

Usage Guideline

DTCC Usage Guideline Meeting Cancellation seev.002.001.07

DTCC - US - PX - Meetings - SR2021

This document describes a usage guideline restricting the base message **MX seev.002.001.07**. You can also consult [this information online](#).

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Message Functionality

Overview

Scope

This message is sent to cancel a MeetingNotification message previously sent or to advise the cancellation of a meeting. It is sent by the party that sent the MeetingNotification message to the original Receiver.

Usage

The MeetingCancellation message may be used in two different situations. First, it may be sent to cancel a previously sent MeetingNotification message. In this case, the MessageCancellation building block must be filled in and the MeetingIdentification needs to be present. Second, it may be sent to advise that the meeting is cancelled. In this case only the MeetingIdentification needs to be present.

Outline

The DTCC - US - PX - Meetings - SR2021 / DTCC Usage Guideline Meeting Cancellation seev.002.001.07 message is composed of 4 building blocks

a. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

b. Security

Identification of the security for which the meeting was organised.

c. Reason

Justification for the cancellation.

d. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

1 Restriction summary

This Usage Guideline restricts the seev.002.001.07 message.

Restriction Type	See page
Removed elements	8, 19, 21, 23, 24, 26, 27, 28, 29, 29, 43, 43, 43, 43, 44, 51, 51, 53, 62, 63, 63, 63, 64, 64, 64, 65
Make mandatory	n.a.
Reduce Multiplicity	n.a.
Ignore	n.a.
Text rules	19, 20, 21
Conditional rules	n.a.
Formal rules	n.a.
Fixed values	n.a.
Truncates	n.a.
Comments	n.a.
Annotations	n.a.
Changed datatypes	n.a.
Extensions	n.a.
Synonyms	8, 8, 22, 22, 22, 25, 61, 62

2 Structure

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>MeetingCancellationV07</i>	<MtgCxl>		[1..1]		
	MeetingReference	<MtgRef>		[1..1]		7
	MeetingIdentification	<MtgId>		[1..1]	⚠	21
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]	🚫	21
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]	⚠	21
	Type	<Tp>		[1..1]		22
	Classification	<Clssfctn>		[0..1]		22
	Location	<Lctn>		[0..5]	🚫	22
	Issuer	<Issr>		[0..1]		23
	Security	<Scty>		[1..200]	⚠	7
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		52
	Position	<Pos>		[0..1000]	🚫	52
	Reason	<Rsn>		[1..1]		8
	CancellationReasonCode	<CxlRsnCd>		[0..1]		20
	CancellationReason	<CxlRsn>		[0..1]	⚠	20
	SupplementaryData	<SplmtryData>		[0..*]	🚫	8
	PlaceAndName	<PlcAndNm>		[0..1]		53
	Envelope	<Envlp>		[1..1]		53

3 Guidelines

1. Cancellation1Guideline

It is recommended that a meeting is cancelled with the use of MeetingReference/MeetingIdentification, MeetingReference/Type and Security and Reason only. It is recommended that optional elements are not used.

4 Message Building Blocks

Note The following chapter identifies the building blocks of the DTCC - US - PX - Meetings - SR2021 / DTCC Usage Guideline Meeting Cancellation seev.002.001.07 message definition.

4.1 MeetingReference

XML Tag: MtgRef

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

The **MtgRef** block contains the following elements (see datatype "[MeetingReference10](#)" on page 20 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtgId>		[1..1]	⚠	21
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]	🚫	21
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]	⚠	21
	Type	<Tp>		[1..1]		22
	Classification	<Clssfctn>		[0..1]		22
	Location	<Lctn>		[0..5]	🚫	22
	Issuer	<Issr>		[0..1]		23

4.2 Security

XML Tag: Scty

Presence: [1..200]

Definition: Identification of the security for which the meeting was organised.

The **Scty** block contains the following elements (see datatype "[SecurityPosition12](#)" on page 51 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		52
	Position	<Pos>		[0..1000]	🚫	52

Usage Guideline details

- on [seev.002.001.07/Security](#)
Synonym (DTCC): Event Security ID Type
- on [seev.002.001.07/Security](#)
Synonym (DTCC): Event Security ID

4.3 Reason

XML Tag: Rsn

Presence: [1..1]

Definition: Justification for the cancellation.

The **Rsn** block contains the following elements (see datatype "[MeetingCancellationReason2](#)" on [page 20](#) for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CancellationReasonCode	<CxIRsnCd>		[0..1]		20
	CancellationReason	<CxIRsn>		[0..1]	⚠	20

4.4 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "[SupplementaryData1](#)" on [page 53](#) for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		53
	Envelope	<Envlp>		[1..1]		53

Usage Guideline details

- **This element([seev.002.001.07/SupplementaryData](#)) is removed.**

5 Message Components

Note The following chapter identifies the message components.

5.1 DateAndPlaceOfBirth2

Definition: Date and place of birth of a person.

Removed - Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		9
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		9
	CityOfBirth	<CityOfBirth>		[0..1]		9
	CountryOfBirth	<CtryOfBirth>		[0..1]		9

Used in element(s)

["DateAndPlaceOfBirth" on page 39](#), ["DateAndPlaceOfBirth" on page 37](#)

5.1.1 BirthDate

XML Tag: BirthDt

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: ["ISODate" on page 57](#)

5.1.2 ProvinceOfBirth

XML Tag: PrvcOfBirth

Presence: [0..1]

Definition: Province where a person was born.

Datatype: ["Max35Text" on page 60](#)

5.1.3 CityOfBirth

XML Tag: CityOfBirth

Presence: [0..1]

Definition: City where a person was born.

Datatype: ["Max35Text" on page 60](#)

5.1.4 CountryOfBirth

XML Tag: CtryOfBirth

Presence: [0..1]

Definition: Country where a person was born.

Datatype: ["CountryCode" on page 55](#)

5.2 EligiblePosition9

Definition: Information about a securities position and rights holders.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[1..1]		10
	AccountOwner	<AcctOwnr>		[0..1]		10
	HoldingBalance	<HldgBal>		[0..15]		11
	RightsHolder	<RghtsHldr>		[0..250]		11

Rules

R9 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

Guidelines

1. RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

Used in element(s)

["Position" on page 52](#)

5.2.1 AccountIdentification

XML Tag: AcctId

Presence: [1..1]

Definition: Identification of the securities account.

Datatype: ["Max35Text" on page 60](#)

5.2.2 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "[PartyIdentification231Choice](#)" on [page 33](#) for details)

🚫 Removed - ⚠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		34
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		34

5.2.3 HoldingBalance

XML Tag: HldgBal

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

The **HldgBal** block contains the following elements (see datatype "[HoldingBalance9](#)" on [page 15](#) for details)

🚫 Removed - ⚠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		16
	BalanceType	<BalTp>		[1..1]		16
	SafekeepingPlace	<SfkpgPlc>		[0..1]		16
	Date	<Dt>		[0..1]		17

5.2.4 RightsHolder

XML Tag: RghtsHldr

Presence: [0..250]

Definition: Owner of the voting rights.

The **RghtsHldr** block contains the following elements (see datatype "[PartyIdentification233Choice](#)" on [page 34](#) for details)

🚫 Removed - ⚠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		35
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		35

5.3 FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		12
	FaceAmount	<FaceAmt>	Or}	[1..1]		12

Used in element(s)

["Balance" on page 16](#)

5.3.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["DecimalNumber" on page 56](#)

5.3.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["ImpliedCurrencyAndAmount" on page 58](#)

5.4 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		12
	SchemeName	<SchmeNm>		[0..1]		13
	Issuer	<Issr>		[1..1]		13

Used in element(s)

["Proprietary" on page 19](#), ["Proprietary" on page 24](#)

5.4.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: ["Max4AlphaNumericText" on page 60](#)

5.4.2 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: ["Max35Text" on page 60](#)

5.4.3 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 60](#)

5.5 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		13
	Issuer	<Issr>		[1..1]		13
	SchemeName	<SchmeNm>		[0..1]		14

Used in element(s)

["Proprietary" on page 18](#), ["Type" on page 15](#)

5.5.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["Exact4AlphaNumericText" on page 56](#)

5.5.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 60](#)

5.5.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 60

5.6 GenericIdentification36

Definition: Identification using a proprietary scheme.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		14
	Issuer	<Issr>		[1..1]		14
	SchemeName	<SchmeNm>		[0..1]		14

Used in element(s)

"ProprietaryIdentification" on page 28, "ProprietaryIdentification" on page 30

5.6.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 60

5.6.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 60

5.6.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 60

5.7 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		15
	Identification	<Id>		[0..1]		15

Used in element(s)

"Proprietary" on page 48

5.7.1 Type

XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 13 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		13
	Issuer	<Issr>		[1..1]		13
	SchemeName	<SchmeNm>		[0..1]		14

5.7.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "[Max35Text](#)" on page 60

5.8 HoldingBalance9

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, for example, sub-balance per status.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		16
	BalanceType	<BalTp>		[1..1]		16
	SafekeepingPlace	<SfkpgPlc>		[0..1]		16
	Date	<Dt>		[0..1]		17

Used in element(s)

["HoldingBalance" on page 11](#)

5.8.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

The **Bal** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 11 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		12
	FaceAmount	<FaceAmt>	Or}	[1..1]		12

5.8.2 BalanceType

XML Tag: BalTp

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 68

5.8.3 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

The **SfkpgPic** block contains the following elements (see datatype "[SafekeepingPlaceFormat28Choice](#)" on [page 46](#) for details)

🚫 Removed - ✦ Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		47
	Country	<Ctry>	Or	[1..1]		47
	TypeAndIdentification	<TpAndId>	Or	[1..1]		47
	Proprietary	<Prtry>	Or}	[1..1]		48

5.8.4 Date

XML Tag: Dt

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "[ISODate](#)" on [page 57](#)

5.9 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

🚫 Removed - ✦ Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		17
	Proprietary	<Prtry>	Or}	[1..1]		17

Used in element(s)

"[Type](#)" on [page 27](#)

5.9.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "[ExternalFinancialInstrumentIdentificationType1Code](#)" on [page 56](#)

5.9.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "[Max35Text](#)" on [page 60](#)

5.10 IdentificationType45Choice

Definition: Choice between a code and a data source scheme to specify the type of natural person identification.

🚫 Removed - ✦ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		18
	Proprietary	<Prtry>	Or}	[1..1]		18

Used in element(s)

["IdentificationType" on page 26](#)

5.10.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: ["TypeOfIdentification4Code" on page 71](#)

5.10.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 13](#) for details)

🚫 Removed - ✦ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		13
	Issuer	<Issr>		[1..1]		13
	SchemeName	<SchmeNm>		[0..1]		14

5.11 MeetingCancellationReason1Choice

Definition: Choice of meeting cancellation reason.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]	⚠	19
	Proprietary	<Prtry>	Or}	[1..1]	🚫	19

Used in element(s)

"CancellationReasonCode" on page 20

5.11.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Specifies the reason for cancelling a meeting in coded form.

Datatype: "MeetingCancellationReason2Code" on page 61

Usage Guideline details

- on seev.002.001.07/Reason/CancellationReasonCode/Code
 - **DTCC Market Practice Rule:**

Derived from DTC Event Status.

5.11.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Specifies the reason for cancelling a meeting in free text form.

The **Prtry** block contains the following elements (see datatype "GenericIdentification13" on page 12 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		12
	SchemeName	<SchmeNm>		[0..1]		13
	Issuer	<Issr>		[1..1]		13

Usage Guideline details

- **This element(seev.002.001.07/Reason/CancellationReasonCode/Proprietary) is removed.**

5.12 MeetingCancellationReason2

Definition: Specifies the reason for cancelling a meeting.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CancellationReasonCode	<CxIRsnCd>		[0..1]		20
	CancellationReason	<CxIRsn>		[0..1]	⚠	20

Used in element(s)

["Reason" on page 8](#)

5.12.1 CancellationReasonCode

XML Tag: CxIRsnCd

Presence: [0..1]

Definition: Reason for cancelling a meeting.

The **CxIRsnCd** block contains the following elements (see datatype "[MeetingCancellationReason1Choice](#)" on page 18 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]	⚠	19
	Proprietary	<Prtry>	Or}	[1..1]	🚫	19

5.12.2 CancellationReason

XML Tag: CxIRsn

Presence: [0..1]

Definition: Provides more information on the reason for cancelling a meeting in free format form.

Datatype: "[Max140Text](#)" on page 59

Usage Guideline details

- on [seev.002.001.07/Reason/CancellationReason](#)

– **DTCC Market Practice Rule:**

First 140 characters of the comment regarding a cancellation / deletion reason.

5.13 MeetingReference10

Definition: Identification of a meeting.

🚫 Removed - ⚠ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtglId>		[1..1]	⚠	21
	IssuerMeetingIdentification	<IssrMtglId>		[0..1]	🚫	21
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]	⚠	21
	Type	<Tp>		[1..1]		22
	Classification	<Clssfctn>		[0..1]		22
	Location	<Lctn>		[0..5]	🚫	22
	Issuer	<Issr>		[0..1]		23

Used in element(s)

"MeetingReference" on page 7

5.13.1 MeetingIdentification

XML Tag: MtglId

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 60

Usage Guideline details

- on **seev.002.001.07/MeetingReference/MeetingIdentification**
 - **DTCC Market Practice Rule:**
Mapped from CA Event ID.

5.13.2 IssuerMeetingIdentification

XML Tag: IssrMtglId

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 60

Usage Guideline details

- **This element(seev.002.001.07/MeetingReference/IssuerMeetingIdentification) is removed.**

5.13.3 MeetingDateAndTime

XML Tag: MtgDtAndTm

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: ["ISODatetime" on page 58](#)

Usage Guideline details

- on [seev.002.001.07/MeetingReference/MeetingDateAndTime](#)
Synonym (DTCC): Meeting Time Zone
- on [seev.002.001.07/MeetingReference/MeetingDateAndTime](#)
Synonym (DTCC): Meeting Date
- on [seev.002.001.07/MeetingReference/MeetingDateAndTime](#)
Synonym (DTCC): Meeting Time

5.13.4 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: ["MeetingType4Code" on page 62](#)

5.13.5 Classification

XML Tag: Clssfctn

Presence: [0..1]

Definition: Classification type of the meeting.

The **Clssfctn** block contains the following elements (see datatype ["MeetingTypeClassification2Choice" on page 23](#) for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		24
	Proprietary	<Prtry>	Or}	[1..1]	🚫	24

5.13.6 Location

XML Tag: Lctn

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

The **Lctn** block contains the following elements (see datatype "[PostalAddress1](#)" on page 42 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]	🚫	42
	AddressLine	<AdrLine>		[0..5]	🚫	43
	StreetName	<StrtNm>		[0..1]	🚫	43
	BuildingNumber	<BldgNb>		[0..1]	🚫	43
	PostCode	<PstCd>		[0..1]	🚫	43
	TownName	<TwnNm>		[0..1]		44
	CountrySubDivision	<CtrySubDvsn>		[0..1]		44
	Country	<Ctry>		[1..1]		44

Usage Guideline details

- This element(seev.002.001.07/MeetingReference/Location) is removed.

5.13.7 Issuer

XML Tag: Issr

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

The **Issr** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 28 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]	🚫	28
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	🚫	28
	NameAndAddress	<NmAndAdr>	Or	[1..1]		29
	LEI	<LEI>	Or}	[1..1]	🚫	29

5.14 MeetingTypeClassification2Choice

Definition: Choice of meeting type classification.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		24
	Proprietary	<Prtry>	Or}	[1..1]	🚫	24

Used in element(s)

["Classification" on page 22](#)

5.14.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: ["MeetingTypeClassification2Code" on page 64](#)

5.14.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification13" on page 12](#) for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		12
	SchemeName	<SchmeNm>		[0..1]		13
	Issuer	<Issr>		[1..1]		13

Usage Guideline details

- This element([seev.002.001.07/MeetingReference/Classification/Proprietary](#)) is removed.

5.15 NameAndAddress5

Definition: Information that locates and identifies a party.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	25
	Address	<Adr>		[0..1]	🚫	25

Used in element(s)

"NameAndAddress" on page 29

5.15.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 60

Usage Guideline details

- on seev.002.001.07/MeetingReference/Issuer/NameAndAddress/Name
Synonym (Issuer Description): DTCC

5.15.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 42 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		42
	AddressLine	<AdrLine>		[0..5]		43
	StreetName	<StrtNm>		[0..1]		43
	BuildingNumber	<BldgNb>		[0..1]		43
	PostCode	<PstCd>		[0..1]		43
	TownName	<TwnNm>		[0..1]		44
	CountrySubDivision	<CtrySubDvsn>		[0..1]		44
	Country	<Ctry>		[1..1]		44

Usage Guideline details

- This element([seev.002.001.07/MeetingReference/Issuer/NameAndAddress/Address](#)) is removed.

5.16 NaturalPersonIdentification1

Definition: Identification number and identification type of a natural person.

🚫 Removed - ⬡ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	IdentificationType	<IdTp>		[0..1]		26

Used in element(s)

"Identification" on page 38, "Identification" on page 36

5.16.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 60

5.16.2 IdentificationType

XML Tag: IdTp

Presence: [0..1]

Definition: Type of local identification for a natural person.

The **IdTp** block contains the following elements (see datatype "[IdentificationType45Choice](#)" on page 18 for details)

🚫 Removed - ⬡ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		18
	Proprietary	<Prtry>	Or}	[1..1]		18

5.17 OtherIdentification1

Definition: Other accepted financial instrument's identification than ISIN.

⊘ Removed - ◇ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		27
	Suffix	<Sfx>		[0..1]	⊘	27
	Type	<Tp>		[1..1]		27

Used in element(s)

"OtherIdentification" on page 51

5.17.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 60

5.17.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 59

Usage Guideline details

- This element(seev.002.001.07/Security/FinancialInstrumentIdentification/OtherIdentification/Suffix) is removed.

5.17.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "IdentificationSource3Choice" on page 17 for details)

⊘ Removed - ◇ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		17
	Proprietary	<Prtry>	Or}	[1..1]		17

5.18 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]	🚫	28
	ProprietaryIdentification	<Prtryld>	Or	[1..1]	🚫	28
	NameAndAddress	<NmAndAdr>	Or	[1..1]		29
	LEI	<LEI>	Or}	[1..1]	🚫	29

Used in element(s)

"Issuer" on page 23

5.18.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: ["AnyBICDec2014Identifier" on page 55](#)

Usage Guideline details

- This element(seev.002.001.07/MeetingReference/Issuer/AnyBIC) is removed.

5.18.2 ProprietaryIdentification

XML Tag: Prtryld

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **Prtryld** block contains the following elements (see datatype ["GenericIdentification36" on page 14](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		14
	Issuer	<Issr>		[1..1]		14
	SchemeName	<SchmeNm>		[0..1]		14

Usage Guideline details

- This element(seev.002.001.07/MeetingReference/Issuer/ProprietaryIdentification) is removed.

5.18.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "NameAndAddress5" on page 24 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	25
	Address	<Adr>		[0..1]	🚫	25

5.18.4 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "LEIIdentifier" on page 58

Usage Guideline details

- This element(seev.002.001.07/MeetingReference/Issuer/LEI) is removed.

5.19 PartyIdentification198Choice

Definition: Identification of a party.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		30
	LEI	<LEI>	Or	[1..1]		30
	AnyBIC	<AnyBIC>	Or	[1..1]		30
	ClientIdentification	<ClntId>	Or	[1..1]		30
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		30

Used in element(s)

"Identification" on page 32, "Identification" on page 33

5.19.1 NationalRegistrationNumber

XML Tag: NtlRegnNb

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 60

5.19.2 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 58

5.19.3 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 55

5.19.4 ClientIdentification

XML Tag: ClntId

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 61

5.19.5 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36](#)" on [page 14](#) for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		14
	Issuer	<Issr>		[1..1]		14
	SchemeName	<SchmeNm>		[0..1]		14

5.20 PartyIdentification221

Definition: Identification of a party.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		31
	EmailAddress	<EmailAdr>		[0..1]		31
	Identification	<Id>		[1..1]		32

Used in element(s)

"[LegalPerson](#)" on [page 34](#)

5.20.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on [page 39](#) for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		40
	Address	<Adr>		[0..1]		40

5.20.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on [page 59](#)

5.20.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "[PartyIdentification198Choice](#)" on [page 29](#) for details)

Removed - Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		30
	LEI	<LEI>	Or	[1..1]		30
	AnyBIC	<AnyBIC>	Or	[1..1]		30
	ClientIdentification	<ClntId>	Or	[1..1]		30
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		30

5.21 PartyIdentification224

Definition: Identification of a party.

Removed - Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		32
	EmailAddress	<EmailAdr>		[0..1]		33
	Identification	<Id>		[0..1]		33

Used in element(s)

["LegalPerson"](#) on [page 35](#)

5.21.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on page 39 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		40
	Address	<Adr>		[0..1]		40

5.21.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on page 59

5.21.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "[PartyIdentification198Choice](#)" on page 29 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		30
	LEI	<LEI>	Or	[1..1]		30
	AnyBIC	<AnyBIC>	Or	[1..1]		30
	ClientIdentification	<ClntId>	Or	[1..1]		30
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		30

5.22 PartyIdentification231Choice

Definition: Identification of a party.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		34
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		34

Used in element(s)

["AccountOwner" on page 10](#)

5.22.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification221](#)" on [page 31](#) for details)

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		31
	EmailAddress	<EmailAdr>		[0..1]		31
	Identification	<Id>		[1..1]		32

5.22.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification238](#)" on [page 36](#) for details)

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		36
	EmailAddress	<EmailAdr>		[0..1]		36
	Identification	<Id>		[1..1]		36
	Nationality	<Ntlty>		[0..1]		37
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		37

5.23 PartyIdentification233Choice

Definition: Identification of a party.

🚫 Removed - ⚠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		35
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		35

Used in element(s)

["RightsHolder" on page 11](#)

5.23.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification224](#)" on page 32 for details)

🚫 Removed - ⚠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		32
	EmailAddress	<EmailAdr>		[0..1]		33
	Identification	<Id>		[0..1]		33

5.23.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification240](#)" on page 37 for details)

🚫 Removed - ⚠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		38
	EmailAddress	<EmailAdr>		[0..1]		38
	Identification	<Id>		[0..1]		38
	Nationality	<Ntlty>		[0..1]		39
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		39

5.24 PartyIdentification238

Definition: Identification of a party.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		36
	EmailAddress	<EmailAdr>		[0..1]		36
	Identification	<Id>		[1..1]		36
	Nationality	<Ntlty>		[0..1]		37
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		37

Used in element(s)

"NaturalPerson" on page 34

5.24.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName3](#)" on page 40 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		41
	FirstName	<FrstNm>		[1..1]		41
	Surname	<Snm>		[1..1]		41
	Address	<Adr>		[0..1]		41

5.24.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on page 59

5.24.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "[NaturalPersonIdentification1](#)" on [page 26](#) for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	IdentificationType	<IdTp>		[0..1]		26

5.24.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "[CountryCode](#)" on [page 55](#)

5.24.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on [page 9](#) for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		9
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		9
	CityOfBirth	<CityOfBirth>		[0..1]		9
	CountryOfBirth	<CtryOfBirth>		[0..1]		9

5.25 PartyIdentification240

Definition: Identification of a party.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		38
	EmailAddress	<EmailAdr>		[0..1]		38
	Identification	<Id>		[0..1]		38
	Nationality	<Ntlty>		[0..1]		39
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		39

Used in element(s)

"NaturalPerson" on page 35

5.25.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 40 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		41
	FirstName	<FrstNm>		[1..1]		41
	Surname	<SrnM>		[1..1]		41
	Address	<Adr>		[0..1]		41

5.25.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 59

5.25.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "[NaturalPersonIdentification1](#)" on [page 26](#) for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	IdentificationType	<IdTp>		[0..1]		26

5.25.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "[CountryCode](#)" on [page 55](#)

5.25.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on [page 9](#) for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		9
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		9
	CityOfBirth	<CityOfBirth>		[0..1]		9
	CountryOfBirth	<CtrtyOfBirth>		[0..1]		9

5.26 PersonName2

Definition: Identifies a person via its first name and surname.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		40
	Address	<Adr>		[0..1]		40

Used in element(s)

"[NameAndAddress](#)" on [page 31](#), "[NameAndAddress](#)" on [page 32](#)

5.26.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 60

5.26.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 44 for details)

🚫 Removed - ✦ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		45
	AddressLine	<AdrLine>		[0..5]		45
	StreetName	<StrtNm>		[0..1]		45
	BuildingNumber	<BldgNb>		[0..1]		45
	PostBox	<PstBx>		[0..1]		46
	PostCode	<PstCd>		[0..1]		46
	TownName	<TwnNm>		[0..1]		46
	CountrySubDivision	<CtrySubDvsn>		[0..1]		46
	Country	<Ctry>		[1..1]		46

5.27 PersonName3

Definition: Identifies a person via its first name and surname.

🚫 Removed - ✦ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		41
	FirstName	<FrstNm>		[1..1]		41
	Surname	<SrnM>		[1..1]		41
	Address	<Adr>		[0..1]		41

Used in element(s)

"NameAndAddress" on page 38, "NameAndAddress" on page 36

5.27.1 NamePrefix

XML Tag: NmPrfx

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: "NamePrefix2Code" on page 66

5.27.2 FirstName

XML Tag: FrstNm

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 60

5.27.3 Surname

XML Tag: SrnM

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 60

5.27.4 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "[PostalAddress26](#)" on page 44 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		45
	AddressLine	<AdrLine>		[0..5]		45
	StreetName	<StrtNm>		[0..1]		45
	BuildingNumber	<BldgNb>		[0..1]		45
	PostBox	<PstBx>		[0..1]		46
	PostCode	<PstCd>		[0..1]		46
	TownName	<TwnNm>		[0..1]		46
	CountrySubDivision	<CtrySubDvsn>		[0..1]		46
	Country	<Ctry>		[1..1]		46

5.28 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]	🚫	42
	AddressLine	<AdrLine>		[0..5]	🚫	43
	StreetName	<StrtNm>		[0..1]	🚫	43
	BuildingNumber	<BldgNb>		[0..1]	🚫	43
	PostCode	<PstCd>		[0..1]	🚫	43
	TownName	<TwnNm>		[0..1]		44
	CountrySubDivision	<CtrySubDvsn>		[0..1]		44
	Country	<Ctry>		[1..1]		44

Used in element(s)

"[Address](#)" on page 25, "[Location](#)" on page 22

5.28.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: ["AddressType2Code" on page 54](#)

Usage Guideline details

- This element([seev.002.001.07/MeetingReference/Location/AddressType](#)) is removed.

5.28.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: ["Max70Text" on page 61](#)

Usage Guideline details

- This element([seev.002.001.07/MeetingReference/Location/AddressLine](#)) is removed.

5.28.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: ["Max70Text" on page 61](#)

Usage Guideline details

- This element([seev.002.001.07/MeetingReference/Location/StreetName](#)) is removed.

5.28.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: ["Max16Text" on page 59](#)

Usage Guideline details

- This element([seev.002.001.07/MeetingReference/Location/BuildingNumber](#)) is removed.

5.28.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: ["Max16Text" on page 59](#)

Usage Guideline details

- **This element([seev.002.001.07/MeetingReference/Location/PostCode](#)) is removed.**

5.28.6 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: ["Max35Text" on page 60](#)

5.28.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: ["Max35Text" on page 60](#)

5.28.8 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: ["CountryCode" on page 55](#)

5.29 PostalAddress26

Definition: Information that locates and identifies a specific address, as defined by postal services.

🚫 Removed - ✦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		45
	AddressLine	<AdrLine>		[0..5]		45
	StreetName	<StrtNm>		[0..1]		45
	BuildingNumber	<BldgNb>		[0..1]		45
	PostBox	<PstBx>		[0..1]		46
	PostCode	<PstCd>		[0..1]		46
	TownName	<TwnNm>		[0..1]		46
	CountrySubDivision	<CtrySubDvsn>		[0..1]		46
	Country	<Ctry>		[1..1]		46

Used in element(s)

["Address" on page 40](#), ["Address" on page 41](#)

5.29.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: ["AddressType2Code" on page 54](#)

5.29.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: ["Max70Text" on page 61](#)

5.29.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: ["Max70Text" on page 61](#)

5.29.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 59

5.29.5 PostBox

XML Tag: PstBx

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 59

5.29.6 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 59

5.29.7 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 60

5.29.8 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 60

5.29.9 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 55

5.30 SafekeepingPlaceFormat28Choice

Definition: Choice of formats for a place of safekeeping.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		47
	Country	<Ctry>	Or	[1..1]		47
	TypeAndIdentification	<TpAndId>	Or	[1..1]		47
	Proprietary	<Prtry>	Or}	[1..1]		48

Used in element(s)

["SafekeepingPlace" on page 16](#)

5.30.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype ["SafekeepingPlaceTypeAndText6"](#) on page 49 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		49
	Identification	<Id>		[0..1]		49

5.30.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: ["CountryCode"](#) on page 55

5.30.3 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndIdentification1](#)" on page 48 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		48
	Identification	<Id>		[1..1]		48

5.30.4 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification78](#)" on page 14 for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		15
	Identification	<Id>		[0..1]		15

5.31 SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		48
	Identification	<Id>		[1..1]		48

Used in element(s)

"[TypeAndIdentification](#)" on page 47

5.31.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "[SafekeepingPlace1Code](#)" on page 67

5.31.2 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping.

Datatype: ["AnyBICDec2014Identifier" on page 55](#)

5.32 SafekeepingPlaceTypeAndText6

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		49
	Identification	<Id>		[0..1]		49

Used in element(s)

["Identification" on page 47](#)

5.32.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: ["SafekeepingPlace2Code" on page 68](#)

5.32.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: ["Max35Text" on page 60](#)

5.33 SecurityIdentification19

Definition: Identification of a security.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		50
	OtherIdentification	<OthrlId>		[0..*]		51
	Description	<Desc>		[0..1]		51

Rules**R3 DescriptionPresenceRule ✓**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R4 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R5 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R6 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R7 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 52

5.33.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists),

and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: ["ISINOct2015Identifier" on page 57](#)

Usage Guideline details

- This element([seev.002.001.07/Security/FinancialInstrumentIdentification/ISIN](#)) is removed.

5.33.2 OtherIdentification

XML Tag: OthrlId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype ["OtherIdentification1" on page 26](#) for details)

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		27
	Suffix	<Sfx>		[0..1]	🚫	27
	Type	<Tp>		[1..1]		27

5.33.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: ["Max140Text" on page 59](#)

Usage Guideline details

- This element([seev.002.001.07/Security/FinancialInstrumentIdentification/Description](#)) is removed.

5.34 SecurityPosition12

Definition: Identification of a security and its balance.

🚫 Removed - ⚠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		52
	Position	<Pos>		[0..1000]	🚫	52

Used in element(s)

"Security" on page 7

5.34.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19](#)" on page 49 for details)

🚫 Removed - ⚠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	🚫	50
	OtherIdentification	<OthrId>		[0..*]		51
	Description	<Desc>		[0..1]	🚫	51

5.34.2 Position

XML Tag: Pos

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

The **Pos** block contains the following elements (see datatype "[EligiblePosition9](#)" on page 10 for details)

🚫 Removed - ⚠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[1..1]		10
	AccountOwner	<AcctOwnr>		[0..1]		10
	HoldingBalance	<HldgBal>		[0..15]		11
	RightsHolder	<RghtsHldr>		[0..250]		11

Usage Guideline details

- This element(seev.002.001.07/Security/Position) is removed.

5.35 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

🚫 Removed - ⬠ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		53
	Envelope	<Envlp>		[1..1]		53

Rules

R2 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

["SupplementaryData" on page 8](#)

5.35.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: ["Max350Text" on page 60](#)

5.35.2 Envelope

XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

6 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

6.1 AddressType2Code

Definition: Specifies the type of address.

Type: Code

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

["AddressType" on page 42](#), ["AddressType" on page 45](#)

Restricts

["AddressTypeCode" on page 54](#)

6.2 AddressTypeCode

Definition: Specifies the type of address.

Type: Code

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

["AddressType2Code" on page 54](#)

6.3 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules

R8 AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

["AnyBIC" on page 28](#), ["AnyBIC" on page 30](#), ["Identification" on page 48](#)

6.4 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: Code

Format

pattern [A-Z]{2,2}

Rules

R1 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

["Country" on page 44](#), ["Country" on page 47](#), ["Country" on page 46](#), ["CountryOfBirth" on page 9](#), ["Nationality" on page 39](#), ["Nationality" on page 37](#)

6.5 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	17
totalDigits	18

Used in element(s)

["Unit" on page 12](#)

6.6 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
---------	----------------

Used in element(s)

["Identification" on page 13](#)

6.7 ExternalFinancialInstrumentIdentification-Type1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: Code

Format

maxLength	4
minLength	1

Used in element(s)

["Code" on page 17](#)

Restricts

["ExternalFinancialInstrumentIdentificationTypeCode" on page 57](#)

6.8 ExternalFinancialInstrumentIdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: Code

Format

maxLength	4
minLength	1

Is restricted by

["ExternalFinancialInstrumentIdentificationType1Code" on page 56](#)

6.9 ISINOct2015Identifier

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Type: IdentifierSet

Format

pattern	[A-Z]{2}[A-Z0-9]{9,9}[0-9]{1,1}
---------	---------------------------------

Used in element(s)

["ISIN" on page 50](#)

6.10 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

["BirthDate" on page 9](#), ["Date" on page 17](#)

6.11 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

"MeetingDateAndTime" on page 21

6.12 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	18

Used in element(s)

"FaceAmount" on page 12

6.13 LEIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

Used in element(s)

"LEI" on page 29, "LEI" on page 30

6.14 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength 140

minLength 1

Used in element(s)

"CancellationReason" on page 20, "Description" on page 51

6.15 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength 16

minLength 1

Used in element(s)

"BuildingNumber" on page 43, "BuildingNumber" on page 45, "PostBox" on page 46, "PostCode" on page 43, "PostCode" on page 46, "Suffix" on page 27

6.16 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

maxLength 256

minLength 1

Used in element(s)

"EmailAddress" on page 31, "EmailAddress" on page 33, "EmailAddress" on page 38, "EmailAddress" on page 36

6.17 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

"FirstName" on page 41, "Name" on page 25, "Name" on page 40, "PlaceAndName" on page 53, "Surname" on page 41

6.18 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

"AccountIdentification" on page 10, "CityOfBirth" on page 9, "CountrySubDivision" on page 44, "CountrySubDivision" on page 46, "Identification" on page 27, "Identification" on page 14, "Identification" on page 15, "Identification" on page 49, "Identification" on page 26, "Issuer" on page 13, "Issuer" on page 14, "Issuer" on page 13, "IssuerMeetingIdentification" on page 21, "MeetingIdentification" on page 21, "NationalRegistrationNumber" on page 30, "Proprietary" on page 17, "ProvinceOfBirth" on page 9, "SchemeName" on page 13, "SchemeName" on page 14, "SchemeName" on page 14, "TownName" on page 44, "TownName" on page 46

6.19 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

"Identification" on page 12

6.20 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

maxLength	50
minLength	1

Used in element(s)

"ClientIdentification" on page 30

6.21 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

"AddressLine" on page 43, "AddressLine" on page 45, "StreetName" on page 43, "StreetName" on page 45

6.22 MeetingCancellationReason2Code

Definition: Specifies the reason for cancelling a meeting.

Type: Code

Code	Name	Definition
PROC	Processing	<p>Cancellation due to a processing error.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.002.001.07/Reason/CancellationReason-Code/Code/Processing <p>Synonym (DTCC): DTC Event Status (Deleted)</p>
QORM	Quorum	Cancellation due to insufficient participation to the vote.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.002.001.07/Reason/CancellationReason-Code/Code/Quorum) is removed.
WITH	Withdrawal	<p>Cancellation due to the meeting being cancelled by the issuer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.002.001.07/Reason/CancellationReason-Code/Code/Withdrawal <p>Synonym (DTCC): DTC Event Status (Canceled)</p>

Used in element(s)

"Code" on page 19

Restricts

"MeetingCancellationReasonCode" on page 62

6.23 MeetingCancellationReasonCode

Definition: Specifies the reason for cancelling a meeting.

Type: Code

Code	Name	Definition
OTHR	Other	Cancellation due to another reason.
PROC	Processing	Cancellation due to a processing error.
QORM	Quorum	Cancellation due to insufficient participation to the vote.
WITH	Withdrawal	Cancellation due to the meeting being cancelled by the issuer.

Is restricted by

"MeetingCancellationReason2Code" on page 61

6.24 MeetingType4Code

Definition: Specifies a type of security holders meeting.

Type: Code

Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.002.001.07/MeetingReference/Type/BondHolderMeeting) is removed.
CMET	CourtMeeting	Meeting is the result of a legal proceeding. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.002.001.07/MeetingReference/Type/CourtMeeting) is removed.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.002.001.07/MeetingReference/Type/Mixed) is removed.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Used in element(s)

["Type" on page 22](#)

Restricts

["MeetingTypeV2Code"](#) on page 65

6.25 MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: Code

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	<p>Meeting for holders of a specific type of asset, for example, preferred shares, bonds.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.002.001.07/MeetingReference/Classification/Code/Class) is removed.
ISSU	IssuerInitiated	<p>Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.002.001.07/MeetingReference/Classification/Code/IssuerInitiated) is removed.
OMET	Ordinary	<p>Meeting which is planned in the by-laws.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.002.001.07/MeetingReference/Classification/Code/Ordinary) is removed.

Code	Name	Definition
VRHI	VotingRightsHolderInitiated	<p>Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.</p> <hr/> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.002.001.07/MeetingReference/Classification/Code/VotingRightsHolderInitiated) is removed.

Used in element(s)

["Code" on page 24](#)

Restricts

["MeetingTypeClassificationCode" on page 65](#)

6.26 MeetingTypeClassificationCode

Definition: Classifies the meeting type.

Type: Code

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
CORT	Court	Specifies that the meeting is the result of a legal proceeding.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

Is restricted by

["MeetingTypeClassification2Code" on page 64](#)

6.27 MeetingTypeV2Code

Definition: Specifies the type of security holders meeting.

Type: Code

Code	Name	Definition
AMET	AnnualGeneralMeeting	Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CLAS	ClassMeeting	Meeting for a class of assets.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
OMET	Ordinary	Specifies a meeting that is not planned in the by laws.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Is restricted by

"MeetingType4Code" on page 62

6.28 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: Code

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Used in element(s)

["NamePrefix" on page 41](#)

Restricts

["NamePrefixCode" on page 67](#)

6.29 NamePrefixCode

Definition: Specifies the terms used to formally address a person.

Type: Code

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

Is restricted by

["NamePrefix2Code" on page 66](#)

6.30 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: Code

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 48](#)

Restricts

["SafekeepingPlaceCode" on page 68](#)

6.31 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: Code

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 49](#)

Restricts

["SafekeepingPlaceCode" on page 68](#)

6.32 SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: Code

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

["SafekeepingPlace1Code" on page 67](#), ["SafekeepingPlace2Code" on page 68](#)

6.33 SecuritiesEntryType2Code

Definition: Specifies the type of securities entry on an account.

Type: Code

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
UNBA	UninstructedBalance	Balance of uninstructed position.

Used in element(s)

["BalanceType" on page 16](#)

Restricts

["SecuritiesEntryTypeCode" on page 69](#)

6.34 SecuritiesEntryTypeCode

Definition: Specifies the type of securities entry on an account.

Type: Code

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SETT	SettlementPosition	Balance of securities representing only settled transactions; pending transactions not included.

Code	Name	Definition
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
UNBA	UninstructedBalance	Balance of uninstructed position.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.

Is restricted by

["SecuritiesEntryType2Code" on page 68](#)

6.35 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: Code

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Used in element(s)["Code" on page 18](#)**Restricts**["TypeOfIdentificationCode" on page 72](#)

6.36 TypeOfIdentificationCode

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: Code

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

Is restricted by["TypeOfIdentification4Code" on page 71](#)

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