

4.2 NotificationUpdate

XML Tag: NtfctnUpd

Presence: [0..1]

Definition: Information specific to notification amendment as for instance the identification to a previous meeting notification.

The **NtfctnUpd** block contains the following elements (see datatype "[NotificationUpdate2](#)" on page 70 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreviousNotificationIdentification	<PrvsNtfctnId>		[1..1]	⚠	70
	ReconfirmInstructions	<RcnfrmlInstrs>		[0..1]	🚫	71

4.3 EventsLinkage

XML Tag: EvtsLkg

Presence: [0..*]

Definition: Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

The **EvtsLkg** block contains the following elements (see datatype "[MeetingEventReference1](#)" on page 56 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		56
	LinkageType	<LkgTp>		[0..1]		57

Usage Guideline details

- This element(seev.001.001.08/EventsLinkage) is removed.

4.4 Meeting

XML Tag: Mtg

Presence: [1..1]

Definition: Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

The **Mtg** block contains the following elements (see datatype "MeetingNotice6" on page 58 for details)

🚫 Removed - ⚡ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtglId>		[1..1]	⚠	58
	IssuerMeetingIdentification	<IssrMtglId>		[0..1]	🚫	59
	Type	<Tp>		[1..1]		59
	Classification	<Clssfctn>		[0..1]		59
	AnnouncementDate	<AnncmntDt>		[0..1]	🚫	60
	OneManOneVoteIndicator	<OneManOneVoteInd>		[0..1]	🚫	60
	Participation	<Prtcptn>		[0..*]	🚫	60
	Attendance	<Attndnc>		[0..1]	🚫	61
	AdditionalDocumentationURLAddress	<AddtlDcmnttnURLAdr>		[0..*]	🚫	61
	AdditionalProcedureDetails	<AddtlPrcdrDtls>		[0..5]	🚫	62
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfSctiesOutsdng>		[0..1]	🚫	62
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]	🚫	63
	ProxyAppointmentNotificationAddress	<PrxyAppntmntNtfctnAdr>		[0..1]	🚫	63
	ProxyChoice	<PrxyChc>		[0..1]	🚫	63
	ContactPersonDetails	<CtctPrsnDtls>		[0..12]		64
	ResultPublicationDate	<RsltPblctnDt>		[0..1]	🚫	64
	SecuritiesBlockingPeriodEndDate	<SctiesBlckgPrdEndDt>		[0..1]	🚫	65
	EntitlementFixingDate	<EntitlmntFxdDt>		[0..1]	🚫	65
	RegistrationSecuritiesDeadline	<RegnSctiesDdlIn>		[0..1]	🚫	65
	RegistrationSecuritiesMarketDeadline	<RegnSctiesMktDdlIn>		[0..1]	🚫	66

4.5 MeetingDetails

XML Tag: MtgDtls

Presence: [1..5]

Definition: Dates and details of the shareholders meeting.

The **MtgDtls** block contains the following elements (see datatype "[Meeting6](#)" on page 52 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateAndTime	<DtAndTm>		[1..1]		52
	DateStatus	<DtSts>		[0..1]	🚫	53
	QuorumRequired	<QrmReqrd>		[0..1]	🚫	53
	Location	<Lctn>		[1..5]		53
	QuorumQuantity	<QrmQty>		[0..1]	🚫	54
	URLAddress	<URLAdr>		[0..1]	🚫	54

Usage Guideline details

- on [seev.001.001.08/MeetingDetails](#)
Synonym (DTCC): Meeting Date
- on [seev.001.001.08/MeetingDetails](#)
Synonym (DTCC): Meeting Time
- on [seev.001.001.08/MeetingDetails](#)
Synonym (DTCC): Meeting Time Zone
- on [seev.001.001.08/MeetingDetails](#)
Comment:
Meeting date, as set by the Issuer.

4.6 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

The **Issr** block contains the following elements (see datatype "[IssuerInformation3](#)" on page 48 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		49
	URLAddress	<URLAdr>		[0..1]	🚫	49

Usage Guideline details

- on [seev.001.001.08/Issuer](#)

Synonym (DTCC): Issuer Description

4.7 IssuerAgent

XML Tag: IssrAgt

Presence: [0..10]

Definition: Agent of the issuer.

The **IssrAgt** block contains the following elements (see datatype "[IssuerAgent3](#)" on page 47 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Role	<Role>		[0..1]		48

Usage Guideline details

- This element(seev.001.001.08/IssuerAgent) is removed.

4.8 Security

XML Tag: Scty

Presence: [1..200]

Definition: Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

The **Scty** block contains the following elements (see datatype "[SecurityPosition12](#)" on page 109 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		110
	Position	<Pos>		[0..1000]	🚫	110

Usage Guideline details

- on seev.001.001.08/Security
Synonym (DTCC): Event Security Type
- on seev.001.001.08/Security
Synonym (DTCC): Event Security ID

4.9 Resolution

XML Tag: Rsltn

Presence: [0..1000]

Definition: Detailed information of a resolution proposed to the vote.

The **Rsltn** block contains the following elements (see datatype "Resolution5" on page 100 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLabl>		[1..1]		101
	Description	<Desc>		[0..*]		101
	ListingGroupResolutionLabel	<ListgGrpRsltnLabl>		[0..1]		102
	Type	<Tp>		[0..1]		102
	ForInformationOnly	<ForInfOnly>		[1..1]		102
	VoteType	<VoteTp>		[0..1]		102
	Status	<Sts>		[1..1]		102
	SubmittedBySecurityHolder	<SubmittdBySctyHldr>		[0..1]		103
	RightToWithdrawIndicator	<RghtToWdrwInd>		[0..1]		103
	VoteInstructionType	<VoteInstrTp>		[0..*]		103
	ManagementRecommendation	<MgmtRcmmndtn>		[0..1]		103
	NotifyingPartyRecommendation	<NtifngPtyRcmmndtn>		[0..1]		103
	Entitlement	<Entitlmnt>		[0..1]		104
	VotingRightsThresholdForApproval	<VtngRghtsThrshldForAp-prvl>		[0..1]		104
	URLAddress	<URLAdr>		[0..1]		104

Usage Guideline details

- This element(seev.001.001.08/Resolution) is removed.

4.10 Vote

XML Tag: Vote

Presence: [0..1]

Definition: Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

The **Vote** block contains the following elements (see datatype "[VoteParameters6](#)" on page 115 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecuritiesQuantityRequiredToVote	<SctiesQtyReqrdToVote>		[0..1]		116
	PartialVoteAllowed	<PrtlVoteAllwd>		[1..1]		117
	SplitVoteAllowed	<SpltVoteAllwd>		[1..1]		117
	VoteDeadline	<VoteDdln>		[0..1]		117
	VoteMarketDeadline	<VoteMktDdln>		[0..1]		117
	VoteMethods	<VoteMthds>		[0..1]		118
	VotingBallotElectronicAddress	<VtngBlItElctrncAdr>		[0..1]		118
	VotingBallotRequestAddress	<VtngBlItReqAdr>		[0..1]		118
	RevocabilityDeadline	<RvcbltyDdln>		[0..1]		119
	RevocabilityMarketDeadline	<RvcbltyMktDdln>		[0..1]		119
	BeneficialOwnerDisclosure	<BnfcIOwnrDsclsr>		[0..1]		120
	EarlyIncentivePremium	<EarlyIncntivPrm>		[0..1]		120
	IncentivePremium	<IncntivPrm>		[0..1]		120
	EarlyVoteWithPremiumDeadline	<EarlyVoteWthPrmDdln>		[0..1]		121
	VoteWithPremiumDeadline	<VoteWthPrmDdln>		[0..1]		121
	VoteWithPremiumMarketDeadline	<VoteWthPrmMktDdln>		[0..1]		121
	AdditionalVotingRequirements	<AddtlVtngRqrmnts>		[0..1]		122
	PreviousInstructionInvalidityIndicator	<PrvsInstrlInvldtyInd>		[0..1]		122

Usage Guideline details

- This element(seev.001.001.08/Vote) is removed.

4.11 PowerOfAttorneyRequirements

XML Tag: PwrOfAttnyRqrmnts

Presence: [0..1]

Definition: Information about the use of power of attorney.

The **PwrOfAttnyRqrmnts** block contains the following elements (see datatype "[PowerOfAttorneyRequirements4](#)" on page 95 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalRequirement	<LglRqrmnt>		[0..4]		95
	OtherDocumentation	<OthrDcmnttn>		[0..1]		95
	DocumentSubmissionDeadline	<DocSubmissnDdlIn>		[0..1]		96

Usage Guideline details

- This element(seev.001.001.08/PowerOfAttorneyRequirements) is removed.

4.12 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Additional narrative information about the meeting event.

The **AddtlInf** block contains the following elements (see datatype "[CorporateEventNarrative3](#)" on page 29 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Disclaimer	<Dsclmr>		[0..*]		29

Usage Guideline details

- This element(seev.001.001.08/AdditionalInformation) is removed.

4.13 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "[SupplementaryData1](#)" on [page 111](#) for details)

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		111
	Envelope	<Envlp>		[1..1]		111

Usage Guideline details

- This element([seev.001.001.08/SupplementaryData](#)) is removed.

5 Message Components

Note The following chapter identifies the message components.

5.1 AdditionalRightCode1Choice

Definition: Choice of additional right code.

Removed - Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		21
	Proprietary	<Prtry>	Or}	[1..1]		21

Used in element(s)

"AdditionalRight" on page 22

5.1.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Identifies the additional right code.

Datatype: "AdditionalRight1Code" on page 125

5.1.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: This code can be used in case another reason is required.

The **Prtry** block contains the following elements (see datatype "GenericIdentification13" on page 38 for details)

Removed - Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		38
	SchemeName	<SchmeNm>		[0..1]		38
	Issuer	<Issr>		[1..1]		39

5.2 AdditionalRightThreshold1Choice

Definition: Choice of additional right threshold.

🚫 Removed - 💡 Ignored - ⚠️ Details below - 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRightThreshold	<AddtlRghtThrshld>	{Or}	[1..1]		22
	AdditionalRightThresholdPercentage	<AddtlRghtThrshldPctg>	Or}	[1..1]		22

Used in element(s)

"AdditionalRightThreshold" on page 24

5.2.1 AdditionalRightThreshold

XML Tag: AddtlRghtThrshld

Presence: [1..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

Datatype: "Max35Text" on page 139

5.2.2 AdditionalRightThresholdPercentage

XML Tag: AddtlRghtThrshldPctg

Presence: [1..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions. This minimum is expressed as a percentage.

Datatype: "PercentageRate" on page 148

5.3 AdditionalRights3

Definition: Information about additional rights that can be granted for a meeting.

🚫 Removed - 💡 Ignored - ⚠️ Details below - 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRight	<AddtlRght>		[1..1]		22
	AdditionalRightInformationURLAddress	<AddtlRghtInfURLAdr>		[0..1]		23
	AdditionalRightDeadline	<AddtlRghtDdln>		[0..1]		23
	AdditionalRightMarketDeadline	<AddtlRghtMktDdln>		[0..1]		23
	AdditionalRightThreshold	<AddtlRghtThrshld>		[0..1]		24

Used in element(s)

"AdditionalProcedureDetails" on page 62

5.3.1 AdditionalRight

XML Tag: AddtlRght

Presence: [1..1]

Definition: Specific rights granted to the shareholders that can be exercised at shareholders meetings, for example, the right to ask questions, the right to add items to the agenda or table draft resolutions.

The **AddtlRght** block contains the following elements (see datatype ["AdditionalRightCode1Choice"](#) on page 21 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		21
	Proprietary	<Prtry>	Or}	[1..1]		21

5.3.2 AdditionalRightInformationURLAddress

XML Tag: AddtlRghtInfURLAdr

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where additional information on specific rights granted to the shareholders can be found.

Datatype: ["Max2048Text"](#) on page 138

5.3.3 AdditionalRightDeadline

XML Tag: AddtlRghtDdln

Presence: [0..1]

Definition: Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by an intermediary.

The **AddtlRghtDdln** block contains the following elements (see datatype ["DateFormat58Choice"](#) on page 33 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or}	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.3.4 AdditionalRightMarketDeadline

XML Tag: AddtlRghtMktDdln

Presence: [0..1]

Definition: Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by the issuer.

The **AddtlRghtMktDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 33 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.3.5 AdditionalRightThreshold

XML Tag: AddtlRghtThrshld

Presence: [0..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

The **AddtlRghtThrshld** block contains the following elements (see datatype "[AdditionalRightThreshold1Choice](#)" on page 21 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRightThreshold	<AddtlRghtThrshld>	{Or	[1..1]		22
	AdditionalRightThresholdPercentage	<AddtlRghtThrshldPctg>	Or}	[1..1]		22

5.4 Attendance2

Definition: Information and conditions provided for the physical attendance to the meeting.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdmissionConditions	<AdmssnConds>		[0..7]		24
	ConfirmationInformation	<ConfInf>		[0..1]		25
	ConfirmationDeadline	<ConfDdln>		[0..1]		25
	ConfirmationMarketDeadline	<ConfMktDdln>		[0..1]		25

Used in element(s)

"Attendance" on page 61

5.4.1 AdmissionConditions

XML Tag: AdmssnConds

Presence: [0..7]

Definition: Conditions for physical admittance to the general meeting.

The **AdmssnConds** block contains the following elements (see datatype "[AttendanceAdmissionConditions2](#)" on page 26 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		26
	AdditionalInformation	<AddtlInf>		[0..1]		26

5.4.2 ConfirmationInformation

XML Tag: ConfInf

Presence: [0..1]

Definition: Specifies how to order the attendance card or to give notice of attendance.

Datatype: "[Max350Text](#)" on page 139

5.4.3 ConfirmationDeadline

XML Tag: ConfDdlIn

Presence: [0..1]

Definition: Date and time by which the beneficial owner or agent must provide notification of its intention to participate in the meeting. This deadline is set by an intermediary.

The **ConfDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 33 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.4.4 ConfirmationMarketDeadline

XML Tag: ConfMktDdlIn

Presence: [0..1]

Definition: Date and time by which the attendance to the meeting should be confirmed. This deadline is set by the issuer.

The **ConfMktDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 33 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.5 AttendanceAdmissionConditions2

Definition: Physical attendance admission conditions for a meeting.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		26
	AdditionalInformation	<AddtlInf>		[0..1]		26

Used in element(s)

"AdmissionConditions" on page 24

5.5.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Condition for physical admittance to the general meeting.

Datatype: "AttendanceAdmissionConditions2Code" on page 128

5.5.2 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Additional information on the conditions for physical admittance to the general meeting.

Datatype: "Max350Text" on page 139

5.6 CommunicationAddress11

Definition: Communication device number or electronic address used for communication.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		26
	URLAddress	<URLAdr>		[0..1]		27

Used in element(s)

"ElectronicVote" on page 114, "VotingBallotElectronicAddress" on page 118

5.6.1 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: ["Max256Text" on page 138](#)

5.6.2 URLAddress

XML Tag: URLAdr

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

Datatype: ["Max2048Text" on page 138](#)

5.7 ContactIdentification1

Definition: Information needed to contact a physical person.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	27
	NamePrefix	<NmPrfx>		[0..1]	🚫	27
	GivenName	<GvnNm>		[0..1]	🚫	28
	Role	<Role>		[0..1]	🚫	28
	PhoneNumber	<PhneNb>		[0..1]	⚠	28
	FaxNumber	<FaxNb>		[0..1]	⚠	29
	EmailAddress	<EmailAdr>		[0..1]	⚠	29

Used in element(s)

["ContactPerson" on page 55](#)

5.7.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: ["Max35Text" on page 139](#)

Usage Guideline details

- on [seev.001.001.08/Meeting/ContactPersonDetails/ContactPerson/Name](#)
Synonym (DTCC): Contract Information Name

5.7.2 NamePrefix

XML Tag: NmPrfx

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: ["NamePrefix1Code" on page 146](#)

Usage Guideline details

- This element([seev.001.001.08/Meeting/ContactPersonDetails/ContactPerson/NamePrefix](#)) is removed.

5.7.3 GivenName

XML Tag: GvnNm

Presence: [0..1]

Definition: First name of a person.

Datatype: ["Max35Text" on page 139](#)

Usage Guideline details

- This element([seev.001.001.08/Meeting/ContactPersonDetails/ContactPerson/GivenName](#)) is removed.

5.7.4 Role

XML Tag: Role

Presence: [0..1]

Definition: Role of the party in the activity.

Datatype: ["Max35Text" on page 139](#)

Usage Guideline details

- This element([seev.001.001.08/Meeting/ContactPersonDetails/ContactPerson/Role](#)) is removed.

5.7.5 PhoneNumber

XML Tag: PhneNb

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: ["PhoneNumber" on page 149](#)

Usage Guideline details

- on [seev.001.001.08/Meeting/ContactPersonDetails/ContactPerson/PhoneNumber](#)
Synonym (DTCC): Contact Information Phone Number

5.7.6 FaxNumber

XML Tag: FaxNb

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 149

Usage Guideline details

- on [seev.001.001.08/Meeting/ContactPersonDetails/ContactPerson/FaxNumber](#)
Synonym (DTCC): Contact Information Fax Number

5.7.7 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 138

Usage Guideline details

- on [seev.001.001.08/Meeting/ContactPersonDetails/ContactPerson/EmailAddress](#)
Synonym (DTCC): Contact Information E-Mail

5.8 CorporateEventNarrative3

Definition: Provides disclaimer narrative information about the event.

🚫 Removed - 💡 Ignored - ⚠️ Details below - 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Disclaimer	<Dsclmr>		[0..*]		29

Used in element(s)

"AdditionalInformation" on page 19

5.8.1 Disclaimer

XML Tag: Dsclmr

Presence: [0..*]

Definition: Issuer's disclaimer notice relative to the meeting announcement information provided. It may be ignored for automated processing.

The **Dsclmr** block contains the following elements (see datatype "[LanguageSpecifiedNarrative1](#)" on page 50 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		50
	AdditionalInformation	<AddtlInf>		[1..1]		51

5.9 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		30
	DateTime	<DtTm>	Or}	[1..1]		30

Used in element(s)

"[AnnouncementDate](#)" on page 60, "[DateOrDateTime](#)" on page 33

5.9.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Specified date.

Datatype: "[ISODate](#)" on page 136

5.9.2 DateTime

XML Tag: DtTm

Presence: [1..1]

Definition: Specified date and time.

Datatype: "[ISODateTime](#)" on page 136

5.10 DateAndPlaceOfBirth2

Definition: Date and place of birth of a person.

🚫 Removed - 💡 Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		31
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		31
	CityOfBirth	<CityOfBirth>		[0..1]		31
	CountryOfBirth	<CtryOfBirth>		[0..1]		31

Used in element(s)

["DateAndPlaceOfBirth" on page 87](#), ["DateAndPlaceOfBirth" on page 85](#)

5.10.1 BirthDate

XML Tag: BirthDt

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: ["ISODate" on page 136](#)

5.10.2 ProvinceOfBirth

XML Tag: PrvcOfBirth

Presence: [0..1]

Definition: Province where a person was born.

Datatype: ["Max35Text" on page 139](#)

5.10.3 CityOfBirth

XML Tag: CityOfBirth

Presence: [0..1]

Definition: City where a person was born.

Datatype: ["Max35Text" on page 139](#)

5.10.4 CountryOfBirth

XML Tag: CtryOfBirth

Presence: [0..1]

Definition: Country where a person was born.

Datatype: ["CountryCode" on page 130](#)

5.11 DateFormat1

Definition: Format to express a date and a date mode.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>		[1..1]		32
	DateMode	<DtMd>		[0..1]		32

Used in element(s)

"EntitlementFixingDate" on page 65

5.11.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date at which the event occurs.

The **Dt** block contains the following elements (see datatype "DateFormat3Choice" on page 32 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		33

5.11.2 DateMode

XML Tag: DtMd

Presence: [0..1]

Definition: Specifies whether an event for which a date is provided occurs typically at the "beginning of day" or at the "end of day".

Datatype: "DateMode1Code" on page 130

5.12 DateFormat3Choice

Definition: Choice between the formats to express a date.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		33

Used in element(s)

"Date" on page 32, "PaymentDate" on page 45, "ResultPublicationDate" on page 64

5.12.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: ["ISODate" on page 136](#)

5.12.2 DateCode

XML Tag: DtCd





Presence: [1..1]

Definition: Indicates that date is unknown.

Datatype: ["DateType1Code" on page 131](#)

5.13 DateFormat58Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

Used in element(s)

["AdditionalRightDeadline" on page 23](#), ["AdditionalRightMarketDeadline" on page 23](#), ["ConfirmationDeadline" on page 25](#), ["ConfirmationMarketDeadline" on page 25](#), ["DateAndTime" on page 52](#), ["Deadline" on page 99](#), ["DocumentSubmissionDeadline" on page 96](#), ["EarlyVote-WithPremiumDeadline" on page 121](#), ["IssuerDeadlineForVoting" on page 73](#), ["MarketDeadline" on page 99](#), ["RegistrationSecuritiesDeadline" on page 65](#), ["RegistrationSecuritiesMarketDeadline" on page 66](#), ["RevocabilityDeadline" on page 119](#), ["RevocabilityMarketDeadline" on page 119](#), ["VoteDeadline" on page 117](#), ["VoteMarketDeadline" on page 117](#), ["VoteWithPremiumDeadline" on page 121](#), ["VoteWithPremiumMarketDeadline" on page 121](#)

5.13.1 DateOrDateTime

XML Tag: DtOrDtTm

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **DtOrDtTm** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on [page 30](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		30
	DateTime	<DtTm>	Or}	[1..1]		30

5.13.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Type of date.

Datatype: "[DateType1Code](#)" on [page 131](#)

5.14 EligiblePosition9

Definition: Information about a securities position and rights holders.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[1..1]		35
	AccountOwner	<AcctOwnr>		[0..1]		35
	HoldingBalance	<HldgBal>		[0..15]		35
	RightsHolder	<RghtsHldr>		[0..250]		35

Rules

R17 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

Error handling:

- Error severity: Fatal
- Error Code:
- Error Text:

Guidelines

1. RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

Used in element(s)

"Position" on [page 110](#)

5.14.1 AccountIdentification

XML Tag: AcctId

Presence: [1..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 139

5.14.2 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "PartyIdentification231Choice" on page 80 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		81
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		81

5.14.3 HoldingBalance

XML Tag: HldgBal

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

The **HldgBal** block contains the following elements (see datatype "HoldingBalance9" on page 41 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		41
	BalanceType	<BalTp>		[1..1]		42
	SafekeepingPlace	<SfkpgPlc>		[0..1]		42
	Date	<Dt>		[0..1]		42

5.14.4 RightsHolder

XML Tag: RgthsHldr

Presence: [0..250]

Definition: Owner of the voting rights.

The **RghtsHldr** block contains the following elements (see datatype "[PartyIdentification233Choice](#)" on page 83 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		83
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		83

5.15 Entitlement1Choice

Definition: Choice of entitlement calculation method.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EntitlementRatio	<EntitlmntRatio>	{Or	[1..1]		36
	EntitlementDescription	<EntitlmntDesc>	Or}	[1..1]		36

Used in element(s)

"Entitlement" on page 104

5.15.1 EntitlementRatio

XML Tag: EntitlmntRatio

Presence: [1..1]

Definition: Number of votes assigned to one security.

Datatype: "[DecimalNumber](#)" on page 132

5.15.2 EntitlementDescription

XML Tag: EntitlmntDesc

Presence: [1..1]

Definition: Specifies the calculation method of the number of votes assigned to one security. This element should be used when the entitlement calculation rule is complex.

Datatype: "[Max35Text](#)" on page 139

5.16 EventStatus1

Definition: Notification status of an event.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventCompletenessStatus	<EvtCmpltnsSts>		[1..1]		37
	EventConfirmationStatus	<EvtConfSts>		[1..1]	⚠️	37

Used in element(s)

"NotificationStatus" on page 70

5.16.1 EventCompletenessStatus

XML Tag: EvtCmpltnsSts

Presence: [1..1]

Definition: Specifies whether the details provided about an event are complete or incomplete.

Datatype: "EventCompletenessStatus1Code" on page 133

5.16.2 EventConfirmationStatus

XML Tag: EvtConfSts

Presence: [1..1]

Definition: Specifies the status of the occurrence of an event.

Datatype: "EventConfirmationStatus1Code" on page 133

Usage Guideline details

- on seev.001.001.08/NotificationGeneralInformation/NotificationStatus/EventConfirmationStatus
 - DTC Market Practice Rule:

Derived for DTC Event Status.

5.17 FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		38
	FaceAmount	<FaceAmt>	Or}	[1..1]		38

Used in element(s)

"Balance" on page 41, "SecuritiesQuantityRequiredToVote" on page 116, "TotalNumberOfSecuritiesOutstanding" on page 62

5.17.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 132

5.17.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 136

5.18 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - 💡 Ignored - ⚠️ Details below - 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		38
	SchemeName	<SchmeNm>		[0..1]		38
	Issuer	<Issr>		[1..1]		39

Used in element(s)

"Proprietary" on page 21, "Proprietary" on page 67

5.18.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 140

5.18.2 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 139

5.18.3 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 139](#)

5.19 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		39
	Issuer	<Issr>		[1..1]		39
	SchemeName	<SchmeNm>		[0..1]		39

Used in element(s)

["Proprietary" on page 44](#), ["Proprietary" on page 113](#), ["Proprietary" on page 74](#), ["Type" on page 41](#)

5.19.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["Exact4AlphaNumericText" on page 134](#)

5.19.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 139](#)

5.19.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max35Text" on page 139](#)

5.20 GenericIdentification36

Definition: Identification using a proprietary scheme.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		40
	Issuer	<Issr>		[1..1]		40
	SchemeName	<SchmeNm>		[0..1]		40

Used in element(s)

"ProprietaryIdentification" on page 75, "ProprietaryIdentification" on page 78

5.20.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 139

5.20.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 139

5.20.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 139

5.21 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		41
	Identification	<Id>		[0..1]		41

Used in element(s)

"Proprietary" on page 106

5.21.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype "GenericIdentification30" on page 39 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		39
	Issuer	<Issr>		[1..1]		39
	SchemeName	<SchmeNm>		[0..1]		39

5.21.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 139

5.22 HoldingBalance9

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, for example, sub-balance per status.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		41
	BalanceType	<BalTp>		[1..1]		42
	SafekeepingPlace	<SfkpgPlc>		[0..1]		42
	Date	<Dt>		[0..1]		42

Used in element(s)

"HoldingBalance" on page 35

5.22.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

The **Bal** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on [page 37](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		38
	FaceAmount	<FaceAmt>	Or}	[1..1]		38

5.22.2 BalanceType

XML Tag: BalTp

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on [page 156](#)

5.22.3 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

The **SfkpgPlc** block contains the following elements (see datatype "[SafekeepingPlaceFormat28Choice](#)" on [page 104](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		105
	Country	<Ctry>	Or	[1..1]		105
	TypeAndIdentification	<TpAndId>	Or	[1..1]		105
	Proprietary	<Prtry>	Or}	[1..1]		106

5.22.4 Date

XML Tag: Dt

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "[ISODate](#)" on [page 136](#)

5.23 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		43
	Proprietary	<Prtry>	Or}	[1..1]		43

Used in element(s)

["Type" on page 72](#)

5.23.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: ["ExternalFinancialInstrumentIdentificationType1Code" on page 134](#)

5.23.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: ["Max35Text" on page 139](#)

5.24 IdentificationType45Choice

Definition: Choice between a code and a data source scheme to specify the type of natural person identification.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		43
	Proprietary	<Prtry>	Or}	[1..1]		44

Used in element(s)

["IdentificationType" on page 69](#)

5.24.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: ["TypeOfIdentification4Code" on page 158](#)

5.24.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 39](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		39
	Issuer	<Issr>		[1..1]		39
	SchemeName	<SchmeNm>		[0..1]		39

5.25 IncentivePremium5

Definition: Cash premium made available if the securities holder consents or participates to an event.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Description	<Desc>		[0..1]		44
	Amount	<Amt>		[1..1]		44
	Type	<Tp>		[1..1]		45
	PaymentDate	<PmtDt>		[0..1]		45

Used in element(s)

["EarlyIncentivePremium" on page 120](#), ["IncentivePremium" on page 120](#)

5.25.1 Description

XML Tag: Desc

Presence: [0..1]

Definition: Description of the premium.

Datatype: ["Max350Text" on page 139](#)

5.25.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash premium paid per security, per vote or per attendee.

The **Amt** block contains the following elements (see datatype "[PriceRateOrAmount3Choice](#)" on [page 96](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		96
	Amount	<Amt>	Or}	[1..1]		96

5.25.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Specifies which voting related criteria gives rights to an incentive premium.

The **Tp** block contains the following elements (see datatype "[IncentivePremiumType2Choice](#)" on [page 45](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PerSecurity	<PerScty>	{Or	[1..1]		46
	PerVote	<PerVote>	Or	[1..*]		46
	PerAttendee	<PerAttndee>	Or}	[1..1]		46

5.25.4 PaymentDate

XML Tag: PmtDt

Presence: [0..1]

Definition: Date/time for the payment of the premium.

The **PmtDt** block contains the following elements (see datatype "[DateFormat3Choice](#)" on [page 32](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		33

5.26 IncentivePremiumType2Choice

Definition: Choice of cash premium paid to the security holder when voting.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PerSecurity	<PerScty>	{Or	[1..1]		46
	PerVote	<PerVote>	Or	[1..*]		46
	PerAttendee	<PerAttndee>	Or}	[1..1]		46

Used in element(s)

"Type" on page 45

5.26.1 PerSecurity

XML Tag: PerScty

Presence: [1..1]

Definition: Number of securities giving right to a premium.

Datatype: "Number" on page 148

5.26.2 PerVote

XML Tag: PerVote

Presence: [1..*]

Definition: Quantity of votes per type of vote giving right to a premium.

The **PerVote** block contains the following elements (see datatype "VoteTypeAndQuantity1" on page 122 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionType	<VoteInstrTp>		[1..1]		122
	VoteQuantity	<VoteQty>		[1..1]		123

5.26.3 PerAttendee

XML Tag: PerAttndee

Presence: [1..1]

Definition: Indicates that the premium is given per attendee.

Datatype: "YesNoIndicator" on page 164

5.27 IndividualPerson43

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreassignedProxy	<PrssgndPrxy>		[0..1]		47
	EmployingParty	<EmplngPty>		[0..1]		47

Used in element(s)

"PersonDetails" on page 98

5.27.1 PreassignedProxy

XML Tag: PrssgndPrxy

Presence: [0..1]

Definition: Unique and unambiguous identification of the proxy.

The **PrssgndPrxy** block contains the following elements (see datatype "[PartyIdentification232Choice](#)" on page 81 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		82
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		82

5.27.2 EmployingParty

XML Tag: EmplngPty

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

The **EmplngPty** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 75 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		75
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		75
	NameAndAddress	<NmAndAdr>	Or	[1..1]		76
	LEI	<LEI>	Or}	[1..1]		76

5.28 IssuerAgent3

Definition: Identification of the issuer and its role.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		48
	Role	<Role>		[0..1]		48

Used in element(s)

"IssuerAgent" on page 16

5.28.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of the issuer agent.

The **Id** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 75 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		75
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		75
	NameAndAddress	<NmAndAdr>	Or	[1..1]		76
	LEI	<LEI>	Or}	[1..1]		76

5.28.2 Role

XML Tag: Role

Presence: [0..1]

Definition: Role of the issuer agent.

Datatype: "[AgentRole1Code](#)" on page 126

5.29 IssuerInformation3

Definition: Identification of an organisation.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		49
	URLAddress	<URLAdr>		[0..1]	🚫	49

Used in element(s)

"Issuer" on page 15

5.29.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unique and unambiguous way to identify the organisation.

The **Id** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 75 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]	🚫	75
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	🚫	75
	NameAndAddress	<NmAndAdr>	Or	[1..1]		76
	LEI	<LEI>	Or}	[1..1]	🚫	76

5.29.2 URLAddress

XML Tag: URLAdr

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "[Max2048Text](#)" on page 138

Usage Guideline details

- This element([seev.001.001.08/Issuer/URLAddress](#)) is removed.

5.30 ItemDescription1

Definition: Language, title and textual description in the specified language of an item.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		50
	Title	<Titl>		[0..1]		50
	Description	<Desc>		[0..1]		50

Used in element(s)

["Description" on page 101](#)

5.30.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode" on page 135](#)

5.30.2 Title

XML Tag: Titl

Presence: [0..1]

Definition: Abbreviated description of the item.

Datatype: ["Max350Text" on page 139](#)

5.30.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Free textual description of the item in the specified language.

Datatype: ["Max1025Text" on page 137](#)

5.31 LanguageSpecifiedNarrative1

Definition: Provides narrative information about an event and the language in which it is specified.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		50
	AdditionalInformation	<AddtlInf>		[1..1]		51

Used in element(s)

["Disclaimer" on page 29](#)

5.31.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode" on page 135](#)

5.31.2 AdditionalInformation

XML Tag: AddtlInf

Presence: [1..1]

Definition: Provides additional textual information in the specified language.

Datatype: "Max8000Text" on page 140

5.32 LocationFormat1Choice

Definition: Choice between the formats to indicate the location of the meeting.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Address	<Adr>	{Or	[1..1]	🚫	51
	LocationCode	<LctnCd>	Or}	[1..1]		52

Used in element(s)

"Location" on page 53

5.32.1 Address

XML Tag: Adr

Presence: [1..1]

Definition: Specifies physical address of the meeting.

The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 90 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		90
	AddressLine	<AdrLine>		[0..5]		91
	StreetName	<StrtNm>		[0..1]		91
	BuildingNumber	<BldgNb>		[0..1]		91
	PostCode	<PstCd>		[0..1]		92
	TownName	<TwnNm>		[0..1]		92
	CountrySubDivision	<CtrySubDvsn>		[0..1]		92
	Country	<Ctry>		[1..1]		93

Usage Guideline details

- This element([see v.001.001.08/MeetingDetails/Location/Address](#)) is removed.

5.32.2 LocationCode

XML Tag: LctnCd

Presence: [1..1]

Definition: Indicates that the location is unknown.

Datatype: ["PlaceType1Code" on page 149](#)

5.33 Meeting6

Definition: Information about the date and location(s) of a general meeting.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateAndTime	<DtAndTm>		[1..1]		52
	DateStatus	<DtSts>		[0..1]	🚫	53
	QuorumRequired	<QrmReqrd>		[0..1]	🚫	53
	Location	<Lctn>		[1..5]		53
	QuorumQuantity	<QrmQty>		[0..1]	🚫	54
	URLAddress	<URLAdr>		[0..1]	🚫	54

Rules

R16 DateStatus1Rule ✓

If DateAndTime/DateCode is "UKWN" (Unknown), then DateStatus must not be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00085
- *Error Text:* Invalid message content for DateCode

Used in element(s)

["MeetingDetails" on page 14](#)

5.33.1 DateAndTime

XML Tag: DtAndTm

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

The **DtAndTm** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 33 for details)

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.33.2 DateStatus

XML Tag: DtSts

Presence: [0..1]

Definition: Status of the meeting date.

Datatype: "[MeetingDateStatus2Code](#)" on page 141

Usage Guideline details

- This element([seev.001.001.08/MeetingDetails/DateStatus](#)) is removed.

5.33.3 QuorumRequired

XML Tag: QrmReqrd

Presence: [0..1]

Definition: Indicates whether a minimum number of security representation is required to hold a meeting.

Datatype: "[YesNoIndicator](#)" on page 164

Usage Guideline details

- This element([seev.001.001.08/MeetingDetails/QuorumRequired](#)) is removed.

5.33.4 Location

XML Tag: Lctn

Presence: [1..5]

Definition: Location at which the meeting will take place.

The **Lctn** block contains the following elements (see datatype "[LocationFormat1Choice](#)" on page 51 for details)

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Address	<Adr>	{Or	[1..1]	🚫	51
	LocationCode	<LctnCd>	Or}	[1..1]		52

5.33.5 QuorumQuantity

XML Tag: QrmQty

Presence: [0..1]

Definition: Minimum quantity of securities required to hold a meeting.

The **QrmQty** block contains the following elements (see datatype "[QuorumQuantity1Choice](#)" on page 100 for details)

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuorumQuantity	<QrmQty>	{Or	[1..1]		100
	QuorumQuantityPercentage	<QrmQtyPctg>	Or}	[1..1]		100

Usage Guideline details

- This element([seev.001.001.08/MeetingDetails/QuorumQuantity](#)) is removed.

5.33.6 URLAddress

XML Tag: URLAdr

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "[Max2048Text](#)" on page 138

Usage Guideline details

- This element([seev.001.001.08/MeetingDetails/URLAddress](#)) is removed.

5.34 MeetingContactPerson3

Definition: Information about a contact person.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ContactPerson	<CtctPrsn>		[0..1]		55
	EmployingParty	<EmplngPty>		[0..1]		55
	PlaceOfListing	<PlcOfListg>		[0..1]		56

Used in element(s)

"ContactPersonDetails" on page 64

5.34.1 ContactPerson

XML Tag: CtctPrsn

Presence: [0..1]

Definition: Identification of the contact person by its name, given name and address.

The **CtctPrsn** block contains the following elements (see datatype "ContactIdentification1" on page 27 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠️	27
	NamePrefix	<NmPrfx>		[0..1]	🚫	27
	GivenName	<GvnNm>		[0..1]	🚫	28
	Role	<Role>		[0..1]	🚫	28
	PhoneNumber	<PhneNb>		[0..1]	⚠️	28
	FaxNumber	<FaxNb>		[0..1]	⚠️	29
	EmailAddress	<EmailAdr>		[0..1]	⚠️	29

5.34.2 EmployingParty

XML Tag: EmplngPty

Presence: [0..1]

Definition: Identification of the organisation which is represented by the person or for which the person works.

The **EmpIngPty** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 75 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]	🚫	75
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	🚫	75
	NameAndAddress	<NmAndAdr>	Or	[1..1]		76
	LEI	<LEI>	Or}	[1..1]		76

5.34.3 PlaceOfListing

XML Tag: PlcOfListg

Presence: [0..1]

Definition: Identification of the financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Datatype: "[MICIdentifier](#)" on page 137

5.35 MeetingEventReference1

Definition: Identification of a linked meeting event.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		56
	LinkageType	<LkgTp>		[0..1]		57

Used in element(s)

["EventsLinkage"](#) on page 12

5.35.1 EventIdentification

XML Tag: EvtId

Presence: [1..1]

Definition: Identification of the linked meeting event.

The **EvtId** block contains the following elements (see datatype "[MeetingEventReference1Choice](#)" on page 57 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedIssuerMeetingIdentification	<LkdIssrMtglD>	{Or	[1..1]		57
	LinkedMeetingIdentification	<LkdMtglD>	Or}	[1..1]		57

5.35.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when the event is to be processed relative to the linked event.

Datatype: "[ProcessingPosition3Code](#)" on page 151

5.36 MeetingEventReference1Choice

Definition: Choice between a meeting event identification or a meeting event official identification.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedIssuerMeetingIdentification	<LkdIssrMtglD>	{Or	[1..1]		57
	LinkedMeetingIdentification	<LkdMtglD>	Or}	[1..1]		57

Used in element(s)

"[EventIdentification](#)" on page 56

5.36.1 LinkedIssuerMeetingIdentification

XML Tag: LkdIssrMtglD

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a meeting event.

Datatype: "[Max35Text](#)" on page 139

5.36.2 LinkedMeetingIdentification

XML Tag: LkdMtglD

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related meeting event.

Datatype: "[Max35Text](#)" on page 139

5.37 MeetingNotice6

Definition: Information about a meeting, participation requirements and voting procedures.

🚫 Removed - 💡 Ignored - ⚠️ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtglId>		[1..1]	⚠️	58
	IssuerMeetingIdentification	<IssrMtglId>		[0..1]	🚫	59
	Type	<Tp>		[1..1]		59
	Classification	<Clssfctn>		[0..1]		59
	AnnouncementDate	<AnncmntDt>		[0..1]	🚫	60
	OneManOneVoteIndicator	<OneManOneVoteInd>		[0..1]	🚫	60
	Participation	<Prtcpn>		[0..*]	🚫	60
	Attendance	<Attndnc>		[0..1]	🚫	61
	AdditionalDocumentationURLAddress	<AddtlDcmnttnURLAdr>		[0..*]	🚫	61
	AdditionalProcedureDetails	<AddtlPrcdrDtls>		[0..5]	🚫	62
	TotalNumberOfSecuritiesOutstanding	<TtlNbOfSctiesOutsdng>		[0..1]	🚫	62
	TotalNumberOfVotingRights	<TtlNbOfVtngRghts>		[0..1]	🚫	63
	ProxyAppointmentNotificationAddress	<PrxyAppntmntNtfctnAdr>		[0..1]	🚫	63
	ProxyChoice	<PrxyChc>		[0..1]	🚫	63
	ContactPersonDetails	<CtctPrsnDtls>		[0..12]		64
	ResultPublicationDate	<RsltPblctnDt>		[0..1]	🚫	64
	SecuritiesBlockingPeriodEndDate	<SctiesBlckgPrdEndDt>		[0..1]	🚫	65
	EntitlementFixingDate	<EnttlmntFxdDt>		[0..1]	🚫	65
	RegistrationSecuritiesDeadline	<RegnSctiesDdln>		[0..1]	🚫	65
	RegistrationSecuritiesMarketDeadline	<RegnSctiesMktDdln>		[0..1]	🚫	66

Used in element(s)

"Meeting" on page 13

5.37.1 MeetingIdentification

XML Tag: MtglId

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification

Datatype: "Max35Text" on page 139

Usage Guideline details

- on **seev.001.001.08/Meeting/MeetingIdentification**

– **DTCC Market Practice Rule:**

Mapped from CA Event ID.

- on **seev.001.001.08/Meeting/MeetingIdentification**

Synonym (DTCC): CA Event ID

- on **seev.001.001.08/Meeting/MeetingIdentification**

Comment:

DTCC unique identification of an event.

5.37.2 IssuerMeetingIdentification

XML Tag: IssrMtgId

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 139

Usage Guideline details

- This element(**seev.001.001.08/Meeting/IssuerMeetingIdentification**) is removed.

5.37.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of security holders meeting.

Datatype: "MeetingType4Code" on page 141

5.37.4 Classification

XML Tag: Clssfctn

Presence: [0..1]

Definition: Classification of the meeting.

The **Clssfctn** block contains the following elements (see datatype "MeetingTypeClassification2Choice" on page 66 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - 📌 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		67
	Proprietary	<Prtry>	Or}	[1..1]	🚫	67

5.37.5 AnnouncementDate

XML Tag: AnncmntDt

Presence: [0..1]

Definition: Official meeting announcement date.

The **AnncmntDt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 30 for details)

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		30
	DateTime	<DtTm>	Or}	[1..1]		30

Usage Guideline details

- This element([seev.001.001.08/Meeting/AnnouncementDate](#)) is removed.

5.37.6 OneManOneVoteIndicator

XML Tag: OneManOneVoteInd

Presence: [0..1]

Definition: Indicates whether the meeting vote is held under the "one-man-one-vote" principle, also known as "per capita vote" whereby the shareholder attending the meeting has one vote only, regardless of the holding positions.

Datatype: "[YesNoIndicator](#)" on page 164

Usage Guideline details

- This element([seev.001.001.08/Meeting/OneManOneVoteIndicator](#)) is removed.

5.37.7 Participation

XML Tag: Prtcptn

Presence: [0..*]

Definition: Method of voting participation to the general meeting and related voting deadline per method of participation.

The **Prtcptn** block contains the following elements (see datatype "ParticipationMethod1" on page 73 for details)

Removed - Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ParticipationMethod	<PrtcptnMtd>		[1..1]		73
	IssuerDeadlineForVoting	<IssrDdlInForVtng>		[1..1]		73

Usage Guideline details

- This element(seev.001.001.08/Meeting/Participation) is removed.

5.37.8 Attendance

XML Tag: AttnDnc

Presence: [0..1]

Definition: Information and conditions for physical attendance at the general meeting.

The **AttnDnc** block contains the following elements (see datatype "Attendance2" on page 24 for details)

Removed - Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdmissionConditions	<AdmssnConds>		[0..7]		24
	ConfirmationInformation	<ConfInf>		[0..1]		25
	ConfirmationDeadline	<ConfDdlIn>		[0..1]		25
	ConfirmationMarketDeadline	<ConfMktDdlIn>		[0..1]		25

Usage Guideline details

- This element(seev.001.001.08/Meeting/Attendance) is removed.

5.37.9 AdditionalDocumentationURLAddress

XML Tag: AddtlDcmnttnURLAdr

Presence: [0..*]

Definition: Address to use over the www (HTTP) service where additional information on the meeting may be found.

Datatype: "Max2048Text" on page 138

Usage Guideline details

- This element(seev.001.001.08/Meeting/AdditionalDocumentationURLAddress) is removed.

5.37.10 AdditionalProcedureDetails

XML Tag: AddtlPrcdrDtls

Presence: [0..5]

Definition: Additional procedural information about the general meeting, specifying the participation requirements and the voting procedures. Alternatively, this may indicate where such information may be obtained.

The **AddtlPrcdrDtls** block contains the following elements (see datatype "[AdditionalRights3](#)" on page 22 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalRight	<AddtlRght>		[1..1]		22
	AdditionalRightInformationURLAddress	<AddtlRghtInfURLAdr>		[0..1]		23
	AdditionalRightDeadline	<AddtlRghtDdlIn>		[0..1]		23
	AdditionalRightMarketDeadline	<AddtlRghtMktDdlIn>		[0..1]		23
	AdditionalRightThreshold	<AddtlRghtThrshld>		[0..1]		24

Usage Guideline details

- This element(seev.001.001.08/Meeting/AdditionalProcedureDetails) is removed.

5.37.11 TotalNumberOfSecuritiesOutstanding

XML Tag: TtlNbOfSctiesOutsdng

Presence: [0..1]

Definition: Number of securities admitted to the vote, expressed as an amount and a currency.

The **TtlNbOfSctiesOutsdng** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 37 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		38
	FaceAmount	<FaceAmt>	Or}	[1..1]		38

Usage Guideline details

- This element(seev.001.001.08/Meeting/TotalNumberOfSecuritiesOutstanding) is removed.

5.37.12 TotalNumberOfVotingRights

XML Tag: TtlNbOfVtngRghts

Presence: [0..1]

Definition: Number of rights admitted to the vote.

Datatype: "Number" on page 148

Usage Guideline details

- This element(seev.001.001.08/Meeting/TotalNumberOfVotingRights) is removed.

5.37.13 ProxyAppointmentNotificationAddress

XML Tag: PrxyAppntmntNtfctnAdr

Presence: [0..1]

Definition: Address where the information on the proxy should be sent.

The **PrxyAppntmntNtfctnAdr** block contains the following elements (see datatype "PostalAddress1" on page 90 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		90
	AddressLine	<AdrLine>		[0..5]		91
	StreetName	<StrtNm>		[0..1]		91
	BuildingNumber	<BldgNb>		[0..1]		91
	PostCode	<PstCd>		[0..1]		92
	TownName	<TwnNm>		[0..1]		92
	CountrySubDivision	<CtrySubDvsn>		[0..1]		92
	Country	<Ctry>		[1..1]		93

Usage Guideline details

- This element(seev.001.001.08/Meeting/ProxyAppointmentNotificationAddress) is removed.

5.37.14 ProxyChoice

XML Tag: PrxyChc

Presence: [0..1]

Definition: Specifies the proxy or whether a proxy is not allowed.

The **PrxyChc** block contains the following elements (see datatype "[Proxy4Choice](#)" on page 97 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proxy	<Prxy>	{Or	[1..1]		97
	ProxyNotAllowed	<PrxyNotAllowed>	Or}	[1..1]		97

Usage Guideline details

- This element(seev.001.001.08/Meeting/ProxyChoice) is removed.

5.37.15 ContactPersonDetails

XML Tag: CtctPrsnDtIs

Presence: [0..12]

Definition: Contact person at the party organising the meeting, at the issuer or at an intermediary.

The **CtctPrsnDtIs** block contains the following elements (see datatype "[MeetingContactPerson3](#)" on page 54 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ContactPerson	<CtctPrsn>		[0..1]		55
	EmployingParty	<EmplngPty>		[0..1]		55
	PlaceOfListing	<PlcOfListg>		[0..1]		56

5.37.16 ResultPublicationDate

XML Tag: RsltPblctnDt

Presence: [0..1]

Definition: Date on which the company publishes the results of its meeting.

The **RsltPblctnDt** block contains the following elements (see datatype "[DateFormat3Choice](#)" on page 32 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		33

Usage Guideline details

- This element([seev.001.001.08/Meeting/ResultPublicationDate](#)) is removed.

5.37.17 SecuritiesBlockingPeriodEndDate

XML Tag: SctiesBlckgPrdEndDt

Presence: [0..1]

Definition: Date by which the blocking period for the securities should end.

Datatype: ["ISODatetime" on page 136](#)

Usage Guideline details

- This element([seev.001.001.08/Meeting/SecuritiesBlockingPeriodEndDate](#)) is removed.

5.37.18 EntitlementFixingDate

XML Tag: EntitlmntFxdDt

Presence: [0..1]

Definition: Date at which the positions are struck to record which parties will receive the entitlement, for example, record date, book close date.

The **EntitlmntFxdDt** block contains the following elements (see datatype ["DateFormat1" on page 31](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>		[1..1]		32
	DateMode	<DtMd>		[0..1]		32

Usage Guideline details

- This element([seev.001.001.08/Meeting/EntitlementFixingDate](#)) is removed.

5.37.19 RegistrationSecuritiesDeadline

XML Tag: RegnSctiesDdln

Presence: [0..1]

Definition: Date by which the securities have to be registered. This deadline is specified by an intermediary.

The **RegnSciesDdIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 33 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

Usage Guideline details

- This element([seev.001.001.08/Meeting/RegistrationSecuritiesDeadline](#)) is removed.

5.37.20 RegistrationSecuritiesMarketDeadline

XML Tag: RegnSciesMktDdIn

Presence: [0..1]

Definition: Date by which the securities have to be registered. This deadline is set by the issuer.

The **RegnSciesMktDdIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 33 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

Usage Guideline details

- This element([seev.001.001.08/Meeting/RegistrationSecuritiesMarketDeadline](#)) is removed.

5.38 MeetingTypeClassification2Choice

Definition: Choice of meeting type classification.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		67
	Proprietary	<Prtry>	Or}	[1..1]	🚫	67

Used in element(s)

"Classification" on page 59

5.38.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: ["MeetingTypeClassification2Code" on page 143](#)

5.38.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification13" on page 38](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		38
	SchemeName	<SchmeNm>		[0..1]		38
	Issuer	<Issr>		[1..1]		39

Usage Guideline details

- This element([see v.001.001.08/Meeting/Classification/Proprietary](#)) is removed.

5.39 NameAndAddress5

Definition: Information that locates and identifies a party.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	67
	Address	<Adr>		[0..1]	🚫	68

Used in element(s)

["NameAndAddress" on page 76](#)

5.39.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 139

Usage Guideline details

- on seev.001.001.08/Meeting/ContactPersonDetails/EmployingParty/NameAndAddress/Name
Synonym (DTCC): Contact Information Firm

5.39.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 90 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]	🚫	90
	AddressLine	<AdrLine>		[0..5]	⚠	91
	StreetName	<StrtNm>		[0..1]	🚫	91
	BuildingNumber	<BldgNb>		[0..1]	🚫	91
	PostCode	<PstCd>		[0..1]	⚠	92
	TownName	<TwnNm>		[0..1]	⚠	92
	CountrySubDivision	<CtrySubDvsn>		[0..1]	⚠	92
	Country	<Ctry>		[1..1]	⚠	93

Usage Guideline details

- This element(seev.001.001.08/Issuer/Identification/NameAndAddress/Address) is removed.

5.40 NaturalPersonIdentification1

Definition: Identification number and identification type of a natural person.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		69
	IdentificationType	<IdTp>		[0..1]		69

Used in element(s)

["Identification" on page 87](#), ["Identification" on page 85](#)

5.40.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification.

Datatype: ["Max35Text" on page 139](#)





5.40.2 IdentificationType

XML Tag: IdTp

Presence: [0..1]

Definition: Type of local identification for a natural person.





The **IdTp** block contains the following elements (see datatype ["IdentificationType45Choice" on page 43](#) for details)

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		43
	Proprietary	<Prtry>	Or}	[1..1]		44

5.41 NotificationGeneralInformation2

Definition: Event notification type and status.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotificationType	<NtfctnTp>		[1..1]		69
	NotificationStatus	<NtfctnSts>		[1..1]		70
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]		70

Used in element(s)

["NotificationGeneralInformation" on page 12](#)

5.41.1 NotificationType

XML Tag: NtfctnTp

Presence: [1..1]

Definition: Type of notification.

Datatype: ["NotificationType3Code" on page 147](#)

5.41.2 NotificationStatus

XML Tag: NtfctnSts

Presence: [1..1]

Definition: Status of the details of the event.

The **NtfctnSts** block contains the following elements (see datatype "EventStatus1" on page 36 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventCompletenessStatus	<EvtCmpltnsSts>		[1..1]		37
	EventConfirmationStatus	<EvtConfSts>		[1..1]	⚠️	37

5.41.3 ShareholderRightsDirectiveIndicator

XML Tag: ShrhldrRghtsDrctvInd

Presence: [0..1]

Definition: Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

Datatype: "YesNoIndicator" on page 164

5.42 NotificationUpdate2

Definition: Identification of a previous notification.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreviousNotificationIdentification	<PrvsNtfctnId>		[1..1]	⚠️	70
	ReconfirmInstructions	<RcnfrmlInstrs>		[0..1]	🚫	71

Used in element(s)

"NotificationUpdate" on page 12

5.42.1 PreviousNotificationIdentification

XML Tag: PrvsNtfctnId

Presence: [1..1]

Definition: Identification of a previously sent meeting notification message.

Datatype: "Max35Text" on page 139

Usage Guideline details

- on seev.001.001.08/NotificationUpdate/PreviousNotificationIdentification

– **DTCC Market Practice Rule:**

Identification of the previously sent message.

5.42.2 ReconfirmInstructions

XML Tag: RcnfrmInstrs

Presence: [0..1]

Definition: Indicates whether a meeting instruction must be resent in case the parameters of the meeting are changed and the meeting instruction has already been sent.





Datatype: ["YesNoIndicator"](#) on page 164

Usage Guideline details

- This element([seev.001.001.08/NotificationUpdate/ReconfirmInstructions](#)) is removed.

5.43 NumberOrPercentage1Choice

Definition: Choice between a percentage and number for a threshold.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ThresholdPercentage	<ThrshldPctg>	{Or	[1..1]		71
	ThresholdNumber	<ThrshldNb>	Or}	[1..1]		71

Used in element(s)

["VotingRightsThresholdForApproval"](#) on page 104

5.43.1 ThresholdPercentage

XML Tag: ThrshldPctg

Presence: [1..1]

Definition: Threshold provided as a percentage rate.

Datatype: ["PercentageRate"](#) on page 148

5.43.2 ThresholdNumber

XML Tag: ThrshldNb

Presence: [1..1]

Definition: Threshold provided as a decimal number.

Datatype: ["Number"](#) on page 148

5.44 OtherIdentification1

Definition: Other accepted financial instrument's identification than ISIN.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		72
	Suffix	<Sfx>		[0..1]	🚫	72
	Type	<Tp>		[1..1]		72

Used in element(s)

"OtherIdentification" on page 109

5.44.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 139

5.44.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 138

Usage Guideline details

- This element(seev.001.001.08/Security/FinancialInstrumentIdentification/OtherIdentification/Suffix) is removed.

5.44.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "[IdentificationSource3Choice](#)" on page 43 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		43
	Proprietary	<Prtry>	Or}	[1..1]		43

5.45 ParticipationMethod1

Definition: Method of voting participation to a general meeting.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ParticipationMethod	<PrtcptnMtd>		[1..1]		73
	IssuerDeadlineForVoting	<IssrDdlnForVtng>		[1..1]		73

Used in element(s)

"Participation" on page 60

5.45.1 ParticipationMethod

XML Tag: PrtcptnMtd

Presence: [1..1]

Definition: Method of voting participation to the general meeting.

The **PrtcptnMtd** block contains the following elements (see datatype "[ParticipationMethod1Choice](#)" on page 74 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		74
	Proprietary	<Prtry>	Or}	[1..1]		74

5.45.2 IssuerDeadlineForVoting

XML Tag: IssrDdlnForVtng

Presence: [1..1]

Definition: Deadline for voting on agenda resolutions at the general meeting.

The **IssrDdInForVtng** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 33 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.46 ParticipationMethod1Choice

Definition: Choice between a predefined code value or a proprietary code value for a general meeting voting participation method.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		74
	Proprietary	<Prtry>	Or}	[1..1]		74

Used in element(s)

"[ParticipationMethod](#)" on page 73

5.46.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a code.

Datatype: "[VotingParticipationMethod1Code](#)" on page 163

5.46.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 39 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		39
	Issuer	<Issr>		[1..1]		39
	SchemeName	<SchmeNm>		[0..1]		39

5.47 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]	🚫	75
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	🚫	75
	NameAndAddress	<NmAndAdr>	Or	[1..1]		76
	LEI	<LEI>	Or}	[1..1]	🚫	76

Used in element(s)

"EmployingParty" on page 55, "EmployingParty" on page 47, "Identification" on page 49, "Identification" on page 48

5.47.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 127

Usage Guideline details

- This element(seev.001.001.08/Issuer/Identification/AnyBIC) is removed.
- This element(seev.001.001.08/Meeting/ContactPersonDetails/EmployingParty/AnyBIC) is removed.

5.47.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36](#)" on [page 40](#) for details)

🚫 Removed - ⬡ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		40
	Issuer	<Issr>		[1..1]		40
	SchemeName	<SchmeNm>		[0..1]		40

Usage Guideline details

- This element(seev.001.001.08/Issuer/Identification/ProprietaryIdentification) is removed.
- This element(seev.001.001.08/Meeting/ContactPersonDetails/EmployingParty/ProprietaryIdentification) is removed.

5.47.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5](#)" on [page 67](#) for details)

🚫 Removed - ⬡ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	67
	Address	<Adr>		[0..1]	🚫	68

5.47.4 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "[LEIIdentifier](#)" on [page 137](#)

Usage Guideline details

- This element(seev.001.001.08/Issuer/Identification/LEI) is removed.

5.48 PartyIdentification198Choice

Definition: Identification of a party.

Removed - Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		77
	LEI	<LEI>	Or	[1..1]		77
	AnyBIC	<AnyBIC>	Or	[1..1]		77
	ClientIdentification	<ClntId>	Or	[1..1]		77
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		78

Used in element(s)

"Identification" on page 79, "Identification" on page 80

5.48.1 NationalRegistrationNumber

XML Tag: NtlRegnNb

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 139

5.48.2 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 137

5.48.3 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 127

5.48.4 ClientIdentification

XML Tag: ClntId

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 140

5.48.5 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36](#)" on page 40 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		40
	Issuer	<Issr>		[1..1]		40
	SchemeName	<SchmeNm>		[0..1]		40

5.49 PartyIdentification221

Definition: Identification of a party.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		78
	EmailAddress	<EmailAdr>		[0..1]		79
	Identification	<Id>		[1..1]		79

Used in element(s)

"LegalPerson" on page 82, "LegalPerson" on page 81

5.49.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on page 88 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		88
	Address	<Adr>		[0..1]		88

5.49.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: ["Max256Text" on page 138](#)

5.49.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype ["PartyIdentification198Choice" on page 76](#) for details)

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		77
	LEI	<LEI>	Or	[1..1]		77
	AnyBIC	<AnyBIC>	Or	[1..1]		77
	ClientIdentification	<ClntId>	Or	[1..1]		77
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		78

5.50 PartyIdentification224

Definition: Identification of a party.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		79
	EmailAddress	<EmailAdr>		[0..1]		80
	Identification	<Id>		[0..1]		80

Used in element(s)

["LegalPerson" on page 83](#)

5.50.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on page 88 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		88
	Address	<Adr>		[0..1]		88

5.50.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on page 138

5.50.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "[PartyIdentification198Choice](#)" on page 76 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		77
	LEI	<LEI>	Or	[1..1]		77
	AnyBIC	<AnyBIC>	Or	[1..1]		77
	ClientIdentification	<ClntId>	Or	[1..1]		77
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		78

5.51 PartyIdentification231Choice

Definition: Identification of a party.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		81
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		81

Used in element(s)

"AccountOwner" on page 35

5.51.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification221" on page 78 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		78
	EmailAddress	<EmailAdr>		[0..1]		79
	Identification	<Id>		[1..1]		79

5.51.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "PartyIdentification238" on page 84 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		84
	EmailAddress	<EmailAdr>		[0..1]		85
	Identification	<Id>		[1..1]		85
	Nationality	<Ntlty>		[0..1]		85
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		85

5.52 PartyIdentification232Choice

Definition: Identification of a party.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or}	[1..1]		82
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		82

Used in element(s)

"PreassignedProxy" on page 47

5.52.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification221](#)" on page 78 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		78
	EmailAddress	<EmailAdr>		[0..1]		79
	Identification	<Id>		[1..1]		79

5.52.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..1]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification238](#)" on page 84 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		84
	EmailAddress	<EmailAdr>		[0..1]		85
	Identification	<Id>		[1..1]		85
	Nationality	<Ntlty>		[0..1]		85
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		85

5.53 PartyIdentification233Choice

Definition: Identification of a party.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		83
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		83

Used in element(s)

"RightsHolder" on page 35

5.53.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification224" on page 79 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		79
	EmailAddress	<EmailAdr>		[0..1]		80
	Identification	<Id>		[0..1]		80

5.53.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification240](#)" on page 86 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		86
	EmailAddress	<EmailAdr>		[0..1]		87
	Identification	<Id>		[0..1]		87
	Nationality	<Ntlty>		[0..1]		87
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		87

5.54 PartyIdentification238

Definition: Identification of a party.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		84
	EmailAddress	<EmailAdr>		[0..1]		85
	Identification	<Id>		[1..1]		85
	Nationality	<Ntlty>		[0..1]		85
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		85

Used in element(s)

"NaturalPerson" on page 82, "NaturalPerson" on page 81

5.54.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName3](#)" on page 89 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		89
	FirstName	<FrstNm>		[1..1]		89
	Surname	<Snm>		[1..1]		89
	Address	<Adr>		[0..1]		89

5.54.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on page 138

5.54.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "[NaturalPersonIdentification1](#)" on page 68 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		69
	IdentificationType	<IdTp>		[0..1]		69

5.54.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "[CountryCode](#)" on page 130

5.54.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on [page 30](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		31
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		31
	CityOfBirth	<CityOfBirth>		[0..1]		31
	CountryOfBirth	<CtryOfBirth>		[0..1]		31

5.55 PartyIdentification240

Definition: Identification of a party.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		86
	EmailAddress	<EmailAdr>		[0..1]		87
	Identification	<Id>		[0..1]		87
	Nationality	<Ntlty>		[0..1]		87
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		87

Used in element(s)

"[NaturalPerson](#)" on [page 83](#)

5.55.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName3](#)" on [page 89](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		89
	FirstName	<FrstNm>		[1..1]		89
	Surname	<SrnM>		[1..1]		89
	Address	<Adr>		[0..1]		89

5.55.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: ["Max256Text" on page 138](#)

5.55.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype ["NaturalPersonIdentification1" on page 68](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		69
	IdentificationType	<IdTp>		[0..1]		69

5.55.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: ["CountryCode" on page 130](#)

5.55.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype ["DateAndPlaceOfBirth2" on page 30](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		31
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		31
	CityOfBirth	<CityOfBirth>		[0..1]		31
	CountryOfBirth	<CtryOfBirth>		[0..1]		31

5.56 PersonName2

Definition: Identifies a person via its first name and surname.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		88
	Address	<Adr>		[0..1]		88

Used in element(s)

["NameAndAddress" on page 78](#), ["NameAndAddress" on page 79](#)

5.56.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: ["Max350Text" on page 139](#)

5.56.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype ["PostalAddress26" on page 93](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		93
	AddressLine	<AdrLine>		[0..5]		94
	StreetName	<StrtNm>		[0..1]		94
	BuildingNumber	<BldgNb>		[0..1]		94
	PostBox	<PstBx>		[0..1]		94
	PostCode	<PstCd>		[0..1]		94
	TownName	<TwnNm>		[0..1]		94
	CountrySubDivision	<CtrySubDvsn>		[0..1]		95
	Country	<Ctry>		[1..1]		95

5.57 PersonName3

Definition: Identifies a person via its first name and surname.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		89
	FirstName	<FrstNm>		[1..1]		89
	Surname	<SrnM>		[1..1]		89
	Address	<Adr>		[0..1]		89

Used in element(s)

"NameAndAddress" on page 86, "NameAndAddress" on page 84

5.57.1 NamePrefix

XML Tag: NmPrfx

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: "NamePrefix2Code" on page 146

5.57.2 FirstName

XML Tag: FrstNm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 139

5.57.3 Surname

XML Tag: SrnM

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 139

5.57.4 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 93 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		93
	AddressLine	<AdrLine>		[0..5]		94
	StreetName	<StrtNm>		[0..1]		94
	BuildingNumber	<BldgNb>		[0..1]		94
	PostBox	<PstBx>		[0..1]		94
	PostCode	<PstCd>		[0..1]		94
	TownName	<TwnNm>		[0..1]		94
	CountrySubDivision	<CtrySubDvsn>		[0..1]		95
	Country	<Ctry>		[1..1]		95

5.58 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]	🚫	90
	AddressLine	<AdrLine>		[0..5]	⚠️	91
	StreetName	<StrtNm>		[0..1]	🚫	91
	BuildingNumber	<BldgNb>		[0..1]	🚫	91
	PostCode	<PstCd>		[0..1]	⚠️	92
	TownName	<TwnNm>		[0..1]	⚠️	92
	CountrySubDivision	<CtrySubDvsn>		[0..1]	⚠️	92
	Country	<Ctry>		[1..1]	⚠️	93

Used in element(s)

"Address" on page 68, "Address" on page 51, "ProxyAppointmentNotificationAddress" on page 63, "VoteByMail" on page 114, "VotingBallotRequestAddress" on page 118

5.58.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: ["AddressType2Code" on page 125](#)

Usage Guideline details

- This element([seev.001.001.08/Meeting/ContactPersonDetails/EmployingParty/NameAndAddress/Address/AddressType](#)) is removed.

5.58.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: ["Max70Text" on page 140](#)

Usage Guideline details

- on [seev.001.001.08/Meeting/ContactPersonDetails/EmployingParty/NameAndAddress/Address/AddressLine](#)

Synonym (DTCC): Contact Information Address

5.58.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: ["Max70Text" on page 140](#)

Usage Guideline details

- This element([seev.001.001.08/Meeting/ContactPersonDetails/EmployingParty/NameAndAddress/Address/StreetName](#)) is removed.

5.58.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: ["Max16Text" on page 138](#)

Usage Guideline details

- This element([see v.001.001.08/Meeting/ContactPersonDetails/EmployingParty/NameAndAddress/Address/BuildingNumber](#)) is removed.

5.58.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: ["Max16Text" on page 138](#)

Usage Guideline details

- on [see v.001.001.08/Meeting/ContactPersonDetails/EmployingParty/NameAndAddress/Address/PostCode](#)

Synonym (DTCC): Contact Information Postal Code Zip

5.58.6 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: ["Max35Text" on page 139](#)

Usage Guideline details

- on [see v.001.001.08/Meeting/ContactPersonDetails/EmployingParty/NameAndAddress/Address/TownName](#)

Synonym (DTCC): Contact Information City

5.58.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: ["Max35Text" on page 139](#)

Usage Guideline details

- on [see v.001.001.08/Meeting/ContactPersonDetails/EmployingParty/NameAndAddress/Address/CountrySubDivision](#)

Synonym (DTCC): Contact Information State / Province

5.58.8 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: ["CountryCode" on page 130](#)

Usage Guideline details

- on [seev.001.001.08/Meeting/ContactPersonDetails/EmployingParty/NameAndAddress/Address/Country](#)

Synonym (DTCC): Contact Information Country

5.59 PostalAddress26

Definition: Information that locates and identifies a specific address, as defined by postal services.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		93
	AddressLine	<AdrLine>		[0..5]		94
	StreetName	<StrtNm>		[0..1]		94
	BuildingNumber	<BldgNb>		[0..1]		94
	PostBox	<PstBx>		[0..1]		94
	PostCode	<PstCd>		[0..1]		94
	TownName	<TwnNm>		[0..1]		94
	CountrySubDivision	<CtrySubDvsn>		[0..1]		95
	Country	<Ctry>		[1..1]		95

Used in element(s)

["Address" on page 88](#), ["Address" on page 89](#)

5.59.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: ["AddressType2Code" on page 125](#)

5.59.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: ["Max70Text" on page 140](#)

5.59.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: ["Max70Text" on page 140](#)

5.59.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: ["Max16Text" on page 138](#)

5.59.5 PostBox

XML Tag: PstBx

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: ["Max16Text" on page 138](#)

5.59.6 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: ["Max16Text" on page 138](#)

5.59.7 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: ["Max35Text" on page 139](#)

5.59.8 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: ["Max35Text" on page 139](#)

5.59.9 Country

XML Tag: Ctry





Presence: [1..1]

Definition: Nation with its own government.

Datatype: ["CountryCode" on page 130](#)

5.60 PowerOfAttorneyRequirements4

Definition: Conditions to get power of attorney.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalRequirement	<LglRqrmnt>		[0..4]		95
	OtherDocumentation	<OthrDcmnttn>		[0..1]		95
	DocumentSubmissionDeadline	<DocSubmissnDdlIn>		[0..1]		96

Guidelines

1. OtherDocumentation1Guideline

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

Used in element(s)

["PowerOfAttorneyRequirements" on page 18](#)

5.60.1 LegalRequirement

XML Tag: LglRqrmnt

Presence: [0..4]

Definition: Authority that must validate the power of attorney.

Datatype: ["PowerOfAttorneyLegalisation1Code" on page 150](#)

5.60.2 OtherDocumentation

XML Tag: OthrDcmnttn

Presence: [0..1]

Definition: Documents needed to obtain a valid power of attorney.

Datatype: "Max350Text" on page 139

5.60.3 DocumentSubmissionDeadline

XML Tag: DocSubmissnDdlIn

Presence: [0..1]

Definition: Date by which the requested documents must be provided.





The **DocSubmissnDdlIn** block contains the following elements (see datatype "DateFormat58Choice" on page 33 for details)

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.61 PriceRateOrAmount3Choice

Definition: Choice of formats for the price.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		96
	Amount	<Amt>	Or}	[1..1]		96

Used in element(s)

"Amount" on page 44

5.61.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Price expressed as a rate, that is percentage.

Datatype: "PercentageRate" on page 148

5.61.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Price expressed as a currency and value.

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 124

5.62 Proxy4Choice

Definition: Choice of proxy allowance.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proxy	<Prxy>	{Or	[1..1]		97
	ProxyNotAllowed	<PrxyNotAllowed>	Or}	[1..1]		97

Used in element(s)

"ProxyChoice" on page 63

5.62.1 Proxy

XML Tag: Prxy

Presence: [1..1]

Definition: Proxy assignment and registration information.

The **Prxy** block contains the following elements (see datatype "ProxyAppointmentInformation5" on page 98 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegistrationMethod	<RegnMtd>		[0..1]		99
	Deadline	<Ddln>		[0..1]		99
	MarketDeadline	<MktDdln>		[0..1]		99
	AuthorisedProxy	<AuthrsdPrxy>		[0..10]		99

5.62.2 ProxyNotAllowed

XML Tag: PrxyNotAllowed

Presence: [1..1]

Definition: Indicates that no proxy is allowed for a meeting.

Datatype: "ProxyNotAllowed1Code" on page 151

5.63 Proxy9

Definition: Identification of a proxy appointed to represent a party authorised to vote at a shareholders meeting.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProxyType	<PrxyTp>		[1..1]		98
	PersonDetails	<PrsnDtls>		[0..1]		98

Used in element(s)

"[AuthorisedProxy](#)" on page 99

5.63.1 ProxyType

XML Tag: PrxyTp

Presence: [1..1]

Definition: Type of proxy.

Datatype: "[ProxyType2Code](#)" on page 152

5.63.2 PersonDetails

XML Tag: PrsnDtls

Presence: [0..1]

Definition: Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

The **PrsnDtls** block contains the following elements (see datatype "[IndividualPerson43](#)" on page 46 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreassignedProxy	<PrssgndPrxy>		[0..1]		47
	EmployingParty	<EmplngPty>		[0..1]		47

5.64 ProxyAppointmentInformation5

Definition: Information about the registration of a proxy.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegistrationMethod	<RegnMtd>		[0..1]		99
	Deadline	<Ddln>		[0..1]		99
	MarketDeadline	<MktDdln>		[0..1]		99
	AuthorisedProxy	<AuthrsdPrxy>		[0..10]		99

Used in element(s)

["Proxy" on page 97](#)

5.64.1 RegistrationMethod

XML Tag: RegnMtd

Presence: [0..1]

Definition: Specifies how to register the proxy.

Datatype: ["Max350Text" on page 139](#)

5.64.2 Deadline

XML Tag: DdIn

Presence: [0..1]

Definition: Date by which the information on the proxy assignment must be received by the intermediary.

The **DdIn** block contains the following elements (see datatype ["DateFormat58Choice" on page 33](#) for details)

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34





5.64.3 MarketDeadline

XML Tag: MktDdIn

Presence: [0..1]

Definition: Date by which the information on the proxy assignment must be received by the issuer.

The **MktDdIn** block contains the following elements (see datatype ["DateFormat58Choice" on page 33](#) for details)

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.64.4 AuthorisedProxy

XML Tag: AuthrsdPrxy

Presence: [0..10]

Definition: Proxy person that is authorised by the issuer.

The **AuthrsdPrxy** block contains the following elements (see datatype "Proxy9" on page 97 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProxyType	<PrxyTp>		[1..1]		98
	PersonDetails	<PrsnDtls>		[0..1]		98

5.65 QuorumQuantity1Choice

Definition: Choice of quorum quantity.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuorumQuantity	<QrmQty>	{Or	[1..1]		100
	QuorumQuantityPercentage	<QrmQtyPctg>	Or}	[1..1]		100

Used in element(s)

"QuorumQuantity" on page 54

5.65.1 QuorumQuantity

XML Tag: QrmQty

Presence: [1..1]

Definition: Minimum quantity of securities required to hold a meeting.

Datatype: "Max35Text" on page 139

5.65.2 QuorumQuantityPercentage

XML Tag: QrmQtyPctg

Presence: [1..1]

Definition: Minimum quantity of securities, expressed as a percentage, required to hold a meeting.

Datatype: "PercentageRate" on page 148

5.66 Resolution5

Definition: Resolutions at the agenda of a meeting and type of resolution.

🚫 Removed - 💡 Ignored - ⚠️ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		101
	Description	<Desc>		[0..*]		101
	ListingGroupResolutionLabel	<ListgGrpRslnLbl>		[0..1]		102
	Type	<Tp>		[0..1]		102
	ForInformationOnly	<ForInfOnly>		[1..1]		102
	VoteType	<VoteTp>		[0..1]		102
	Status	<Sts>		[1..1]		102
	SubmittedBySecurityHolder	<SubmittdBySctyHldr>		[0..1]		103
	RightToWithdrawIndicator	<RghtToWdrwInd>		[0..1]		103
	VoteInstructionType	<VoteInstrTp>		[0..*]		103
	ManagementRecommendation	<MgmtRcmmdtn>		[0..1]		103
	NotifyingPartyRecommendation	<NtifngPtyRcmmdtn>		[0..1]		103
	Entitlement	<Entitlmnt>		[0..1]		104
	VotingRightsThresholdForApproval	<VtngRghtsThrshldForAp-prvl>		[0..1]		104
	URLAddress	<URLAdr>		[0..1]		104

Used in element(s)

"Resolution" on page 17

5.66.1 IssuerLabel

XML Tag: IssrLbl

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 139

5.66.2 Description

XML Tag: Desc

Presence: [0..*]

Definition: Language, title and textual description of an agenda resolution.

The **Desc** block contains the following elements (see datatype "ItemDescription1" on page 49 for details)

🗑 Removed - ✦ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		50
	Title	<Titl>		[0..1]		50
	Description	<Desc>		[0..1]		50

5.66.3 ListingGroupResolutionLabel

XML Tag: ListgGrpRslnLabl

Presence: [0..1]

Definition: Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

Datatype: "Max35Text" on page 139

5.66.4 Type

XML Tag: Tp

Presence: [0..1]

Definition: Type of resolution.

Datatype: "ResolutionType2Code" on page 153

5.66.5 ForInformationOnly

XML Tag: ForInfOnly

Presence: [1..1]

Definition: Indicates whether the resolution is listed for information or for voting.

Datatype: "YesNoIndicator" on page 164

5.66.6 VoteType

XML Tag: VoteTp

Presence: [0..1]

Definition: Impact of vote results on an agenda resolution.

Datatype: "VoteType1Code" on page 162

5.66.7 Status

XML Tag: Sts

Presence: [1..1]

Definition: Specifies whether the resolution is active or withdrawn.

Datatype: ["ResolutionStatus1Code" on page 153](#)

5.66.8 SubmittedBySecurityHolder

XML Tag: SubmittdBySctyHldr

Presence: [0..1]

Definition: Indicates whether the resolution has been submitted by the security holder.

Datatype: ["YesNoIndicator" on page 164](#)

5.66.9 RightToWithdrawIndicator

XML Tag: RghtToWdrwInd

Presence: [0..1]

Definition: Indicates whether a client who has not voted in favour of the resolutions which is finally approved, has the right to withdraw from a related corporate action event.

Datatype: ["YesNoIndicator" on page 164](#)





5.66.10 VoteInstructionType

XML Tag: VoteInstrTp

Presence: [0..*]

Definition: Vote options allowed at the resolution level. When specified, it supersedes the vote options given for the meeting.

The **VoteInstrTp** block contains the following elements (see datatype ["VoteInstructionType1" on page 111](#) for details)

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionTypeCode	<VoteInstrTpCd>		[1..1]		112
	AdditionalInformation	<AddtlInf>		[0..1]		112

5.66.11 ManagementRecommendation

XML Tag: MgmtRcmmndtn

Presence: [0..1]

Definition: Specifies how the management of the issuing company wishes the security holders to vote.

Datatype: ["VoteInstruction5Code" on page 160](#)

5.66.12 NotifyingPartyRecommendation

XML Tag: NtifngPtyRcmmndtn

Presence: [0..1]

Definition: Specifies how the notifying party recommends that the security holders vote.

Datatype: ["VoteInstruction5Code" on page 160](#)

5.66.13 Entitlement

XML Tag: Entitlmnt

Presence: [0..1]

Definition: Number of votes assigned per resolution to one security.

The **Entitlmnt** block contains the following elements (see datatype "[Entitlement1Choice](#)" on page 36 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EntitlementRatio	<EntitlmntRatio>	{Or	[1..1]		36
	EntitlementDescription	<EntitlmntDesc>	Or}	[1..1]		36

5.66.14 VotingRightsThresholdForApproval

XML Tag: VtngRghtsThrshldForApprvl

Presence: [0..1]

Definition: Voting rights threshold required in percentage or in quantity to have the resolution approved.

The **VtngRghtsThrshldForApprvl** block contains the following elements (see datatype "[NumberOrPercentage1Choice](#)" on page 71 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ThresholdPercentage	<ThrshldPctg>	{Or	[1..1]		71
	ThresholdNumber	<ThrshldNb>	Or}	[1..1]		71

5.66.15 URLAddress

XML Tag: URLAdr

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where specific additional information on meeting resolutions may be found.

Datatype: "[Max2048Text](#)" on page 138

5.67 SafekeepingPlaceFormat28Choice

Definition: Choice of formats for a place of safekeeping.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		105
	Country	<Ctry>	Or	[1..1]		105
	TypeAndIdentification	<TpAndId>	Or	[1..1]		105
	Proprietary	<Prtry>	Or}	[1..1]		106

Used in element(s)

["SafekeepingPlace" on page 42](#)

5.67.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype ["SafekeepingPlaceTypeAndText6"](#) on page 107 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		107
	Identification	<Id>		[0..1]		107

5.67.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: ["CountryCode"](#) on page 130

5.67.3 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndIdentification1](#)" on page 106 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		106
	Identification	<Id>		[1..1]		106

5.67.4 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification78](#)" on page 40 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		41
	Identification	<Id>		[0..1]		41

5.68 SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		106
	Identification	<Id>		[1..1]		106

Used in element(s)

["TypeAndIdentification"](#) on page 105

5.68.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "[SafekeepingPlace1Code](#)" on page 154

5.68.2 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping.

Datatype: "AnyBICDec2014Identifier" on page 127

5.69 SafekeepingPlaceTypeAndText6

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		107
	Identification	<Id>		[0..1]		107

Used in element(s)

"Identification" on page 105

5.69.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 155

5.69.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 139

5.70 SecurityIdentification19

Definition: Identification of a security.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	🚫	108
	OtherIdentification	<OthrId>		[0..*]		109
	Description	<Desc>		[0..1]	🚫	109

Rules**R8 DescriptionPresenceRule ✓**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R9 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R10 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R11 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R12 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 110

5.70.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists),

and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: ["ISINOct2015Identifier" on page 135](#)

Usage Guideline details

- This element([see v.001.001.08/Security/FinancialInstrumentIdentification/ISIN](#)) is removed.

5.70.2 OtherIdentification

XML Tag: OthrlId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype ["OtherIdentification1" on page 72](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		72
	Suffix	<Sfx>		[0..1]	🚫	72
	Type	<Tp>		[1..1]		72

5.70.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: ["Max140Text" on page 138](#)

Usage Guideline details

- This element([see v.001.001.08/Security/FinancialInstrumentIdentification/Description](#)) is removed.

5.71 SecurityPosition12

Definition: Identification of a security and its balance.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		110
	Position	<Pos>		[0..1000]	🚫	110

Used in element(s)

"Security" on page 16

5.71.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19" on page 107 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	🚫	108
	OtherIdentification	<OthrId>		[0..*]		109
	Description	<Desc>		[0..1]	🚫	109

5.71.2 Position

XML Tag: Pos

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

The **Pos** block contains the following elements (see datatype "EligiblePosition9" on page 34 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[1..1]		35
	AccountOwner	<AcctOwnr>		[0..1]		35
	HoldingBalance	<HldgBal>		[0..15]		35
	RightsHolder	<RghtsHldr>		[0..250]		35

Usage Guideline details

- This element(seev.001.001.08/Security/Position) is removed.

5.72 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		111
	Envelope	<Envlp>		[1..1]		111

Rules

R7 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

"SupplementaryData" on page 19

5.72.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 139

5.72.2 Envelope

XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

5.73 VoteInstructionType1

Definition: Vote options for a resolution.

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionTypeCode	<VoteInstrTpCd>		[1..1]		112
	AdditionalInformation	<AddtlInf>		[0..1]		112

Rules

R15 AdditionalInformationRule ✓

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00424
- *Error Text:* Invalid message contents for VoteInstructionTypeCode. A proprietary code must be present.

Used in element(s)

"VoteInstructionType" on page 103

5.73.1 VoteInstructionTypeCode

XML Tag: VoteInstrTpCd

Presence: [1..1]

Definition: Vote option allowed at the resolution level.

The **VoteInstrTpCd** block contains the following elements (see datatype "VoteInstructionType1Choice" on page 112 for details)

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		113
	Proprietary	<Prtry>	Or}	[1..1]		113

5.73.2 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Additional information about the vote instruction type code when expressed as a proprietary code.

Datatype: "Max350Text" on page 139

5.74 VoteInstructionType1Choice

Definition: Choice between a predefined code value or a proprietary code value for a vote instruction type.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		113
	Proprietary	<Prtry>	Or}	[1..1]		113

Used in element(s)

"VoteInstructionType" on page 122, "VoteInstructionTypeCode" on page 112

5.74.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "VoteInstruction6Code" on page 161

5.74.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 39 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		39
	Issuer	<Issr>		[1..1]		39
	SchemeName	<SchmeNm>		[0..1]		39

5.75 VoteMethods3

Definition: Information about the vote methods to be used.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteThroughNetwork	<VoteThrhgNtwk>		[0..5]		114
	VoteByMail	<VoteByMail>		[0..5]		114
	ElectronicVote	<ElctrncVote>		[0..5]		114
	VoteByTelephone	<VoteByTel>		[0..5]		115

Used in element(s)

"VoteMethods" on page 118

5.75.1 VoteThroughNetwork

XML Tag: VoteThrhgNtwk

Presence: [0..5]

Definition: Network address through which a voting party can cast its vote via a structured message.

Datatype: "AnyBICDec2014Identifier" on page 127

5.75.2 VoteByMail

XML Tag: VoteByMail

Presence: [0..5]

Definition: Address where the voting ballot can be sent.

The **VoteByMail** block contains the following elements (see datatype "PostalAddress1" on page 90 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		90
	AddressLine	<AdrLine>		[0..5]		91
	StreetName	<StrtNm>		[0..1]		91
	BuildingNumber	<BldgNb>		[0..1]		91
	PostCode	<PstCd>		[0..1]		92
	TownName	<TwnNm>		[0..1]		92
	CountrySubDivision	<CtrySubDvsn>		[0..1]		92
	Country	<Ctry>		[1..1]		93

5.75.3 ElectronicVote

XML Tag: ElctrncVote

Presence: [0..5]

Definition: Electronic address, e-mail or web site, where a security holder can vote.

The **ElectrncVote** block contains the following elements (see datatype "[CommunicationAddress11](#)" on [page 26](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		26
	URLAddress	<URLAdr>		[0..1]		27

5.75.4 VoteByTelephone

XML Tag: VoteByTel

Presence: [0..5]

Definition: Telephone number providing access to an automated voting system.

Datatype: "[Max35Text](#)" on [page 139](#)

5.76 VoteParameters6

Definition: Information describing how the voting process is organised.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecuritiesQuantityRequiredToVote	<SctiesQtyReqrdToVote>		[0..1]		116
	PartialVoteAllowed	<PrtlVoteAllwd>		[1..1]		117
	SplitVoteAllowed	<SpltVoteAllwd>		[1..1]		117
	VoteDeadline	<VoteDdln>		[0..1]		117
	VoteMarketDeadline	<VoteMktDdln>		[0..1]		117
	VoteMethods	<VoteMthds>		[0..1]		118
	VotingBallotElectronicAddress	<VtngBlItElctrncAdr>		[0..1]		118
	VotingBallotRequestAddress	<VtngBlItReqAdr>		[0..1]		118
	RevocabilityDeadline	<RvcbltyDdln>		[0..1]		119
	RevocabilityMarketDeadline	<RvcbltyMktDdln>		[0..1]		119
	BeneficialOwnerDisclosure	<BnfcIOwnrDsclsr>		[0..1]		120
	EarlyIncentivePremium	<EarlyIncntivPrm>		[0..1]		120
	IncentivePremium	<IncntivPrm>		[0..1]		120
	EarlyVoteWithPremiumDeadline	<EarlyVoteWthPrmDdln>		[0..1]		121
	VoteWithPremiumDeadline	<VoteWthPrmDdln>		[0..1]		121
	VoteWithPremiumMarketDeadline	<VoteWthPrmMktDdln>		[0..1]		121
	AdditionalVotingRequirements	<AddtlVtngRqrmnts>		[0..1]		122
	PreviousInstructionInvalidityIndicator	<PrvsInstrInvltdyInd>		[0..1]		122

Used in element(s)

"Vote" on page 17

5.76.1 SecuritiesQuantityRequiredToVote

XML Tag: SctiesQtyReqrdToVote

Presence: [0..1]

Definition: Number of holdings required for a vote.

The **SctiesQtyReqrdToVote** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 37 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		38
	FaceAmount	<FaceAmt>	Or}	[1..1]		38

5.76.2 PartialVoteAllowed

XML Tag: PrtlVoteAllwd

Presence: [1..1]

Definition: Indicates whether a vote can be on a part of the entire holding, that is, part of the position is un-voted.

Datatype: "YesNoIndicator" on page 164

5.76.3 SplitVoteAllowed

XML Tag: SpltVoteAllwd

Presence: [1..1]

Definition: Indicates whether the vote can be split, that is, there could be different votes for different parts of the holding.

Datatype: "YesNoIndicator" on page 164

5.76.4 VoteDeadline

XML Tag: VoteDdln

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary.

The **VoteDdln** block contains the following elements (see datatype "DateFormat58Choice" on page 33 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.76.5 VoteMarketDeadline

XML Tag: VoteMktDdln

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the issuer.

The **VoteMktDdln** block contains the following elements (see datatype "DateFormat58Choice" on page 33 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.76.6 VoteMethods

XML Tag: VoteMthds

Presence: [0..1]

Definition: Specifies the different methods that can be used to vote.

The **VoteMthds** block contains the following elements (see datatype "[VoteMethods3](#)" on page 113 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteThroughNetwork	<VoteThrhNtwk>		[0..5]		114
	VoteByMail	<VoteByMail>		[0..5]		114
	ElectronicVote	<ElctrncVote>		[0..5]		114
	VoteByTelephone	<VoteByTel>		[0..5]		115

5.76.7 VotingBallotElectronicAddress

XML Tag: VtngBlItElctrncAdr

Presence: [0..1]

Definition: Electronic location, e-mail or URL address, where the voting ballot can be requested.

The **VtngBlItElctrncAdr** block contains the following elements (see datatype "[CommunicationAddress11](#)" on page 26 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmailAddress	<EmailAdr>		[0..1]		26
	URLAddress	<URLAdr>		[0..1]		27

5.76.8 VotingBallotRequestAddress

XML Tag: VtngBlItReqAdr

Presence: [0..1]

Definition: Postal address where the voting ballot can be requested.

The **VtngBltReqAdr** block contains the following elements (see datatype "[PostalAddress1](#)" on [page 90](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		90
	AddressLine	<AdrLine>		[0..5]		91
	StreetName	<StrtNm>		[0..1]		91
	BuildingNumber	<BldgNb>		[0..1]		91
	PostCode	<PstCd>		[0..1]		92
	TownName	<TwnNm>		[0..1]		92
	CountrySubDivision	<CtrySubDvsn>		[0..1]		92
	Country	<Ctry>		[1..1]		93

5.76.9 RevocabilityDeadline

XML Tag: RvcbltyDdln

Presence: [0..1]

Definition: Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is specified by an intermediary.

The **RvcbltyDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 33](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.76.10 RevocabilityMarketDeadline

XML Tag: RvcbltyMktDdln

Presence: [0..1]

Definition: Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is set by the issuer.

The **RvcbltyMktDdln** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 33](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.76.11 BeneficialOwnerDisclosure

XML Tag: BnfcOwnrDsclsr

Presence: [0..1]

Definition: Indicates whether beneficiary details, for example, name and address, must be supplied in order to take part in a meeting.

Datatype: "[YesNoIndicator](#)" on [page 164](#)

5.76.12 EarlyIncentivePremium

XML Tag: EarlyIncntivPrm

Presence: [0..1]

Definition: Cash premium paid to the security holder when voting earlier (before the early vote with a premium deadline).

The **EarlyIncntivPrm** block contains the following elements (see datatype "[IncentivePremium5](#)" on [page 44](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Description	<Desc>		[0..1]		44
	Amount	<Amt>		[1..1]		44
	Type	<Tp>		[1..1]		45
	PaymentDate	<PmtDt>		[0..1]		45

5.76.13 IncentivePremium

XML Tag: IncntivPrm

Presence: [0..1]

Definition: Cash premium paid to the security holder when voting.

The **IncntivPrm** block contains the following elements (see datatype "[IncentivePremium5](#)" on [page 44](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Description	<Desc>		[0..1]		44
	Amount	<Amt>		[1..1]		44
	Type	<Tp>		[1..1]		45
	PaymentDate	<PmtDt>		[0..1]		45

5.76.14 EarlyVoteWithPremiumDeadline

XML Tag: EarlyVoteWthPrmDdlIn

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the early incentive premium.

The **EarlyVoteWthPrmDdlIn** block contains the following elements (see datatype "[DateFor-mat58Choice](#)" on [page 33](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.76.15 VoteWithPremiumDeadline

XML Tag: VoteWthPrmDdlIn

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the premium.

The **VoteWthPrmDdlIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on [page 33](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.76.16 VoteWithPremiumMarketDeadline

XML Tag: VoteWthPrmMktDdlIn

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the issuer to take advantage of the premium.

The **VoteWthPrmMktDdIn** block contains the following elements (see datatype "[DateFormat58Choice](#)" on page 33 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateOrDateTime	<DtOrDtTm>	{Or	[1..1]		33
	DateCode	<DtCd>	Or}	[1..1]		34

5.76.17 AdditionalVotingRequirements

XML Tag: AddtlVtngRqrmnts

Presence: [0..1]

Definition: Additional information on specific requirements for allowing a person to vote.

Datatype: "[Max350Text](#)" on page 139

5.76.18 PreviousInstructionInvalidityIndicator

XML Tag: PrvsInstrInvldtyInd

Presence: [0..1]

Definition: Indicates whether the previously sent instructions becomes invalid after a market deadline extension.

Datatype: "[YesNoIndicator](#)" on page 164

5.77 VoteTypeAndQuantity1

Definition: Type of vote that can be provided for a resolution in a general meeting.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionType	<VoteInstrTp>		[1..1]		122
	VoteQuantity	<VoteQty>		[1..1]		123

Used in element(s)

"[PerVote](#)" on page 46

5.77.1 VoteInstructionType

XML Tag: VoteInstrTp

Presence: [1..1]

Definition: Types of vote instruction allowed for resolutions to be voted on in general meeting.

The **VoteInstrTp** block contains the following elements (see datatype "VoteInstructionType1Choice" on page 112 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		113
	Proprietary	<Prtry>	Or}	[1..1]		113

5.77.2 VoteQuantity

XML Tag: VoteQty

Presence: [1..1]

Definition: Quantity of vote expressed for the specified type of vote instruction.

Datatype: "Number" on page 148

6 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

6.1 ActiveOrHistoricCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 124

Format

fractionDigits	13
minInclusive	0
totalDigits	18

Used in element(s)

"Amount" on page 96

6.2 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: Code

Format

pattern	[A-Z]{3,3}
---------	------------

Rules

R13 ActiveOrHistoricCurrency ✓

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00006
- *Error Text:* Invalid currency code.

Used in attribute(s)

"ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 124

Restricts

"ParentCurrencyCode" on page 148

6.3 AdditionalRight1Code

Definition: Define specific rights that the shareholder has (for example, the right to ask questions, the right to add items to the agenda or table draft resolutions).

Type: Code

Code	Name	Definition
AIPS	AgendaItemProposal	Defines a resolution proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
WQPS	WrittenQuestionProposal	Defines a written question proposal.

Used in element(s)

"Code" on page 21

Restricts

"AdditionalRightCode" on page 125

6.4 AdditionalRightCode

Definition: Define specific rights that the shareholder has (for example, the right to ask questions, the right to add items to the agenda or table draft resolutions).

Type: Code

Code	Name	Definition
AIPS	AgendaItemProposal	Defines a resolution proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
WQPS	WrittenQuestionProposal	Defines a written question proposal.

Is restricted by

"AdditionalRight1Code" on page 125

6.5 AddressType2Code

Definition: Specifies the type of address.

Type: Code

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

"AddressType" on page 90, "AddressType" on page 93

Restricts

"AddressTypeCode" on page 126

6.6 AddressTypeCode

Definition: Specifies the type of address.

Type: Code

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

"AddressType2Code" on page 125

6.7 AgentRole1Code

Definition: Specifies the role of the Issuer agent.

Type: Code

Code	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

Used in element(s)

"Role" on page 48

Restricts

"AgentRoleCode" on page 127

6.8 AgentRoleCode

Definition: Identification of the agent acting as main paying agent or sub paying agent.

Type: Code

Code	Name	Definition
CODO	CoDomicile	Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.
ISAG	IssuerAgent	Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.
PAYA	PayingAgent	Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
REGR	Registrar	Party/agent responsible for maintaining the register of a security.
SPAY	SystemPayingAgent	The System Paying Agent (SPA) is the party within the CSD that is responsible for the distribution of cash and security proceeds related to a specific distribution to other CSD Parties.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

Is restricted by

"AgentRole1Code" on page 126

6.9 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules

R14 AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- Error severity: Fatal
- Error Code: D00008
- Error Text: Invalid BIC.

Used in element(s)

"AnyBIC" on page 75, "AnyBIC" on page 77, "Identification" on page 106, "VoteThroughNetwork" on page 114

6.10 AttendanceAdmissionConditions2Code

Definition: Specifies the conditions for physical admittance to a general meeting.

Type: Code

Code	Name	Definition
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MANP	NotPossible	Meeting attendance is not possible.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.

Code	Name	Definition
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.

Used in element(s)

["Code" on page 26](#)

Restricts

["AttendanceAdmissionConditionsCode" on page 129](#)

6.11 AttendanceAdmissionConditionsCode

Definition: Conditions for physical admittance to general meetings.

Type: Code

Code	Name	Definition
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MANP	NotPossible	Meeting attendance is not possible.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.

Is restricted by

["AttendanceAdmissionConditions2Code" on page 128](#)

6.12 CorporateActionNotificationTypeCode

Definition: Type of notification.

Type: Code

Code	Name	Definition
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.
NEWM	New	New notification.
PROC	Processing	Cancellation due to a processing error.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.
WITH	Withdrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.

Is restricted by

"NotificationType3Code" on page 147

6.13 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: Code

Format

pattern [A-Z]{2,2}

Rules

R6 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

"Country" on page 93, "Country" on page 105, "Country" on page 95, "CountryOfBirth" on page 31, "Nationality" on page 87, "Nationality" on page 85

6.14 DateMode1Code

Definition: Specifies, for a date, when the event or operation is to take place, for example at the beginning or end of the day.

Type: Code

Code	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

Used in element(s)

["DateMode" on page 32](#)

Restricts

["DateModeCode" on page 131](#)

6.15 DateModeCode

Definition: Specifies, for a date, when the event or operation is to take place, for example at the beginning or end of the day.

Type: Code

Code	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

Is restricted by

["DateMode1Code" on page 130](#)

6.16 DateType1Code

Definition: Specifies when date is unknown.

Type: Code

Code	Name	Definition
UKWN	Unknown	<p>Date is unknown by the sender or has not been established.</p> <div style="background-color: #ffffcc; padding: 10px; border: 1px solid black;"> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.001.001.08/MeetingDetails/DateAndTime/Date-Code/Unknown – DTCC Market Practice Rule: <p>Used when date is not yet known.</p> </div>

Used in element(s)

["DateCode" on page 33](#), ["DateCode" on page 34](#)

Restricts

["DateTypeCode" on page 132](#)

6.17 DateTypeCode

Definition: Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Type: Code

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
OPEN	Open	Open-dated, which indicates that the date has not been established.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.
VARI	Various	Partial trades have occurred over a period of two or more days.

Is restricted by

["DateType1Code" on page 131](#)

6.18 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	17
totalDigits	18

Used in element(s)

["EntitlementRatio" on page 36](#), ["Unit" on page 38](#)

6.19 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: Code

Code	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

Used in element(s)

["EventCompletenessStatus" on page 37](#)

Restricts

["EventCompletenessStatusCode" on page 133](#)

6.20 EventCompletenessStatusCode

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: Code

Code	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

Is restricted by

["EventCompletenessStatus1Code" on page 133](#)

6.21 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: Code

Code	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

Used in element(s)

["EventConfirmationStatus" on page 37](#)

Restricts

["EventConfirmationStatusCode" on page 134](#)

6.22 EventConfirmationStatusCode

Definition: Indicates the status of the occurrence of an event.

Type: Code

Code	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

Is restricted by

["EventConfirmationStatus1Code" on page 133](#)

6.23 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern [a-zA-Z0-9]{4}

Used in element(s)

["Identification" on page 39](#)

6.24 ExternalFinancialInstrumentIdentification-Type1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: Code

Format

maxLength 4
minLength 1

Used in element(s)

["Code" on page 43](#)

Restricts

["ExternalFinancialInstrumentIdentificationTypeCode" on page 135](#)

6.25 ExternalFinancialInstrumentIdentificationType-Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: Code

Format

maxLength 4

minLength 1

Is restricted by

["ExternalFinancialInstrumentIdentificationType1Code" on page 134](#)

6.26 ISINOct2015Identifier

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

["ISIN" on page 108](#)

6.27 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: Code

Format

pattern [a-z]{2,2}

Used in element(s)"Language" on page 50, "Language" on page 50

6.28 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)"BirthDate" on page 31, "Date" on page 33, "Date" on page 30, "Date" on page 42

6.29 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)"DateTime" on page 30, "SecuritiesBlockingPeriodEndDate" on page 65

6.30 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	18

Used in element(s)

"FaceAmount" on page 38

6.31 LEIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern	[A-Z0-9]{18,18}[0-9]{2,2}
---------	---------------------------

Used in element(s)

"LEI" on page 76, "LEI" on page 77

6.32 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Format

pattern	[A-Z0-9]{4,4}
---------	---------------

Used in element(s)

"PlaceOfListing" on page 56

6.33 Max1025Text

Definition: Specifies a character string with a maximum length of 1025 characters.

Type: Text

Format

maxLength	1025
minLength	1

Used in element(s)

"Description" on page 50

6.34 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength	140
minLength	1

Used in element(s)

"Description" on page 109

6.35 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

"BuildingNumber" on page 91, "BuildingNumber" on page 94, "PostBox" on page 94, "PostCode" on page 92, "PostCode" on page 94, "Suffix" on page 72

6.36 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

maxLength	2048
minLength	1

Used in element(s)

"AdditionalDocumentationURLAddress" on page 61, "AdditionalRightInformationURLAddress" on page 23, "URLAddress" on page 49, "URLAddress" on page 27, "URLAddress" on page 104, "URLAddress" on page 54

6.37 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

maxLength	256
minLength	1

Used in element(s)

["EmailAddress" on page 29](#), ["EmailAddress" on page 26](#), ["EmailAddress" on page 79](#), ["EmailAddress" on page 80](#), ["EmailAddress" on page 87](#), ["EmailAddress" on page 85](#)

6.38 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

["AdditionalInformation" on page 112](#), ["AdditionalInformation" on page 26](#), ["AdditionalVotingRequirements" on page 122](#), ["ConfirmationInformation" on page 25](#), ["Description" on page 44](#), ["FirstName" on page 89](#), ["Name" on page 67](#), ["Name" on page 88](#), ["OtherDocumentation" on page 95](#), ["PlaceAnd-Name" on page 111](#), ["RegistrationMethod" on page 99](#), ["Surname" on page 89](#), ["Title" on page 50](#)

6.39 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

["AccountIdentification" on page 35](#), ["AdditionalRightThreshold" on page 22](#), ["CityOfBirth" on page 31](#), ["CountrySubDivision" on page 92](#), ["CountrySubDivision" on page 95](#), ["EntitlementDescription" on page 36](#), ["GivenName" on page 28](#), ["Identification" on page 72](#), ["Identification" on page 40](#), ["Identification" on page 41](#), ["Identification" on page 107](#), ["Identification" on page 69](#), ["Issuer" on page 39](#), ["Issuer" on page 40](#), ["Issuer" on page 39](#), ["IssuerLabel" on page 101](#), ["IssuerMeetingIdentification" on page 59](#), ["LinkedIssuerMeetingIdentification" on page 57](#), ["LinkedMeetingIdentification" on page 57](#), ["ListingGroupResolutionLabel" on page 102](#), ["MeetingIdentification" on page 58](#), ["Name" on page 27](#), ["NationalRegistrationNumber" on page 77](#), ["PreviousNotificationIdentification" on page 70](#), ["Proprietary" on page 43](#), ["ProvinceOfBirth" on page 31](#), ["QuorumQuantity" on page 100](#), ["Role" on page 28](#), ["SchemeName" on page 38](#), ["SchemeName" on page 40](#), ["SchemeName" on page 39](#), ["TownName" on page 92](#), ["TownName" on page 94](#), ["VoteByTelephone" on page 115](#)

6.40 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

"Identification" on page 38

6.41 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

maxLength	50
minLength	1

Used in element(s)

"ClientIdentification" on page 77

6.42 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

"AddressLine" on page 91, "AddressLine" on page 94, "StreetName" on page 91, "StreetName" on page 94

6.43 Max8000Text

Definition: Specifies a character string with a maximum length of 8000 characters.

Type: Text

Format

maxLength	8000
minLength	1

Used in element(s)

["AdditionalInformation" on page 51](#)

6.44 MeetingDateStatus2Code

Definition: Specifies a status for a meeting date.

Type: Code

Code	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.
TNTA	Tentative	Meeting date is tentative.

Used in element(s)

["DateStatus" on page 53](#)

Restricts

["MeetingDateStatusCode" on page 141](#)

6.45 MeetingDateStatusCode

Definition: Identifies the possible statuses of a meeting date.

Type: Code

Code	Name	Definition
CANC	Cancelled	Meeting date has been cancelled.
CNFR	Confirmed	Meeting date is confirmed.
NOQO	NoQuorum	Meeting could not take place as the quorum was not reached.
TNTA	Tentative	Meeting date is tentative.

Is restricted by

["MeetingDateStatus2Code" on page 141](#)

6.46 MeetingType4Code

Definition: Specifies a type of security holders meeting.

Type: Code

Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.001.001.08/Meeting/Type/BondHolderMeeting) is removed.
CMET	CourtMeeting	<p>Meeting is the result of a legal proceeding.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.001.001.08/Meeting/Type/CourtMeeting) is removed.
GMET	General	<p>Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.001.001.08/Meeting/Type/General <p>Comment: Will be used for Annual Meeting with Classification Annual</p>
MIXD	Mixed	<p>Meeting which contains both ordinary and extraordinary resolutions.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.001.001.08/Meeting/Type/Mixed) is removed.
SPCL	Special	<p>Meeting that takes place as needed that is neither ordinary nor extraordinary.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.001.001.08/Meeting/Type/Special

Code	Name	Definition
		Synonym (DTCC): Meeting (Special)
XMET	Extraordinary	<p>Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.001.001.08/Meeting/Type/Extraordinary <p>Synonym (DTCC): Meeting (Extraordinary)</p>

Used in element(s)

"Type" on page 59

Restricts

"MeetingTypeV2Code" on page 145

6.47 MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: Code

Code	Name	Definition
AMET	Annual	<p>Meeting held periodically to approve the financial statements and to elect the board members and the auditors.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.001.001.08/Meeting/Classification/Code/Annual <p>Comment: Used to indicate Annual Meeting along with Meeting Type General</p>
CLAS	Class	<p>Meeting for holders of a specific type of asset, for example, preferred shares, bonds.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.001.001.08/Meeting/Classification/Code/Class) is removed.
ISSU	IssuerInitiated	<p>Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.001.001.08/Meeting/Classification/Code/IssuerInitiated) is removed.
OMET	Ordinary	<p>Meeting which is planned in the by-laws.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.001.001.08/Meeting/Classification/Code/Ordinary) is removed.
VRHI	VotingRightsHolderInitiated	<p>Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.001.001.08/Meeting/Classification/Code/VotingRightsHolderInitiated) is removed.

Used in element(s)

"Code" on page 67

Restricts

"MeetingTypeClassificationCode" on page 144

6.48 MeetingTypeClassificationCode

Definition: Classifies the meeting type.

Type: Code

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
CORT	Court	Specifies that the meeting is the result of a legal proceeding.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Is restricted by

"MeetingTypeClassification2Code" on page 143

6.49 MeetingTypeV2Code

Definition: Specifies the type of security holders meeting.

Type: Code

Code	Name	Definition
AMET	AnnualGeneralMeeting	Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CLAS	ClassMeeting	Meeting for a class of assets.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
OMET	Ordinary	Specifies a meeting that is not planned in the by laws.

Code	Name	Definition
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Is restricted by

"MeetingType4Code" on page 141

6.50 NamePrefix1Code

Definition: Specifies the terms used to formally address a person.

Type: Code

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Used in element(s)

"NamePrefix" on page 27

Restricts

"NamePrefixCode" on page 147

6.51 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: Code

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Used in element(s)

["NamePrefix" on page 89](#)

Restricts

["NamePrefixCode" on page 147](#)

6.52 NamePrefixCode

Definition: Specifies the terms used to formally address a person.

Type: Code

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Is restricted by

["NamePrefix1Code" on page 146](#), ["NamePrefix2Code" on page 146](#)

6.53 NotificationType3Code

Definition: Specifies a type of notification.

Type: Code

Code	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place. <div style="background-color: #ffffcc; padding: 10px;"> Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(see v.001.001.08/NotificationGeneralInformation/NotificationType/Reminder) is removed. </div>

Used in element(s)

["NotificationType" on page 69](#)

Restricts

["CorporateActionNotificationTypeCode" on page 129](#)

6.54 Number

Definition: Number of objects represented as an integer.

Type: Quantity

Format

fractionDigits	0
totalDigits	18

Used in element(s)

["PerSecurity" on page 46](#), ["ThresholdNumber" on page 71](#), ["TotalNumberOfVotingRights" on page 63](#), ["VoteQuantity" on page 123](#)

6.55 ParentCurrencyCode

Definition: Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

Type: Code

Format

pattern	[A-Z]{3,3}
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Is restricted by

["ActiveOrHistoricCurrencyCode" on page 124](#)

6.56 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

fractionDigits	10
totalDigits	11

Used in element(s)

["AdditionalRightThresholdPercentage" on page 22](#), ["QuorumQuantityPercentage" on page 100](#), ["Rate" on page 96](#), ["ThresholdPercentage" on page 71](#)

6.57 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+ and "-" (up to 30 characters).

Type: Text

Format

pattern \+[0-9]{1,3}-[0-9()+-]{1,30}

Used in element(s)

["FaxNumber" on page 29](#), ["PhoneNumber" on page 28](#)

6.58 PlaceType1Code

Definition: Type of date.

Type: Code

Code	Name	Definition
UKWN	Unknown	<p>The type of place is unknown.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.001.001.08/MeetingDetails/Location/Location-Code/Unknown <ul style="list-style-type: none"> – DTCC Market Practice Rule: <p>Used as default. DTC does not record meeting location.</p>

Used in element(s)

["LocationCode" on page 52](#)

Restricts

["PlaceTypeCode" on page 149](#)

6.59 PlaceTypeCode

Definition: Type of place.

Type: Code

Code	Name	Definition
UKWN	Unknown	The type of place is unknown.

Is restricted by

"PlaceType1Code" on page 149

6.60 PowerOfAttorneyLegalisation1Code

Definition: Indicates the legal constraint on the Power of Attorney.

Type: Code

Code	Name	Definition
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.

Used in element(s)

"LegalRequirement" on page 95

Restricts

"PowerOfAttorneyLegalisationCode" on page 150

6.61 PowerOfAttorneyLegalisationCode

Definition: Indicates the legal constraint on the power of attorney.

Type: Code

Code	Name	Definition
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.

Is restricted by

"PowerOfAttorneyLegalisation1Code" on page 150

6.62 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: Code

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Used in element(s)

"LinkageType" on page 57

Restricts

"ProcessingPositionCode" on page 151

6.63 ProcessingPositionCode

Definition: Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

Type: Code

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Is restricted by

"ProcessingPosition3Code" on page 151

6.64 ProxyNotAllowed1Code

Definition: Indicates that the assignment of a proxy is not allowed for the meeting.

Type: Code

Code	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

Used in element(s)

"ProxyNotAllowed" on page 97

Restricts

"ProxyNotAllowedCode" on page 152

6.65 ProxyNotAllowedCode

Definition: Indicates that the assignment of a proxy is not allowed for the meeting.

Type: Code

Code	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

Is restricted by

"ProxyNotAllowed1Code" on page 151

6.66 ProxyType2Code

Definition: Specifies the type of proxy person.

Type: Code

Code	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.

Used in element(s)

"ProxyType" on page 98

Restricts

"ProxyTypeCode" on page 152

6.67 ProxyTypeCode

Definition: Specifies the type of proxy person.

Type: Code

Code	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.

Is restricted by

"ProxyType2Code" on page 152

6.68 ResolutionStatus1Code

Definition: Specifies whether a resolution in an agenda is still active or has been deleted.

Type: Code

Code	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

Used in element(s)

"Status" on page 102

Restricts

"ResolutionStatusCode" on page 153

6.69 ResolutionStatusCode

Definition: Specifies the status of a resolution in a meeting agenda.

Type: Code

Code	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

Is restricted by

"ResolutionStatus1Code" on page 153

6.70 ResolutionType2Code

Definition: Specifies the type of resolution.

Type: Code

Code	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

Used in element(s)

"Type" on page 102

Restricts

"ResolutionTypeCode" on page 154

6.71 ResolutionTypeCode

Definition: Specifies the type of resolution.

Type: Code

Code	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
ORDI	Ordinary	Meeting resolution is ordinary and is not subject to any specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

Is restricted by

"ResolutionType2Code" on page 153

6.72 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: Code

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.

Code	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

"SafekeepingPlaceType" on page 106

Restricts

"SafekeepingPlaceCode" on page 155

6.73 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: Code

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

"SafekeepingPlaceType" on page 107

Restricts

"SafekeepingPlaceCode" on page 155

6.74 SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: Code

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.

Code	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

"SafekeepingPlace1Code" on page 154, "SafekeepingPlace2Code" on page 155

6.75 SecuritiesEntryType2Code

Definition: Specifies the type of securities entry on an account.

Type: Code

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.

Code	Name	Definition
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
UNBA	UninstructedBalance	Balance of uninstructed position.

Used in element(s)

"BalanceType" on page 42

Restricts

"SecuritiesEntryTypeCode" on page 157

6.76 SecuritiesEntryTypeCode

Definition: Specifies the type of securities entry on an account.

Type: Code

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.

Code	Name	Definition
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SETT	SettlementPosition	Balance of securities representing only settled transactions; pending transactions not included.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
UNBA	UninstructedBalance	Balance of uninstructed position.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.

Is restricted by

"SecuritiesEntryType2Code" on page 156

6.77 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: Code

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.

Code	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Used in element(s)

"Code" on page 43

Restricts

"TypeOfIdentificationCode" on page 159

6.78 TypeOfIdentificationCode

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: Code

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.

Code	Name	Definition
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Is restricted by

"TypeOfIdentification4Code" on page 158

6.79 VoteInstruction5Code

Definition: Specifies a type of voting instruction that can be part of a recommendation to vote.

Type: Code

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
NOAC	NoAction	Do not vote.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

Used in element(s)

"ManagementRecommendation" on page 103, "NotifyingPartyRecommendation" on page 103

Restricts

"VoteInstructionV2Code" on page 161

6.80 VoteInstruction6Code

Definition: Specifies a type of voting instructions.

Type: Code

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

Used in element(s)

"Type" on page 113

Restricts

"VoteInstructionV2Code" on page 161

6.81 VoteInstructionV2Code

Definition: Specifies the possible types of voting instructions.

Type: Code

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).

Code	Name	Definition
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
NOAC	NoAction	Do not vote.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

Is restricted by

"VoteInstruction5Code" on page 160, "VoteInstruction6Code" on page 161

6.82 VoteType1Code

Definition: Specifies the impact of a vote on an agenda resolution in general meetings.

Type: Code

Code	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

Used in element(s)

"VoteType" on page 102

Restricts

["VoteTypeCode" on page 163](#)

6.83 VoteTypeCode

Definition: Specifies the impact of a vote on a proposal.

Type: Code

Code	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

Is restricted by

["VoteType1Code" on page 162](#)

6.84 VotingParticipationMethod1Code

Definition: Specifies a method for participating to the voting of agenda resolutions in a general meeting.

Type: Code

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like email or dedicated standards messaging or web based voting applications.
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PHYS	InPerson	Vote participation is through physical attendance.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

Used in element(s)

["Code" on page 74](#)

Restricts

["VotingParticipationMethodCode" on page 163](#)

6.85 VotingParticipationMethodCode

Definition: Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

Type: Code

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like email or dedicated standards messaging or web based voting applications.
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PHYS	InPerson	Vote participation is through physical attendance.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

Is restricted by

"VotingParticipationMethod1Code" on page 163

6.86 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

"BeneficialOwnerDisclosure" on page 120, "ForInformationOnly" on page 102, "OneManOneVoteIndicator" on page 60, "PartialVoteAllowed" on page 117, "PerAttendee" on page 46, "PreviousInstructionInvalidityIndicator" on page 122, "QuorumRequired" on page 53, "ReconfirmInstructions" on page 71, "RightToWithdrawIndicator" on page 103, "ShareholderRightsDirectiveIndicator" on page 70, "SplitVoteAllowed" on page 117, "SubmittedBySecurityHolder" on page 103

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