

## Usage Guideline

# DTCC Usage Guideline Meeting Cancellation seev.002.001.07

## DTCC - US - PX - Meetings - SR2021

This document describes a usage guideline restricting the base message **MX seev.002.001.07**. You can also consult [this information online](#).

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28 February 2021

# Table of Contents

|                                     |    |
|-------------------------------------|----|
| <b>Message Functionality</b>        | 3  |
| <b>1    Restriction summary</b>     | 4  |
| <b>2    Structure</b>               | 5  |
| <b>3    Guidelines</b>              | 6  |
| <b>4    Message Building Blocks</b> | 7  |
| <b>5    Message Components</b>      | 9  |
| <b>6    Message Datatypes</b>       | 54 |
| <b>Legal Notices</b>                | 73 |

## Message Functionality

### Overview

#### Scope

This message is sent to cancel a MeetingNotification message previously sent or to advise the cancellation of a meeting. It is sent by the party that sent the MeetingNotification message to the original Receiver.

#### Usage

The MeetingCancellation message may be used in two different situations.

First, it may be sent to cancel a previously sent MeetingNotification message. In this case, the MessageCancellation

building block must be filled in and the MeetingIdentification needs to be present.

Second, it may be sent to advise that the meeting is cancelled. In this case only the MeetingIdentification needs to be present.

### Outline

The DTCC - US - PX - Meetings - SR2021 / DTCC Usage Guideline Meeting Cancellation seev.002.001.07 message is composed of 4 building blocks

a. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

b. Security

Identification of the security for which the meeting was organised.

c. Reason

Justification for the cancellation.

d. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.









# 1 Restriction summary

This Usage Guideline restricts the seev.002.001.07 message.

| Restriction Type    | See page  |
|---------------------|---|
| Removed elements    | 8, 19, 21, 23, 24, 26, 27, 28, 29,<br>29, 43, 43, 43, 43, 44, 51, 51, 53,<br>62, 63, 63, 63, 64, 64, 64, 65 |
| Make mandatory      | n.a.  |
| Reduce Multiplicity | n.a.  |
| Ignore              | n.a.  |
| Text rules          | 19, 20, 21  |
| Conditional rules   | n.a.  |
| Formal rules        | n.a.  |
| Fixed values        | n.a.  |
| Truncates           | n.a.  |
| Comments            | n.a.  |
| Annotations         | n.a.  |
| Changed datatypes   | n.a.  |
| Extensions          | n.a.  |
| Synonyms            | 8, 8, 22, 22, 22, 25, 61, 62  |

## 2 Structure

 Removed - 
  Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item                      | <XML Tag>     | Or | Mult.     | Usage Guidelines  | Page |
|-------|-----------------------------------|---------------|----|-----------|---|------|
|       | <i>MeetingCancellationV07</i>     | <MtgCxl>      |    | [1..1]    |   |      |
|       | <b>MeetingReference</b>           | <MtgRef>      |    | [1..1]    |   | 7    |
|       | MeetingIdentification             | <MtgId>       |    | [1..1]    |    | 21   |
|       | IssuerMeetingIdentification       | <IssrMtgId>   |    | [0..1]    |    | 21   |
|       | MeetingDateAndTime                | <MtgDtAndTm>  |    | [1..1]    |    | 21   |
|       | Type                              | <Tp>          |    | [1..1]    |   | 22   |
|       | Classification                    | <Clssfctn>    |    | [0..1]    |   | 22   |
|       | Location                          | <Lctn>        |    | [0..5]    |    | 22   |
|       | Issuer                            | <Issr>        |    | [0..1]    |   | 23   |
|       | <b>Security</b>                   | <Scty>        |    | [1..200]  |    | 7    |
|       | FinancialInstrumentIdentification | <FinInstrmId> |    | [1..1]    |   | 52   |
|       | Position                          | <Pos>         |    | [0..1000] |   | 52   |
|       | <b>Reason</b>                     | <Rsn>         |    | [1..1]    |   | 8    |
|       | CancellationReasonCode            | <CxlRsnCd>    |    | [0..1]    |   | 20   |
|       | CancellationReason                | <CxlRsn>      |    | [0..1]    |  | 20   |
|       | <b>SupplementaryData</b>          | <SplmtryData> |    | [0..*]    |  | 8    |
|       | PlaceAndName                      | <PlcAndNm>    |    | [0..1]    |   | 53   |
|       | Envelope                          | <Envlp>       |    | [1..1]    |   | 53   |

## 3 Guidelines

### 1. Cancellation1Guideline

It is recommended that a meeting is cancelled with the use of MeetingReference/MeetingIdentification, MeetingReference/Type and Security and Reason only. It is recommended that optional elements are not used.

## 4 Message Building Blocks

**Note** The following chapter identifies the building blocks of the DTCC - US - PX - Meetings - SR2021 / DTCC Usage Guideline Meeting Cancellation seev.002.001.07 message definition.

### 4.1 MeetingReference

*XML Tag:* MtgRef

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

The **MtgRef** block contains the following elements (see datatype "[MeetingReference10](#)" on page 20 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item                | <XML Tag>    | Or | Mult.  | Usage Guidelines | Page |
|-------|-----------------------------|--------------|----|--------|------------------|------|
|       | MeetingIdentification       | <MtgId>      |    | [1..1] | ⚠                | 21   |
|       | IssuerMeetingIdentification | <IssrMtgId>  |    | [0..1] | 🚫                | 21   |
|       | MeetingDateAndTime          | <MtgDtAndTm> |    | [1..1] | ⚠                | 21   |
|       | Type                        | <Tp>         |    | [1..1] |                  | 22   |
|       | Classification              | <Clssfctn>   |    | [0..1] |                  | 22   |
|       | Location                    | <Lctn>       |    | [0..5] | 🚫                | 22   |
|       | Issuer                      | <Issr>       |    | [0..1] |                  | 23   |

### 4.2 Security

*XML Tag:* Scty

*Presence:* [1..200]

*Definition:* Identification of the security for which the meeting was organised.

The **Scty** block contains the following elements (see datatype "[SecurityPosition12](#)" on page 51 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item                      | <XML Tag>     | Or | Mult.     | Usage Guidelines | Page |
|-------|-----------------------------------|---------------|----|-----------|------------------|------|
|       | FinancialInstrumentIdentification | <FinInstrmId> |    | [1..1]    |                  | 52   |
|       | Position                          | <Pos>         |    | [0..1000] | 🚫                | 52   |

## Usage Guideline details

- on [seev.002.001.07/Security](#)  
**Synonym (DTCC):** Event Security ID Type
- on [seev.002.001.07/Security](#)  
**Synonym (DTCC):** Event Security ID

## 4.3 Reason

*XML Tag:* Rsn

*Presence:* [1..1]

*Definition:* Justification for the cancellation.

The **Rsn** block contains the following elements (see datatype "[MeetingCancellationReason2](#)" on page 20 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item           | <XML Tag>  | Or | Mult.  | Usage Guidelines | Page |
|-------|------------------------|------------|----|--------|------------------|------|
|       | CancellationReasonCode | <CxIRsnCd> |    | [0..1] |                  | 20   |
|       | CancellationReason     | <CxIRsn>   |    | [0..1] | ⚠                | 20   |

## 4.4 SupplementaryData

*XML Tag:* SplmtryData

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "[SupplementaryData1](#)" on page 53 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag>  | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------|------------|----|--------|------------------|------|
|       | PlaceAndName | <PlcAndNm> |    | [0..1] |                  | 53   |
|       | Envelope     | <Envlp>    |    | [1..1] |                  | 53   |

## Usage Guideline details

- This element([seev.002.001.07/SupplementaryData](#)) is removed.



## 5 Message Components

**Note** The following chapter identifies the message components.

### 5.1 DateAndPlaceOfBirth2

*Definition:* Date and place of birth of a person.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item    | <XML Tag>     | Or | Mult.  | Usage Guidelines | Page |
|-------|-----------------|---------------|----|--------|------------------|------|
|       | BirthDate       | <BirthDt>     |    | [1..1] |                  | 9    |
|       | ProvinceOfBirth | <PrvcOfBirth> |    | [0..1] |                  | 9    |
|       | CityOfBirth     | <CityOfBirth> |    | [0..1] |                  | 9    |
|       | CountryOfBirth  | <CtryOfBirth> |    | [0..1] |                  | 9    |

**Used in element(s)**

"DateAndPlaceOfBirth" on page 39, "DateAndPlaceOfBirth" on page 37

#### 5.1.1 BirthDate

*XML Tag:* BirthDt

*Presence:* [1..1]

*Definition:* Date on which a person is born.

*Datatype:* "ISODate" on page 57

#### 5.1.2 ProvinceOfBirth

*XML Tag:* PrvcOfBirth

*Presence:* [0..1]

*Definition:* Province where a person was born.

*Datatype:* "Max35Text" on page 60

#### 5.1.3 CityOfBirth

*XML Tag:* CityOfBirth

*Presence:* [0..1]

*Definition:* City where a person was born.

*Datatype:* "Max35Text" on page 60

#### 5.1.4 CountryOfBirth

*XML Tag:* CtryOfBirth

*Presence:* [0..1]

*Definition:* Country where a person was born.

*Datatype:* "CountryCode" on page 55

## 5.2 EligiblePosition9

*Definition:* Information about a securities position and rights holders.

🚫 Removed - 💡 Ignored - ⚠️ Details below - 📌 Mandatory - R[x..x] Repeatability[details]

| Index | Message Item          | <XML Tag>   | Or | Mult.    | Usage Guidelines | Page |
|-------|-----------------------|-------------|----|----------|------------------|------|
|       | AccountIdentification | <AcctId>    |    | [1..1]   |                  | 10   |
|       | AccountOwner          | <AcctOwnr>  |    | [0..1]   |                  | 10   |
|       | HoldingBalance        | <HldgBal>   |    | [0..15]  |                  | 11   |
|       | RightsHolder          | <RghtsHldr> |    | [0..250] |                  | 11   |

### Rules

#### R9 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

### Guidelines

#### 1. RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

### Used in element(s)

"Position" on page 52

### 5.2.1 AccountIdentification

*XML Tag:* AcctId

*Presence:* [1..1]

*Definition:* Identification of the securities account.

*Datatype:* "Max35Text" on page 60

### 5.2.2 AccountOwner

*XML Tag:* AcctOwnr

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "[PartyIdentification231Choice](#)" on [page 33](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item  | <XML Tag>  | Or  | Mult.  | Usage Guidelines | Page |
|-------|---------------|------------|-----|--------|------------------|------|
|       | LegalPerson   | <LglPrsn>  | {Or | [1..1] |                  | 34   |
|       | NaturalPerson | <NtrlPrsn> | Or} | [1..*] |                  | 34   |

## 5.2.3 HoldingBalance

*XML Tag:* HldgBal

*Presence:* [0..15]

*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

The **HldgBal** block contains the following elements (see datatype "[HoldingBalance9](#)" on [page 15](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item     | <XML Tag>  | Or | Mult.  | Usage Guidelines | Page |
|-------|------------------|------------|----|--------|------------------|------|
|       | Balance          | <Bal>      |    | [1..1] |                  | 16   |
|       | BalanceType      | <BalTp>    |    | [1..1] |                  | 16   |
|       | SafekeepingPlace | <SfkpgPlc> |    | [0..1] |                  | 16   |
|       | Date             | <Dt>       |    | [0..1] |                  | 17   |

## 5.2.4 RightsHolder

*XML Tag:* RgtsHldr

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

The **RgtsHldr** block contains the following elements (see datatype "[PartyIdentification233Choice](#)" on [page 34](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item  | <XML Tag>  | Or  | Mult.  | Usage Guidelines | Page |
|-------|---------------|------------|-----|--------|------------------|------|
|       | LegalPerson   | <LglPrsn>  | {Or | [1..1] |                  | 35   |
|       | NaturalPerson | <NtrlPrsn> | Or} | [1..*] |                  | 35   |

## 5.3 FinancialInstrumentQuantity18Choice

*Definition:* Choice between formats for the quantity of security.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or   | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|------|--------|------------------|------|
|       | Unit         | <Unit>    | {Or} | [1..1] |                  | 12   |
|       | FaceAmount   | <FaceAmt> | Or}  | [1..1] |                  | 12   |

Used in element(s)

"Balance" on page 16

### 5.3.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 56

### 5.3.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 58

## 5.4 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Identification | <Id>      |    | [1..1] |                  | 12   |
|       | SchemeName     | <SchmeNm> |    | [0..1] |                  | 13   |
|       | Issuer         | <Issr>    |    | [1..1] |                  | 13   |

Used in element(s)

"Proprietary" on page 19, "Proprietary" on page 24

### 5.4.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: ["Max4AlphaNumericText" on page 60](#)

## 5.4.2 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: ["Max35Text" on page 60](#)

## 5.4.3 Issuer

XML Tag: Issr





Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 60](#)

## 5.5 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -  Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Identification | <Id>      |    | [1..1] |                  | 13   |
|       | Issuer         | <Issr>    |    | [1..1] |                  | 13   |
|       | SchemeName     | <SchmeNm> |    | [0..1] |                  | 14   |

Used in element(s)

["Proprietary" on page 18](#), ["Type" on page 15](#)

### 5.5.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["Exact4AlphaNumericText" on page 56](#)

### 5.5.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 60](#)

### 5.5.3 SchemeName

*XML Tag:* SchmeNm

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 60

## 5.6 GenericIdentification36

*Definition:* Identification using a proprietary scheme.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Identification | <Id>      |    | [1..1] |                  | 14   |
|       | Issuer         | <Issr>    |    | [1..1] |                  | 14   |
|       | SchemeName     | <SchmeNm> |    | [0..1] |                  | 14   |

**Used in element(s)**

"ProprietaryIdentification" on page 28, "ProprietaryIdentification" on page 30

### 5.6.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Max35Text" on page 60

### 5.6.2 Issuer

*XML Tag:* Issr

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 60

### 5.6.3 SchemeName

*XML Tag:* SchmeNm

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 60

## 5.7 GenericIdentification78

*Definition:* Identification expressed as a proprietary type and narrative description.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Type           | <Tp>      |    | [1..1] |                  | 15   |
|       | Identification | <Id>      |    | [0..1] |                  | 15   |

Used in element(s)

"Proprietary" on page 48

## 5.7.1 Type

*XML Tag:* Tp

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 13 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Identification | <Id>      |    | [1..1] |                  | 13   |
|       | Issuer         | <Issr>    |    | [1..1] |                  | 13   |
|       | SchemeName     | <SchmeNm> |    | [0..1] |                  | 14   |

## 5.7.2 Identification

*XML Tag:* Id

*Presence:* [0..1]

*Definition:* Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

*Datatype:* "[Max35Text](#)" on page 60

## 5.8 HoldingBalance9

*Definition:* Net position of a segregated holding of a single security within the overall position held in the securities account, for example, sub-balance per status.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item     | <XML Tag>  | Or | Mult.  | Usage Guidelines | Page |
|-------|------------------|------------|----|--------|------------------|------|
|       | Balance          | <Bal>      |    | [1..1] |                  | 16   |
|       | BalanceType      | <BalTp>    |    | [1..1] |                  | 16   |
|       | SafekeepingPlace | <SfkpgPlc> |    | [0..1] |                  | 16   |
|       | Date             | <Dt>       |    | [0..1] |                  | 17   |

#### Used in element(s)

"HoldingBalance" on page 11

### 5.8.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

The **Bal** block contains the following elements (see datatype "FinancialInstrumentQuantity18Choice" on page 11 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or  | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
|       | Unit         | <Unit>    | {Or | [1..1] |                  | 12   |
|       | FaceAmount   | <FaceAmt> | Or} | [1..1] |                  | 12   |

### 5.8.2 BalanceType

XML Tag: BalTp

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "SecuritiesEntryType2Code" on page 68

### 5.8.3 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).



The **SfkgpPlc** block contains the following elements (see datatype "[SafekeepingPlaceFormat28Choice](#)" on page 46 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item          | <XML Tag> | Or  | Mult.  | Usage Guidelines | Page |
|-------|-----------------------|-----------|-----|--------|------------------|------|
|       | Identification        | <Id>      | {Or | [1..1] |                  | 47   |
|       | Country               | <Ctry>    | Or  | [1..1] |                  | 47   |
|       | TypeAndIdentification | <TpAndId> | Or  | [1..1] |                  | 47   |
|       | Proprietary           | <Prtry>   | Or} | [1..1] |                  | 48   |

## 5.8.4 Date

XML Tag: Dt

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "[ISODate](#)" on page 57

## 5.9 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or  | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
|       | Code         | <Cd>      | {Or | [1..1] |                  | 17   |
|       | Proprietary  | <Prtry>   | Or} | [1..1] |                  | 17   |

Used in element(s)

"[Type](#)" on page 27

### 5.9.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "[ExternalFinancialInstrumentIdentificationType1Code](#)" on page 56

### 5.9.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "[Max35Text](#)" on page 60

## 5.10 IdentificationType45Choice

*Definition:* Choice between a code and a data source scheme to specify the type of natural person identification.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or  | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
|       | Code         | <Cd>      | {Or | [1..1] |                  | 18   |
|       | Proprietary  | <Prtry>   | Or} | [1..1] |                  | 18   |

**Used in element(s)**

"IdentificationType" on page 26

### 5.10.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Type of identification expressed as a code.

*Datatype:* "TypeOfIdentification4Code" on page 71

### 5.10.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Type of identification expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 13 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Identification | <Id>      |    | [1..1] |                  | 13   |
|       | Issuer         | <Issr>    |    | [1..1] |                  | 13   |
|       | SchemeName     | <SchmeNm> |    | [0..1] |                  | 14   |

## 5.11 MeetingCancellationReason1Choice

*Definition:* Choice of meeting cancellation reason.

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or   | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|------|--------|------------------|------|
|       | Code         | <Cd>      | {Or} | [1..1] | ⚠                | 19   |
|       | Proprietary  | <Prtry>   | Or}  | [1..1] | 🚫                | 19   |

Used in element(s)

"CancellationReasonCode" on page 20

## 5.11.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Specifies the reason for cancelling a meeting in coded form.

Datatype: "MeetingCancellationReason2Code" on page 61

### Usage Guideline details

- on seev.002.001.07/Reason/CancellationReasonCode/Code

– DTCC Market Practice Rule:

Derived from DTC Event Status.

## 5.11.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Specifies the reason for cancelling a meeting in free text form.

The **Prtry** block contains the following elements (see datatype "GenericIdentification13" on page 12 for details)

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Identification | <Id>      |    | [1..1] |                  | 12   |
|       | SchemeName     | <SchmeNm> |    | [0..1] |                  | 13   |
|       | Issuer         | <Issr>    |    | [1..1] |                  | 13   |

### Usage Guideline details

- This element(seev.002.001.07/Reason/CancellationReasonCode/Proprietary) is removed.

## 5.12 MeetingCancellationReason2

*Definition:* Specifies the reason for cancelling a meeting.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item           | <XML Tag>  | Or | Mult.  | Usage Guidelines | Page |
|-------|------------------------|------------|----|--------|------------------|------|
|       | CancellationReasonCode | <CxIRsnCd> |    | [0..1] |                  | 20   |
|       | CancellationReason     | <CxIRsn>   |    | [0..1] | ⚠️               | 20   |

**Used in element(s)**

"Reason" on page 8

### 5.12.1 CancellationReasonCode

*XML Tag:* CxIRsnCd

*Presence:* [0..1]

*Definition:* Reason for cancelling a meeting.

The **CxIRsnCd** block contains the following elements (see datatype "MeetingCancellationReason1Choice" on page 18 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or  | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
|       | Code         | <Cd>      | {Or | [1..1] | ⚠️               | 19   |
|       | Proprietary  | <Prtry>   | Or} | [1..1] | 🚫                | 19   |

### 5.12.2 CancellationReason

*XML Tag:* CxIRsn

*Presence:* [0..1]

*Definition:* Provides more information on the reason for cancelling a meeting in free format form.

*Datatype:* "Max140Text" on page 59

**Usage Guideline details**

- on seev.002.001.07/Reason/CancellationReason
  - **DTCC Market Practice Rule:**

First 140 characters of the comment regarding a cancellation / deletion reason.

## 5.13 MeetingReference10

*Definition:* Identification of a meeting.

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item                | <XML Tag>    | Or | Mult.  | Usage Guidelines | Page |
|-------|-----------------------------|--------------|----|--------|------------------|------|
|       | MeetingIdentification       | <MtglId>     |    | [1..1] | ⚠                | 21   |
|       | IssuerMeetingIdentification | <IssrMtglId> |    | [0..1] | 🚫                | 21   |
|       | MeetingDateAndTime          | <MtgdTAndTm> |    | [1..1] | ⚠                | 21   |
|       | Type                        | <Tp>         |    | [1..1] |                  | 22   |
|       | Classification              | <Clssfctn>   |    | [0..1] |                  | 22   |
|       | Location                    | <Lctn>       |    | [0..5] | 🚫                | 22   |
|       | Issuer                      | <Issr>       |    | [0..1] |                  | 23   |

#### Used in element(s)

"MeetingReference" on page 7

## 5.13.1 MeetingIdentification

XML Tag: MtglId

Presence: [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* "Max35Text" on page 60

#### Usage Guideline details

- on **seev.002.001.07/MeetingReference/MeetingIdentification**
  - **DTCC Market Practice Rule:**

Mapped from CA Event ID.

## 5.13.2 IssuerMeetingIdentification

XML Tag: IssrMtglId

Presence: [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* "Max35Text" on page 60

#### Usage Guideline details

- **This element(seev.002.001.07/MeetingReference/IssuerMeetingIdentification) is removed.**

## 5.13.3 MeetingDateAndTime

*XML Tag:* MtgDtAndTm

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* ["ISODateTime" on page 58](#)

#### Usage Guideline details

- on [seev.002.001.07/MeetingReference/MeetingDateAndTime](#)  
**Synonym (DTCC):** Meeting Time Zone
- on [seev.002.001.07/MeetingReference/MeetingDateAndTime](#)  
**Synonym (DTCC):** Meeting Date
- on [seev.002.001.07/MeetingReference/MeetingDateAndTime](#)  
**Synonym (DTCC):** Meeting Time

### 5.13.4 Type

*XML Tag:* Tp

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* ["MeetingType4Code" on page 62](#)

### 5.13.5 Classification

*XML Tag:* Clssfctn

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

The **Clssfctn** block contains the following elements (see datatype ["MeetingTypeClassification2Choice" on page 23](#) for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or  | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
|       | Code         | <Cd>      | {Or | [1..1] |                  | 24   |
|       | Proprietary  | <Prtry>   | Or} | [1..1] | 🚫                | 24   |

### 5.13.6 Location

*XML Tag:* Lctn

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

The **Lctn** block contains the following elements (see datatype "[PostalAddress1](#)" on page 42 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item       | <XML Tag>     | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
|       | AddressType        | <AdrTp>       |    | [0..1] | 🚫                | 42   |
|       | AddressLine        | <AdrLine>     |    | [0..5] | 🚫                | 43   |
|       | StreetName         | <StrtNm>      |    | [0..1] | 🚫                | 43   |
|       | BuildingNumber     | <BldgNb>      |    | [0..1] | 🚫                | 43   |
|       | PostCode           | <PstCd>       |    | [0..1] | 🚫                | 43   |
|       | TownName           | <TwnNm>       |    | [0..1] |                  | 44   |
|       | CountrySubDivision | <CtrySubDvsn> |    | [0..1] |                  | 44   |
|       | Country            | <Ctry>        |    | [1..1] |                  | 44   |

#### Usage Guideline details

- This element(seev.002.001.07/MeetingReference/Location) is removed.

## 5.13.7 Issuer

*XML Tag:* Issr

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

The **Issr** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 28 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item              | <XML Tag>  | Or  | Mult.  | Usage Guidelines | Page |
|-------|---------------------------|------------|-----|--------|------------------|------|
|       | AnyBIC                    | <AnyBIC>   | {Or | [1..1] | 🚫                | 28   |
|       | ProprietaryIdentification | <PrtryId>  | Or  | [1..1] | 🚫                | 28   |
|       | NameAndAddress            | <NmAndAdr> | Or  | [1..1] |                  | 29   |
|       | LEI                       | <LEI>      | Or} | [1..1] | 🚫                | 29   |

## 5.14 MeetingTypeClassification2Choice

*Definition:* Choice of meeting type classification.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or   | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|------|--------|------------------|------|
|       | Code         | <Cd>      | {Or} | [1..1] |                  | 24   |
|       | Proprietary  | <Prtry>   | Or}  | [1..1] | 🚫                | 24   |

Used in element(s)

"Classification" on page 22

## 5.14.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 64

## 5.14.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification13" on page 12 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Identification | <Id>      |    | [1..1] |                  | 12   |
|       | SchemeName     | <SchmeNm> |    | [0..1] |                  | 13   |
|       | Issuer         | <Issr>    |    | [1..1] |                  | 13   |

Usage Guideline details

- This element(seev.002.001.07/MeetingReference/Classification/Proprietary) is removed.

## 5.15 NameAndAddress5

Definition: Information that locates and identifies a party.



🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
|       | Name         | <Nm>      |    | [1..1] | ⚠                | 25   |
|       | Address      | <Adr>     |    | [0..1] | 🚫                | 25   |

Used in element(s)

"NameAndAddress" on page 29

## 5.15.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 60

Usage Guideline details

- on seev.002.001.07/MeetingReference/Issuer/NameAndAddress/Name  
Synonym (Issuer Description): DTCC

## 5.15.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 42 for details)

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item       | <XML Tag>     | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
|       | AddressType        | <AdrTp>       |    | [0..1] |                  | 42   |
|       | AddressLine        | <AdrLine>     |    | [0..5] |                  | 43   |
|       | StreetName         | <StrtNm>      |    | [0..1] |                  | 43   |
|       | BuildingNumber     | <BldgNb>      |    | [0..1] |                  | 43   |
|       | PostCode           | <PstCd>       |    | [0..1] |                  | 43   |
|       | TownName           | <TwnNm>       |    | [0..1] |                  | 44   |
|       | CountrySubDivision | <CtrySubDvsn> |    | [0..1] |                  | 44   |
|       | Country            | <Ctry>        |    | [1..1] |                  | 44   |

## Usage Guideline details

- This element(seev.002.001.07/MeetingReference/Issuer/NameAndAddress/Address) is removed.

## 5.16 NaturalPersonIdentification1

*Definition:* Identification number and identification type of a natural person.

Removed - Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

| Index | Message Item       | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------------|-----------|----|--------|------------------|------|
|       | Identification     | <Id>      |    | [1..1] |                  | 26   |
|       | IdentificationType | <IdTp>    |    | [0..1] |                  | 26   |

### Used in element(s)

"Identification" on page 38, "Identification" on page 36

### 5.16.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Natural person local identification.

*Datatype:* "Max35Text" on page 60

### 5.16.2 IdentificationType

*XML Tag:* IdTp

*Presence:* [0..1]

*Definition:* Type of local identification for a natural person.

The **IdTp** block contains the following elements (see datatype "IdentificationType45Choice" on page 18 for details)

Removed - Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or  | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
|       | Code         | <Cd>      | {Or | [1..1] |                  | 18   |
|       | Proprietary  | <Prtry>   | Or} | [1..1] |                  | 18   |

## 5.17 OtherIdentification1

*Definition:* Other accepted financial instrument's identification than ISIN.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Identification | <Id>      |    | [1..1] |                  | 27   |
|       | Suffix         | <Sfx>     |    | [0..1] | 🚫                | 27   |
|       | Type           | <Tp>      |    | [1..1] |                  | 27   |

#### Used in element(s)

"OtherIdentification" on page 51

## 5.17.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 60

## 5.17.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 59

#### Usage Guideline details

- This element(seev.002.001.07/Security/FinancialInstrumentIdentification/OtherIdentification/Suffix) is removed.

## 5.17.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "IdentificationSource3Choice" on page 17 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or  | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|-----|--------|------------------|------|
|       | Code         | <Cd>      | {Or | [1..1] |                  | 17   |
|       | Proprietary  | <Prtry>   | Or} | [1..1] |                  | 17   |

## 5.18 PartyIdentification129Choice

*Definition:* Choice between different formats for the identification of a party.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item              | <XML Tag>  | Or  | Mult.  | Usage Guidelines | Page |
|-------|---------------------------|------------|-----|--------|------------------|------|
|       | AnyBIC                    | <AnyBIC>   | {Or | [1..1] | 🚫                | 28   |
|       | ProprietaryIdentification | <PrtryId>  | Or  | [1..1] | 🚫                | 28   |
|       | NameAndAddress            | <NmAndAdr> | Or  | [1..1] |                  | 29   |
|       | LEI                       | <LEI>      | Or} | [1..1] | 🚫                | 29   |

Used in element(s)

"Issuer" on page 23

### 5.18.1 AnyBIC

*XML Tag:* AnyBIC

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Datatype:* "AnyBICDec2014Identifier" on page 55

Usage Guideline details

- This element(see v.002.001.07/MeetingReference/Issuer/AnyBIC) is removed.

### 5.18.2 ProprietaryIdentification

*XML Tag:* PrtryId

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36" on page 14 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Identification | <Id>      |    | [1..1] |                  | 14   |
|       | Issuer         | <Issr>    |    | [1..1] |                  | 14   |
|       | SchemeName     | <SchmeNm> |    | [0..1] |                  | 14   |

## Usage Guideline details

- This element(seev.002.001.07/MeetingReference/Issuer/ProprietaryIdentification) is removed.

## 5.18.3 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5](#)" on page 24 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
|       | Name         | <Nm>      |    | [1..1] | ⚠️               | 25   |
|       | Address      | <Adr>     |    | [0..1] | 🚫                | 25   |

## 5.18.4 LEI

*XML Tag:* LEI

*Presence:* [1..1]

*Definition:* Legal entity identification of the party.

*Datatype:* "[LEIIdentifier](#)" on page 58

## Usage Guideline details

- This element(seev.002.001.07/MeetingReference/Issuer/LEI) is removed.

## 5.19 PartyIdentification198Choice

*Definition:* Identification of a party.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item               | <XML Tag>   | Or  | Mult.  | Usage Guidelines | Page |
|-------|----------------------------|-------------|-----|--------|------------------|------|
|       | NationalRegistrationNumber | <NtlRegnNb> | {Or | [1..1] |                  | 30   |
|       | LEI                        | <LEI>       | Or  | [1..1] |                  | 30   |
|       | AnyBIC                     | <AnyBIC>    | Or  | [1..1] |                  | 30   |
|       | ClientIdentification       | <ClntId>    | Or  | [1..1] |                  | 30   |
|       | ProprietaryIdentification  | <PrtryId>   | Or} | [1..1] |                  | 30   |

**Used in element(s)**

"Identification" on page 32, "Identification" on page 33

## **5.19.1 NationalRegistrationNumber**

*XML Tag:* NtlRegnNb

*Presence:* [1..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 60

## **5.19.2 LEI**

*XML Tag:* LEI

*Presence:* [1..1]

*Definition:* Legal entity identifier of the party.

*Datatype:* "LEIIdentifier" on page 58

## **5.19.3 AnyBIC**

*XML Tag:* AnyBIC

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Datatype:* "AnyBICDec2014Identifier" on page 55

## **5.19.4 ClientIdentification**

*XML Tag:* ClntId

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of the client's counterparty.

*Datatype:* "Max50Text" on page 61

## **5.19.5 ProprietaryIdentification**

*XML Tag:* PrtryId

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36](#)" on page 14 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Identification | <Id>      |    | [1..1] |                  | 14   |
|       | Issuer         | <Issr>    |    | [1..1] |                  | 14   |
|       | SchemeName     | <SchmeNm> |    | [0..1] |                  | 14   |

## 5.20 PartyIdentification221

*Definition:* Identification of a party.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag>  | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|------------|----|--------|------------------|------|
|       | NameAndAddress | <NmAndAdr> |    | [1..1] |                  | 31   |
|       | EmailAddress   | <EmailAdr> |    | [0..1] |                  | 31   |
|       | Identification | <Id>       |    | [1..1] |                  | 32   |

**Used in element(s)**

"[LegalPerson](#)" on page 34

### 5.20.1 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on page 39 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
|       | Name         | <Nm>      |    | [1..1] |                  | 40   |
|       | Address      | <Adr>     |    | [0..1] |                  | 40   |

### 5.20.2 EmailAddress

*XML Tag:* EmailAdr

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "[Max256Text](#)" on page 59

## 5.20.3 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identification of the party.

The **Id** block contains the following elements (see datatype "[PartyIdentification198Choice](#)" on page 29 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item               | <XML Tag>   | Or  | Mult.  | Usage Guidelines | Page |
|-------|----------------------------|-------------|-----|--------|------------------|------|
|       | NationalRegistrationNumber | <NtlRegnNb> | {Or | [1..1] |                  | 30   |
|       | LEI                        | <LEI>       | Or  | [1..1] |                  | 30   |
|       | AnyBIC                     | <AnyBIC>    | Or  | [1..1] |                  | 30   |
|       | ClientIdentification       | <ClntId>    | Or  | [1..1] |                  | 30   |
|       | ProprietaryIdentification  | <PrtryId>   | Or} | [1..1] |                  | 30   |

## 5.21 PartyIdentification224

*Definition:* Identification of a party.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag>  | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|------------|----|--------|------------------|------|
|       | NameAndAddress | <NmAndAdr> |    | [1..1] |                  | 32   |
|       | EmailAddress   | <EmailAdr> |    | [0..1] |                  | 33   |
|       | Identification | <Id>       |    | [0..1] |                  | 33   |

**Used in element(s)**

["LegalPerson"](#) on page 35

### 5.21.1 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name and address of the party.



The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on page 39 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
|       | Name         | <Nm>      |    | [1..1] |                  | 40   |
|       | Address      | <Adr>     |    | [0..1] |                  | 40   |

## 5.21.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "[Max256Text](#)" on page 59

## 5.21.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Identification of the party.

The **Id** block contains the following elements (see datatype "[PartyIdentification198Choice](#)" on page 29 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item               | <XML Tag>   | Or  | Mult.  | Usage Guidelines | Page |
|-------|----------------------------|-------------|-----|--------|------------------|------|
|       | NationalRegistrationNumber | <NtlRegnNb> | {Or | [1..1] |                  | 30   |
|       | LEI                        | <LEI>       | Or  | [1..1] |                  | 30   |
|       | AnyBIC                     | <AnyBIC>    | Or  | [1..1] |                  | 30   |
|       | ClientIdentification       | <ClntId>    | Or  | [1..1] |                  | 30   |
|       | ProprietaryIdentification  | <PrtryId>   | Or} | [1..1] |                  | 30   |

## 5.22 PartyIdentification231Choice

Definition: Identification of a party.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item  | <XML Tag>  | Or  | Mult.  | Usage Guidelines | Page |
|-------|---------------|------------|-----|--------|------------------|------|
|       | LegalPerson   | <LglPrsn>  | {Or | [1..1] |                  | 34   |
|       | NaturalPerson | <NtrlPrsn> | Or} | [1..*] |                  | 34   |

**Used in element(s)**"AccountOwner" on page 10

## 5.22.1 LegalPerson

*XML Tag:* LglPrsn*Presence:* [1..1]*Definition:* Legal entity.

The **LglPrsn** block contains the following elements (see datatype "PartyIdentification221" on page 31 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag>  | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|------------|----|--------|------------------|------|
|       | NameAndAddress | <NmAndAdr> |    | [1..1] |                  | 31   |
|       | EmailAddress   | <EmailAdr> |    | [0..1] |                  | 31   |
|       | Identification | <Id>       |    | [1..1] |                  | 32   |

## 5.22.2 NaturalPerson

*XML Tag:* NtrlPrsn*Presence:* [1..\*]*Definition:* Private person.

The **NtrlPrsn** block contains the following elements (see datatype "PartyIdentification238" on page 36 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item        | <XML Tag>         | Or | Mult.  | Usage Guidelines | Page |
|-------|---------------------|-------------------|----|--------|------------------|------|
|       | NameAndAddress      | <NmAndAdr>        |    | [1..1] |                  | 36   |
|       | EmailAddress        | <EmailAdr>        |    | [0..1] |                  | 36   |
|       | Identification      | <Id>              |    | [1..1] |                  | 36   |
|       | Nationality         | <Ntlty>           |    | [0..1] |                  | 37   |
|       | DateAndPlaceOfBirth | <DtAndPlcOfBirth> |    | [0..1] |                  | 37   |

## 5.23 PartyIdentification233Choice

*Definition:* Identification of a party.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item  | <XML Tag>  | Or  | Mult.  | Usage Guidelines | Page |
|-------|---------------|------------|-----|--------|------------------|------|
|       | LegalPerson   | <LglPrsn>  | {Or | [1..1] |                  | 35   |
|       | NaturalPerson | <NtrlPrsn> | Or} | [1..*] |                  | 35   |

Used in element(s)

"RightsHolder" on page 11

## 5.23.1 LegalPerson

XML Tag: LglPrsn

Presence: [1..1]

Definition: Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification224](#)" on page 32 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag>  | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|------------|----|--------|------------------|------|
|       | NameAndAddress | <NmAndAdr> |    | [1..1] |                  | 32   |
|       | EmailAddress   | <EmailAdr> |    | [0..1] |                  | 33   |
|       | Identification | <Id>       |    | [0..1] |                  | 33   |

## 5.23.2 NaturalPerson

XML Tag: NtrlPrsn

Presence: [1..\*]

Definition: Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification240](#)" on page 37 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item        | <XML Tag>         | Or | Mult.  | Usage Guidelines | Page |
|-------|---------------------|-------------------|----|--------|------------------|------|
|       | NameAndAddress      | <NmAndAdr>        |    | [1..1] |                  | 38   |
|       | EmailAddress        | <EmailAdr>        |    | [0..1] |                  | 38   |
|       | Identification      | <Id>              |    | [0..1] |                  | 38   |
|       | Nationality         | <Ntlty>           |    | [0..1] |                  | 39   |
|       | DateAndPlaceOfBirth | <DtAndPlcOfBirth> |    | [0..1] |                  | 39   |

## 5.24 PartyIdentification238

*Definition:* Identification of a party.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item        | <XML Tag>         | Or | Mult.  | Usage Guidelines | Page |
|-------|---------------------|-------------------|----|--------|------------------|------|
|       | NameAndAddress      | <NmAndAdr>        |    | [1..1] |                  | 36   |
|       | EmailAddress        | <EmailAdr>        |    | [0..1] |                  | 36   |
|       | Identification      | <Id>              |    | [1..1] |                  | 36   |
|       | Nationality         | <Ntlty>           |    | [0..1] |                  | 37   |
|       | DateAndPlaceOfBirth | <DtAndPlcOfBirth> |    | [0..1] |                  | 37   |

**Used in element(s)**

"NaturalPerson" on page 34

### 5.24.1 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 40 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
|       | NamePrefix   | <NmPrfx>  |    | [0..1] |                  | 41   |
|       | FirstName    | <FrstNm>  |    | [1..1] |                  | 41   |
|       | Surname      | <Snm>     |    | [1..1] |                  | 41   |
|       | Address      | <Adr>     |    | [0..1] |                  | 41   |

### 5.24.2 EmailAddress

*XML Tag:* EmailAdr

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 59

### 5.24.3 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Natural person local identification and type.

The **Id** block contains the following elements (see datatype "[NaturalPersonIdentification1](#)" on page 26 for details)

🚫 Removed - ⬜ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item       | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------------|-----------|----|--------|------------------|------|
|       | Identification     | <Id>      |    | [1..1] |                  | 26   |
|       | IdentificationType | <IdTp>    |    | [0..1] |                  | 26   |

## 5.24.4 Nationality

*XML Tag:* Ntlty

*Presence:* [0..1]

*Definition:* Nationality of the person.

*Datatype:* "[CountryCode](#)" on page 55

## 5.24.5 DateAndPlaceOfBirth

*XML Tag:* DtAndPlcOfBirth

*Presence:* [0..1]

*Definition:* Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on page 9 for details)

🚫 Removed - ⬜ Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item    | <XML Tag>     | Or | Mult.  | Usage Guidelines | Page |
|-------|-----------------|---------------|----|--------|------------------|------|
|       | BirthDate       | <BirthDt>     |    | [1..1] |                  | 9    |
|       | ProvinceOfBirth | <PrvcOfBirth> |    | [0..1] |                  | 9    |
|       | CityOfBirth     | <CityOfBirth> |    | [0..1] |                  | 9    |
|       | CountryOfBirth  | <CtryOfBirth> |    | [0..1] |                  | 9    |

## 5.25 PartyIdentification240

*Definition:* Identification of a party.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item        | <XML Tag>         | Or | Mult.  | Usage Guidelines | Page |
|-------|---------------------|-------------------|----|--------|------------------|------|
|       | NameAndAddress      | <NmAndAdr>        |    | [1..1] |                  | 38   |
|       | EmailAddress        | <EmailAdr>        |    | [0..1] |                  | 38   |
|       | Identification      | <Id>              |    | [0..1] |                  | 38   |
|       | Nationality         | <Ntlty>           |    | [0..1] |                  | 39   |
|       | DateAndPlaceOfBirth | <DtAndPlcOfBirth> |    | [0..1] |                  | 39   |

Used in element(s)

"NaturalPerson" on page 35

## 5.25.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 40 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
|       | NamePrefix   | <NmPrfx>  |    | [0..1] |                  | 41   |
|       | FirstName    | <FrstNm>  |    | [1..1] |                  | 41   |
|       | Surname      | <Srnrm>   |    | [1..1] |                  | 41   |
|       | Address      | <Adr>     |    | [0..1] |                  | 41   |

## 5.25.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 59

## 5.25.3 Identification

XML Tag: Id

Presence: [0..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype "[NaturalPersonIdentification1](#)" on page 26 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item       | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------------|-----------|----|--------|------------------|------|
|       | Identification     | <Id>      |    | [1..1] |                  | 26   |
|       | IdentificationType | <IdTp>    |    | [0..1] |                  | 26   |

## 5.25.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: "[CountryCode](#)" on page 55

## 5.25.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on page 9 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item    | <XML Tag>     | Or | Mult.  | Usage Guidelines | Page |
|-------|-----------------|---------------|----|--------|------------------|------|
|       | BirthDate       | <BirthDt>     |    | [1..1] |                  | 9    |
|       | ProvinceOfBirth | <PrvcOfBirth> |    | [0..1] |                  | 9    |
|       | CityOfBirth     | <CityOfBirth> |    | [0..1] |                  | 9    |
|       | CountryOfBirth  | <CtryOfBirth> |    | [0..1] |                  | 9    |

## 5.26 PersonName2

Definition: Identifies a person via its first name and surname.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
|       | Name         | <Nm>      |    | [1..1] |                  | 40   |
|       | Address      | <Adr>     |    | [0..1] |                  | 40   |

Used in element(s)

"[NameAndAddress](#)" on page 31, "[NameAndAddress](#)" on page 32

## 5.26.1 Name

*XML Tag:* Nm

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 60

## 5.26.2 Address

*XML Tag:* Adr

*Presence:* [0..1]

*Definition:* Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 44 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item       | <XML Tag>     | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
|       | AddressType        | <AdrTp>       |    | [0..1] |                  | 45   |
|       | AddressLine        | <AdrLine>     |    | [0..5] |                  | 45   |
|       | StreetName         | <StrtNm>      |    | [0..1] |                  | 45   |
|       | BuildingNumber     | <BldgNb>      |    | [0..1] |                  | 45   |
|       | PostBox            | <PstBx>       |    | [0..1] |                  | 46   |
|       | PostCode           | <PstCd>       |    | [0..1] |                  | 46   |
|       | TownName           | <TwnNm>       |    | [0..1] |                  | 46   |
|       | CountrySubDivision | <CtrySubDvsn> |    | [0..1] |                  | 46   |
|       | Country            | <Ctry>        |    | [1..1] |                  | 46   |

## 5.27 PersonName3

*Definition:* Identifies a person via its first name and surname.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------|-----------|----|--------|------------------|------|
|       | NamePrefix   | <NmPrfx>  |    | [0..1] |                  | 41   |
|       | FirstName    | <FrstNm>  |    | [1..1] |                  | 41   |
|       | Surname      | <SrnM>    |    | [1..1] |                  | 41   |
|       | Address      | <Adr>     |    | [0..1] |                  | 41   |



**Used in element(s)**

["NameAndAddress" on page 38](#), ["NameAndAddress" on page 36](#)

## 5.27.1      **NamePrefix**

*XML Tag:* NmPrfx

*Presence:* [0..1]

*Definition:* Prefix, as a title before a person's name.

*Datatype:* ["NamePrefix2Code" on page 66](#)

## 5.27.2      **FirstName**

*XML Tag:* FrstNm

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* ["Max350Text" on page 60](#)

## 5.27.3      **Surname**

*XML Tag:* Srm

*Presence:* [1..1]

*Definition:* Name (s) by which a natural person is known and which is usually used to identify that party.

*Datatype:* ["Max350Text" on page 60](#)

## 5.27.4      **Address**

*XML Tag:* Adr

*Presence:* [0..1]

*Definition:* Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 44 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item       | <XML Tag>     | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
|       | AddressType        | <AdrTp>       |    | [0..1] |                  | 45   |
|       | AddressLine        | <AdrLine>     |    | [0..5] |                  | 45   |
|       | StreetName         | <StrtNm>      |    | [0..1] |                  | 45   |
|       | BuildingNumber     | <BldgNb>      |    | [0..1] |                  | 45   |
|       | PostBox            | <PstBx>       |    | [0..1] |                  | 46   |
|       | PostCode           | <PstCd>       |    | [0..1] |                  | 46   |
|       | TownName           | <TwnNm>       |    | [0..1] |                  | 46   |
|       | CountrySubDivision | <CtrySubDvsn> |    | [0..1] |                  | 46   |
|       | Country            | <Ctry>        |    | [1..1] |                  | 46   |

## 5.28 PostalAddress1

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item       | <XML Tag>     | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
|       | AddressType        | <AdrTp>       |    | [0..1] | 🚫                | 42   |
|       | AddressLine        | <AdrLine>     |    | [0..5] | 🚫                | 43   |
|       | StreetName         | <StrtNm>      |    | [0..1] | 🚫                | 43   |
|       | BuildingNumber     | <BldgNb>      |    | [0..1] | 🚫                | 43   |
|       | PostCode           | <PstCd>       |    | [0..1] | 🚫                | 43   |
|       | TownName           | <TwnNm>       |    | [0..1] |                  | 44   |
|       | CountrySubDivision | <CtrySubDvsn> |    | [0..1] |                  | 44   |
|       | Country            | <Ctry>        |    | [1..1] |                  | 44   |

Used in element(s)

"Address" on page 25, "Location" on page 22

### 5.28.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* ["AddressType2Code" on page 54](#)

**Usage Guideline details**

- This element([seev.002.001.07/MeetingReference/Location/AddressType](#)) is removed.

## 5.28.2 AddressLine

*XML Tag:* AdrLine

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* ["Max70Text" on page 61](#)

**Usage Guideline details**

- This element([seev.002.001.07/MeetingReference/Location/AddressLine](#)) is removed.

## 5.28.3 StreetName

*XML Tag:* StrtNm

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* ["Max70Text" on page 61](#)

**Usage Guideline details**

- This element([seev.002.001.07/MeetingReference/Location/StreetName](#)) is removed.

## 5.28.4 BuildingNumber

*XML Tag:* BldgNb

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* ["Max16Text" on page 59](#)

**Usage Guideline details**

- This element([seev.002.001.07/MeetingReference/Location/BuildingNumber](#)) is removed.

## 5.28.5 PostCode

*XML Tag:* PstCd

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 59

**Usage Guideline details**

- **This element(seev.002.001.07/MeetingReference/Location/PostCode) is removed.**

## **5.28.6 TownName**

*XML Tag:* TwnNm

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 60

## **5.28.7 CountrySubDivision**

*XML Tag:* CtrySubDvsn

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 60

## **5.28.8 Country**

*XML Tag:* Ctry

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Datatype:* "CountryCode" on page 55

## **5.29 PostalAddress26**

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item       | <XML Tag>     | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------------|---------------|----|--------|------------------|------|
|       | AddressType        | <AdrTp>       |    | [0..1] |                  | 45   |
|       | AddressLine        | <AdrLine>     |    | [0..5] |                  | 45   |
|       | StreetName         | <StrtNm>      |    | [0..1] |                  | 45   |
|       | BuildingNumber     | <BldgNb>      |    | [0..1] |                  | 45   |
|       | PostBox            | <PstBx>       |    | [0..1] |                  | 46   |
|       | PostCode           | <PstCd>       |    | [0..1] |                  | 46   |
|       | TownName           | <TwnNm>       |    | [0..1] |                  | 46   |
|       | CountrySubDivision | <CtrySubDvsn> |    | [0..1] |                  | 46   |
|       | Country            | <Ctry>        |    | [1..1] |                  | 46   |

#### Used in element(s)

"Address" on page 40, "Address" on page 41

## 5.29.1 AddressType

*XML Tag:* AdrTp

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* "AddressType2Code" on page 54

## 5.29.2 AddressLine

*XML Tag:* AdrLine

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 61

## 5.29.3 StreetName

*XML Tag:* StrtNm

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 61

## 5.29.4 BuildingNumber

*XML Tag:* BldgNb

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 59

## 5.29.5 PostBox

*XML Tag:* PstBx

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 59

## 5.29.6 PostCode

*XML Tag:* PstCd

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 59

## 5.29.7 TownName

*XML Tag:* TwnNm

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 60

## 5.29.8 CountrySubDivision

*XML Tag:* CtrySubDvsn

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 60

## 5.29.9 Country

*XML Tag:* Ctry

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Datatype:* "CountryCode" on page 55

## 5.30 SafekeepingPlaceFormat28Choice

*Definition:* Choice of formats for a place of safekeeping.

🚫 Removed - 💡 Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item          | <XML Tag> | Or  | Mult.  | Usage Guidelines | Page |
|-------|-----------------------|-----------|-----|--------|------------------|------|
|       | Identification        | <Id>      | {Or | [1..1] |                  | 47   |
|       | Country               | <Ctry>    | Or  | [1..1] |                  | 47   |
|       | TypeAndIdentification | <TpAndId> | Or  | [1..1] |                  | 47   |
|       | Proprietary           | <Prtry>   | Or} | [1..1] |                  | 48   |

#### Used in element(s)

["SafekeepingPlace" on page 16](#)

## 5.30.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype ["SafekeepingPlaceTypeAndText6"](#) on page 49 for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item         | <XML Tag>    | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------------|--------------|----|--------|------------------|------|
|       | SafekeepingPlaceType | <SfkpgPlcTp> |    | [1..1] |                  | 49   |
|       | Identification       | <Id>         |    | [0..1] |                  | 49   |

## 5.30.2 Country

*XML Tag:* Ctry

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a country code.

*Datatype:* ["CountryCode" on page 55](#)

## 5.30.3 TypeAndIdentification

*XML Tag:* TpAndId

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndIdentification1](#)" on page 48 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item         | <XML Tag>    | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------------|--------------|----|--------|------------------|------|
|       | SafekeepingPlaceType | <SfkpgPlcTp> |    | [1..1] |                  | 48   |
|       | Identification       | <Id>         |    | [1..1] |                  | 48   |

## 5.30.4 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification78](#)" on page 14 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Type           | <Tp>      |    | [1..1] |                  | 15   |
|       | Identification | <Id>      |    | [0..1] |                  | 15   |

## 5.31 SafekeepingPlaceTypeAndIdentification1

*Definition:* Identification of the type of place of safekeeping expressed as a code and a BIC.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item         | <XML Tag>    | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------------|--------------|----|--------|------------------|------|
|       | SafekeepingPlaceType | <SfkpgPlcTp> |    | [1..1] |                  | 48   |
|       | Identification       | <Id>         |    | [1..1] |                  | 48   |

**Used in element(s)**

["TypeAndIdentification"](#) on page 47

### 5.31.1 SafekeepingPlaceType

*XML Tag:* SfkpgPlcTp

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* "[SafekeepingPlace1Code](#)" on page 67

### 5.31.2 Identification



*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Place of safekeeping.

*Datatype:* "AnyBICDec2014Identifier" on page 55

## 5.32 SafekeepingPlaceTypeAndText6

*Definition:* Identification of the place of safekeeping expressed as a code and a narrative description.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item         | <XML Tag>    | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------------|--------------|----|--------|------------------|------|
|       | SafekeepingPlaceType | <SfkpgPlcTp> |    | [1..1] |                  | 49   |
|       | Identification       | <Id>         |    | [0..1] |                  | 49   |

**Used in element(s)**

"Identification" on page 47

### 5.32.1 SafekeepingPlaceType

*XML Tag:* SfkpgPlcTp

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* "SafekeepingPlace2Code" on page 68

### 5.32.2 Identification

*XML Tag:* Id

*Presence:* [0..1]

*Definition:* Additional information about the place of safekeeping.

*Datatype:* "Max35Text" on page 60

## 5.33 SecurityIdentification19

*Definition:* Identification of a security.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item        | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|---------------------|-----------|----|--------|------------------|------|
|       | ISIN                | <ISIN>    |    | [0..1] | 🚫                | 50   |
|       | OtherIdentification | <OthrId>  |    | [0..*] |                  | 51   |
|       | Description         | <Desc>    |    | [0..1] | 🚫                | 51   |

**Rules****R3 DescriptionPresenceRule ✓**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

**R4 OtherIdentificationPresenceRule ✓**

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**R5 ISINPresenceRule ✓**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

**R6 DescriptionUsageRule**

Description must be used alone as the last resort.

Error handling: Undefined

**R7 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

**Used in element(s)**

"FinancialInstrumentIdentification" on page 52

## 5.33.1 ISIN

*XML Tag:* ISIN

*Presence:* [0..1]

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists),

and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Datatype:* ["ISINOct2015Identifier" on page 57](#)

#### Usage Guideline details

- This element([see v.002.001.07/Security/FinancialInstrumentIdentification/ISIN](#)) is removed.

## 5.33.2 OtherIdentification

*XML Tag:* `OthrId`

*Presence:* [0..\*]

*Definition:* Identification of a security by proprietary or domestic identification scheme.

The **OthrId** block contains the following elements (see datatype ["OtherIdentification1" on page 26](#) for details)

🚫 Removed - 💡 Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item   | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|----------------|-----------|----|--------|------------------|------|
|       | Identification | <Id>      |    | [1..1] |                  | 27   |
|       | Suffix         | <Sfx>     |    | [0..1] | 🚫                | 27   |
|       | Type           | <Tp>      |    | [1..1] |                  | 27   |

## 5.33.3 Description

*XML Tag:* `Desc`

*Presence:* [0..1]

*Definition:* Textual description of a security instrument.

*Datatype:* ["Max140Text" on page 59](#)

#### Usage Guideline details

- This element([see v.002.001.07/Security/FinancialInstrumentIdentification/Description](#)) is removed.

## 5.34 SecurityPosition12

*Definition:* Identification of a security and its balance.

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item                      | <XML Tag>     | Or | Mult.     | Usage Guidelines | Page |
|-------|-----------------------------------|---------------|----|-----------|------------------|------|
|       | FinancialInstrumentIdentification | <FinInstrmId> |    | [1..1]    |                  | 52   |
|       | Position                          | <Pos>         |    | [0..1000] | 🚫                | 52   |

Used in element(s)

"Security" on page 7

## 5.34.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19" on page 49 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item        | <XML Tag> | Or | Mult.  | Usage Guidelines | Page |
|-------|---------------------|-----------|----|--------|------------------|------|
|       | ISIN                | <ISIN>    |    | [0..1] | 🚫                | 50   |
|       | OtherIdentification | <OthrId>  |    | [0..*] |                  | 51   |
|       | Description         | <Desc>    |    | [0..1] | 🚫                | 51   |

## 5.34.2 Position

XML Tag: Pos

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

The **Pos** block contains the following elements (see datatype "EligiblePosition9" on page 10 for details)

🚫 Removed - 💡 Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

| Index | Message Item          | <XML Tag>   | Or | Mult.    | Usage Guidelines | Page |
|-------|-----------------------|-------------|----|----------|------------------|------|
|       | AccountIdentification | <AcctId>    |    | [1..1]   |                  | 10   |
|       | AccountOwner          | <AcctOwnr>  |    | [0..1]   |                  | 10   |
|       | HoldingBalance        | <HldgBal>   |    | [0..15]  |                  | 11   |
|       | RightsHolder          | <RghtsHldr> |    | [0..250] |                  | 11   |

## Usage Guideline details

- This element(seev.002.001.07/Security/Position) is removed.

## 5.35 SupplementaryData1

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

🚫 Removed - ♦ Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

| Index | Message Item | <XML Tag>  | Or | Mult.  | Usage Guidelines | Page |
|-------|--------------|------------|----|--------|------------------|------|
|       | PlaceAndName | <PlcAndNm> |    | [0..1] |                  | 53   |
|       | Envelope     | <Envlp>    |    | [1..1] |                  | 53   |

## Rules

### R2 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

## Used in element(s)

"SupplementaryData" on page 8

### 5.35.1 PlaceAndName

*XML Tag:* PlcAndNm

*Presence:* [0..1]

*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

*Datatype:* "Max350Text" on page 60

### 5.35.2 Envelope

*XML Tag:* Envlp

*Presence:* [1..1]

*Definition:* Technical element wrapping the supplementary data.

## 6 Message Datatypes

**Note** The following chapter identifies the datatypes that are used in the message.

### 6.1 AddressType2Code

*Definition:* Specifies the type of address.

*Type:* Code

| Code | Name        | Definition   |
|------|-------------|--|
| ADDR | Postal      | Address is the complete postal address.                    |
| BIZZ | Business    | Address is the business address.                           |
| DLVY | DeliveryTo  | Address is the address to which delivery is to take place. |
| HOME | Residential | Address is the home address.                               |
| MLTO | MailTo      | Address is the address to which mail is sent.              |
| PBOX | POBox       | Address is a postal office (PO) box.                       |

**Used in element(s)**

"AddressType" on page 42, "AddressType" on page 45

**Restricts**

"AddressTypeCode" on page 54

### 6.2 AddressTypeCode

*Definition:* Specifies the type of address.

*Type:* Code

| Code | Name        | Definition   |
|------|-------------|--|
| ADDR | Postal      | Address is the complete postal address.                    |
| BIZZ | Business    | Address is the business address.                           |
| DLVY | DeliveryTo  | Address is the address to which delivery is to take place. |
| HOME | Residential | Address is the home address.                               |
| MLTO | MailTo      | Address is the address to which mail is sent.              |
| PBOX | POBox       | Address is a postal office (PO) box.                       |

**Is restricted by**

"AddressType2Code" on page 54

## 6.3 AnyBICDec2014Identifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

### Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

### Rules

#### R8 AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

### Used in element(s)

"AnyBIC" on page 28, "AnyBIC" on page 30, "Identification" on page 48

## 6.4 CountryCode

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* Code

### Format

pattern [A-Z]{2,2}

### Rules

#### R1 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

**Used in element(s)**

"Country" on page 44, "Country" on page 47, "Country" on page 46, "CountryOfBirth" on page 9, "Nationality" on page 39, "Nationality" on page 37

## 6.5 DecimalNumber

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6.

*Type:* Quantity

**Format**

|                |    |
|----------------|----|
| fractionDigits | 17 |
| totalDigits    | 18 |

**Used in element(s)**

"Unit" on page 12

## 6.6 Exact4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a length of 4 characters.

*Type:* Text

**Format**

|         |                |
|---------|----------------|
| pattern | [a-zA-Z0-9]{4} |
|---------|----------------|

**Used in element(s)**

"Identification" on page 13

## 6.7 ExternalFinancialInstrumentIdentification-Type1Code

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* Code

**Format**

|           |   |
|-----------|---|
| maxLength | 4 |
| minLength | 1 |

**Used in element(s)**

"Code" on page 17



**Restricts**

["ExternalFinancialInstrumentIdentificationTypeCode" on page 57](#)

## 6.8 ExternalFinancialInstrumentIdentificationType-Code

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* Code

**Format**

|           |   |
|-----------|---|
| maxLength | 4 |
| minLength | 1 |

**Is restricted by**

["ExternalFinancialInstrumentIdentificationType1Code" on page 56](#)

## 6.9 ISINOct2015Identifier

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Type:* IdentifierSet

**Format**

|         |                                   |
|---------|-----------------------------------|
| pattern | [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1} |
|---------|-----------------------------------|

**Used in element(s)**

["ISIN" on page 50](#)

## 6.10 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date

**Used in element(s)**

["BirthDate" on page 9](#), ["Date" on page 17](#)

## 6.11 ISODateTime

*Definition:* A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

*Type:* DateTime

**Used in element(s)**

"MeetingDateAndTime" on page 21

## 6.12 ImpliedCurrencyAndAmount

*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

*Type:* Amount

**Format**

|                |    |
|----------------|----|
| fractionDigits | 5  |
| minInclusive   | 0  |
| totalDigits    | 18 |

**Used in element(s)**

"FaceAmount" on page 12

## 6.13 LEIdentifier

*Definition:* Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

*Type:* IdentifierSet

**Format**

pattern [A-Z0-9]{18,18}[0-9]{2,2}

**Used in element(s)**

"LEI" on page 29, "LEI" on page 30

## 6.14 Max140Text

*Definition:* Specifies a character string with a maximum length of 140 characters.

*Type:* Text

**Format**

|           |     |
|-----------|-----|
| maxLength | 140 |
| minLength | 1   |

**Used in element(s)**

"CancellationReason" on page 20, "Description" on page 51

## 6.15 Max16Text

*Definition:* Specifies a character string with a maximum length of 16 characters.

*Type:* Text

**Format**

|           |    |
|-----------|----|
| maxLength | 16 |
| minLength | 1  |

**Used in element(s)**

"BuildingNumber" on page 43, "BuildingNumber" on page 45, "PostBox" on page 46, "PostCode" on page 43, "PostCode" on page 46, "Suffix" on page 27

## 6.16 Max256Text

*Definition:* Specifies a character string with a maximum length of 256 characters.

*Type:* Text

**Format**

|           |     |
|-----------|-----|
| maxLength | 256 |
| minLength | 1   |

**Used in element(s)**

"EmailAddress" on page 31, "EmailAddress" on page 33, "EmailAddress" on page 38, "EmailAddress" on page 36

## 6.17 Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.

*Type:* Text

### Format

|           |     |
|-----------|-----|
| maxLength | 350 |
| minLength | 1   |

### Used in element(s)

"FirstName" on page 41, "Name" on page 25, "Name" on page 40, "PlaceAndName" on page 53, "Surname" on page 41

## 6.18 Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.

*Type:* Text

### Format

|           |    |
|-----------|----|
| maxLength | 35 |
| minLength | 1  |

### Used in element(s)

"AccountIdentification" on page 10, "CityOfBirth" on page 9, "CountrySubDivision" on page 44, "CountrySubDivision" on page 46, "Identification" on page 27, "Identification" on page 14, "Identification" on page 15, "Identification" on page 49, "Identification" on page 26, "Issuer" on page 13, "Issuer" on page 14, "Issuer" on page 13, "IssuerMeetingIdentification" on page 21, "MeetingIdentification" on page 21, "NationalRegistrationNumber" on page 30, "Proprietary" on page 17, "ProvinceOfBirth" on page 9, "SchemeName" on page 13, "SchemeName" on page 14, "SchemeName" on page 14, "TownName" on page 44, "TownName" on page 46

## 6.19 Max4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a maximum length of 4 characters.

*Type:* Text

### Format

|           |                  |
|-----------|------------------|
| maxLength | 4                |
| minLength | 1                |
| pattern   | [a-zA-Z0-9]{1,4} |

### Used in element(s)

"Identification" on page 12

## 6.20 Max50Text

*Definition:* Specifies a character string with a maximum length of 50 characters.

*Type:* Text

### Format

|           |    |
|-----------|----|
| maxLength | 50 |
| minLength | 1  |

### Used in element(s)

"ClientIdentification" on page 30

## 6.21 Max70Text

*Definition:* Specifies a character string with a maximum length of 70 characters.

*Type:* Text

### Format

|           |    |
|-----------|----|
| maxLength | 70 |
| minLength | 1  |

### Used in element(s)

"AddressLine" on page 43, "AddressLine" on page 45, "StreetName" on page 43, "StreetName" on page 45

## 6.22 MeetingCancellationReason2Code

*Definition:* Specifies the reason for cancelling a meeting.

*Type:* Code

| Code | Name       | Definition   |
|------|------------|--|
| PROC | Processing | <p>Cancellation due to a processing error.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.002.001.07/Reason/CancellationReason-Code/Code/Processing</li> </ul> <p><b>Synonym (DTCC):</b> DTC Event Status (Deleted)</p> |
| QORM | Quorum     | Cancellation due to insufficient participation to the vote.  |

| Code | Name       | Definition   |
|------|------------|--|
|      |            | <b>Usage Guideline restrictions for this code</b> <ul style="list-style-type: none"> <li>• This code(seev.002.001.07/Reason/CancellationReason-Code/Code/Quorum) is removed.</li> </ul>  |
| WITH | Withdrawal | Cancellation due to the meeting being cancelled by the issuer.<br><br><b>Usage Guideline restrictions for this code</b> <ul style="list-style-type: none"> <li>• on seev.002.001.07/Reason/CancellationReason-Code/Code/Withdrawal</li> </ul> <b>Synonym (DTCC):</b> DTC Event Status (Canceled) |

**Used in element(s)**

"Code" on page 19

**Restricts**

"MeetingCancellationReasonCode" on page 62

## 6.23 MeetingCancellationReasonCode

*Definition:* Specifies the reason for cancelling a meeting.

*Type:* Code

| Code | Name       | Definition   |
|------|------------|--|
| OTHR | Other      | Cancellation due to another reason.                            |
| PROC | Processing | Cancellation due to a processing error.                        |
| QORM | Quorum     | Cancellation due to insufficient participation to the vote.    |
| WITH | Withdrawal | Cancellation due to the meeting being cancelled by the issuer. |

**Is restricted by**

"MeetingCancellationReason2Code" on page 61

## 6.24 MeetingType4Code

*Definition:* Specifies a type of security holders meeting.

Type: Code

| Code | Name              | Definition   |
|------|-------------------|--|
| BMET | BondHolderMeeting | Physical meeting of bond holders.<br><br><b>Usage Guideline restrictions for this code</b><br><br><ul style="list-style-type: none"> <li>• This code(seev.002.001.07/MeetingReference/Type/BondHolder-Meeting) is removed.</li> </ul>  |
| CMET | CourtMeeting      | Meeting is the result of a legal proceeding.<br><br><b>Usage Guideline restrictions for this code</b><br><br><ul style="list-style-type: none"> <li>• This code(seev.002.001.07/MeetingReference/Type/CourtMeeting) is removed.</li> </ul>   |
| GMET | General           | Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.                           |
| MIXD | Mixed             | Meeting which contains both ordinary and extraordinary resolutions.<br><br><b>Usage Guideline restrictions for this code</b><br><br><ul style="list-style-type: none"> <li>• This code(seev.002.001.07/MeetingReference/Type/Mixed) is removed.</li> </ul>                               |
| SPCL | Special           | Meeting that takes place as needed that is neither ordinary nor extraordinary.   |
| XMET | Extraordinary     | Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated. |

Used in element(s)

"Type" on page 22

**Restricts**

"MeetingTypeV2Code" on page 65

## 6.25 MeetingTypeClassification2Code

*Definition:* Classifies the meeting type.

*Type:* Code

| Code | Name            | Definition  |
|------|-----------------|---|
| AMET | Annual          | Meeting held periodically to approve the financial statements and to elect the board members and the auditors.  |
| CLAS | Class           | <p>Meeting for holders of a specific type of asset, for example, preferred shares, bonds.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.002.001.07/MeetingReference/Classification/Code/Class) is removed.</li> </ul>  |
| ISSU | IssuerInitiated | <p>Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.002.001.07/MeetingReference/Classification/Code/IssuerInitiated) is removed.</li> </ul> |
| OMET | Ordinary        | <p>Meeting which is planned in the by-laws.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.002.001.07/MeetingReference/Classification/Code/Ordinary) is removed.</li> </ul>   |



| Code | Name                        | Definition   |
|------|-----------------------------|--|
| VRHI | VotingRightsHolderInitiated | <p>Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.002.001.07/MeetingReference/Classification/Code/VotingRightsHolderInitiated) is removed.</li> </ul> |

**Used in element(s)**

"Code" on page 24

**Restricts**

"MeetingTypeClassificationCode" on page 65

## 6.26 MeetingTypeClassificationCode

*Definition:* Classifies the meeting type.

*Type:* Code

| Code | Name                        | Definition  |
|------|-----------------------------|---|
| AMET | Annual                      | Meeting held periodically to approve the financial statements and to elect the board members and the auditors.  |
| CLAS | Class                       | Meeting for holders of a specific type of asset, for example, preferred shares, bonds.  |
| CORT | Court                       | Specifies that the meeting is the result of a legal proceeding.   |
| ISSU | IssuerInitiated             | Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting. |
| OMET | Ordinary                    | Meeting which is planned in the by-laws.  |
| VRHI | VotingRightsHolderInitiated | Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.  |

**Is restricted by**

"MeetingTypeClassification2Code" on page 64

## 6.27 MeetingTypeV2Code

*Definition:* Specifies the type of security holders meeting.

*Type: Code*

| Code | Name                 | Definition   |
|------|----------------------|--|
| AMET | AnnualGeneralMeeting | Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors.  |
| BMET | BondHolderMeeting    | Physical meeting of bond holders.  |
| CLAS | ClassMeeting         | Meeting for a class of assets.   |
| CMET | CourtMeeting         | Meeting is the result of a legal proceeding.   |
| GMET | General              | Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.                           |
| MIXD | Mixed                | Meeting which contains both ordinary and extraordinary resolutions.  |
| OMET | Ordinary             | Specifies a meeting that is not planned in the by laws.  |
| SPCL | Special              | Meeting that takes place as needed that is neither ordinary nor extraordinary.   |
| XMET | Extraordinary        | Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated. |

**Is restricted by**"MeetingType4Code" on page 62

## 6.28 NamePrefix2Code

*Definition:* Specifies the terms used to formally address a person.*Type: Code*

| Code | Name          | Definition                                  |
|------|---------------|---|
| DOCT | Doctor        | Title of the person is Doctor or Dr.        |
| MADM | Madam         | Title of the person is Madam.               |
| MIKS | GenderNeutral | Title of the person is gender neutral (Mx). |
| MISS | Miss          | Title of the person is Miss.                |
| MIST | Mister        | Title of the person is Mister or Mr.        |

**Used in element(s)**["NamePrefix" on page 41](#)**Restricts**["NamePrefixCode" on page 67](#)

## 6.29 NamePrefixCode

*Definition:* Specifies the terms used to formally address a person.*Type:* Code

| Code | Name          | Definition                                  |
|------|---------------|---|
| DOCT | Doctor        | Title of the person is Doctor or Dr.        |
| MADM | Madam         | Title of the person is Madam.               |
| MIKS | GenderNeutral | Title of the person is gender neutral (Mx). |
| MISS | Miss          | Title of the person is Miss.                |
| MIST | Mister        | Title of the person is Mister or Mr.        |

**Is restricted by**["NamePrefix2Code" on page 66](#)

## 6.30 SafekeepingPlace1Code

*Definition:* Specifies the type of place of safekeeping.*Type:* Code

| Code | Name                       | Definition   |
|------|----------------------------|--|
| CUST | SharesHeldAtLocalCustodian | Financial instruments held at a local custodian.   |
| ICSD | SharesHeldAtICSD           | Financial instruments held at an International Central Securities Depository.  |
| NCSD | SharesHeldAtNCSD           | Financial instruments held at a National Central Securities Depository.  |
| SHHE | SharesHeldElsewhere        | Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities. |

**Used in element(s)**["SafekeepingPlaceType" on page 48](#)**Restricts**["SafekeepingPlaceCode" on page 68](#)

## 6.31 SafekeepingPlace2Code

*Definition:* Specifies the type of place of safekeeping.

*Type:* Code

| Code | Name                | Definition   |
|------|---------------------|--|
| ALLP | AllPlaces           | All places of safekeeping where the financial instrument is held.  |
| SHHE | SharesHeldElsewhere | Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities. |

**Used in element(s)**

"SafekeepingPlaceType" on page 49

**Restricts**

"SafekeepingPlaceCode" on page 68

## 6.32 SafekeepingPlaceCode

*Definition:* Specifies the type of place of safekeeping.

*Type:* Code

| Code | Name                       | Definition   |
|------|----------------------------|--|
| ALLP | AllPlaces                  | All places of safekeeping where the financial instrument is held.  |
| CUST | SharesHeldAtLocalCustodian | Financial instruments held at a local custodian.   |
| ICSD | SharesHeldAtICSD           | Financial instruments held at an International Central Securities Depository.  |
| NCSD | SharesHeldAtNCSD           | Financial instruments held at a National Central Securities Depository.  |
| SHHE | SharesHeldElsewhere        | Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities. |

**Is restricted by**

"SafekeepingPlace1Code" on page 67, "SafekeepingPlace2Code" on page 68

## 6.33 SecuritiesEntryType2Code

*Definition:* Specifies the type of securities entry on an account.

*Type:* Code

| Code | Name                | Definition   |
|------|---------------------|--|
| BLOK | Blocked             | Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy. |
| BORR | Borrowed            | Balance of securities that have been borrowed from another party.  |
| COLI | CollateralIn        | Balance of securities that belong to a third party and that are held for the purpose of collateralisation.   |
| COLO | CollateralOut       | Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.                                 |
| ELIG | Eligible            | Total number of securities eligible for this event.  |
| INBA | InstructedBalance   | Balance of instructed position.  |
| LOAN | OnLoan              | Balance of securities that have been loaned to a third party.  |
| NOMI | Registered          | Balance of securities that are registered (in the name of a nominee or of the beneficial owner).   |
| PEND | PendingDelivery     | Balance of securities that are pending delivery.   |
| PENR | PendingReceipt      | Balance of securities that are pending receipt.  |
| REGO | OutForRegistration  | Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.                    |
| SETD | Settled             | Balance of securities representing only settled transactions.  |
| SPOS | StreetPosition      | Balance of securities that remain registered in the name of the prior beneficial owner of securities.  |
| TRAD | Traded              | Balance of securities representing traded transactions.  |
| UNBA | UninstructedBalance | Balance of uninstructed position.  |

**Used in element(s)**

["BalanceType" on page 16](#)

**Restricts**

["SecuritiesEntryTypeCode" on page 69](#)

## 6.34 SecuritiesEntryTypeCode

*Definition:* Specifies the type of securities entry on an account.

*Type:* Code

| Code | Name               | Definition   |
|------|--------------------|--|
| BLOK | Blocked            | Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy. |
| BORR | Borrowed           | Balance of securities that have been borrowed from another party.  |
| BTRA | BeingTransferred   | Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.   |
| COLI | CollateralIn       | Balance of securities that belong to a third party and that are held for the purpose of collateralisation.   |
| COLO | CollateralOut      | Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.                                 |
| ELIG | Eligible           | Total number of securities eligible for this event.  |
| INBA | InstructedBalance  | Balance of instructed position.  |
| LOAN | OnLoan             | Balance of securities that have been loaned to a third party.  |
| NOMI | Registered         | Balance of securities that are registered (in the name of a nominee or of the beneficial owner).   |
| OTHR | Unclassified       | Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.  |
| PEND | PendingDelivery    | Balance of securities that are pending delivery.   |
| PENR | PendingReceipt     | Balance of securities that are pending receipt.  |
| PLED | Pledged            | Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.   |
| REGO | OutForRegistration | Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.                    |
| SETD | Settled            | Balance of securities representing only settled transactions.  |
| SETT | SettlementPosition | Balance of securities representing only settled transactions; pending transactions not included.   |

| Code | Name                 | Definition   |
|------|----------------------|--|
| SPOS | StreetPosition       | Balance of securities that remain registered in the name of the prior beneficial owner of securities.  |
| TRAD | Traded               | Balance of securities representing traded transactions.  |
| TRAN | InTransshipment      | Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.         |
| UNBA | UninstructedBalance  | Balance of uninstructed position.  |
| UNRG | Unregistered         | Balance of securities that could not be registered due to foreign ownership limitation.  |
| WDOC | WaitingDocumentation | Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order. |

Is restricted by

"SecuritiesEntryType2Code" on page 68

## 6.35 TypeOfIdentification4Code

*Definition:* Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

*Type:* Code

| Code | Name                                     | Definition   |
|------|--|--|
| ARNU | AlienRegistrationNumber                  | Number assigned by a government agency to identify foreign nationals.  |
| CCPT | PassportNumber                           | Number assigned by a passport authority.   |
| CORP | CorporateIdentification                  | Number assigned to a corporate entity.   |
| CUST | Concat                                   | Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname. |
| DRLC | DriverLicenseNumber                      | Number assigned to a driver's license.   |
| IDCD | IdentityCardNumber                       | Number assigned by a national authority to an identity card.   |
| NRIN | NationalRegistrationIdentificationNumber | National registration identification number. In Singapore this is known as the NRIC.   |
| SOCS | SocialSecurityNumber                     | Number assigned by a social security agency.   |
| TXID | TaxIdentificationNumber                  | Number assigned by a tax authority to an entity.   |

**Used in element(s)**"Code" on page 18**Restricts**"TypeOfIdentificationCode" on page 72

## 6.36 TypeOfIdentificationCode

*Definition:* Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

*Type:* Code

| Code | Name                                     | Definition   |
|------|--|--|
| ARNU | AlienRegistrationNumber                  | Number assigned by a government agency to identify foreign nationals.  |
| CCPT | PassportNumber                           | Number assigned by a passport authority.   |
| CHTY | TaxExemptIdentificationNumber            | Number assigned to a tax exempt entity.  |
| CORP | CorporateIdentification                  | Number assigned to a corporate entity.   |
| CUST | Concat                                   | Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname. |
| DRLC | DriverLicenseNumber                      | Number assigned to a driver's license.   |
| FIIN | ForeignInvestmentIdentityNumber          | Number assigned to a foreign investor (other than the alien number).   |
| IDCD | IdentityCardNumber                       | Number assigned by a national authority to an identity card.   |
| NRIN | NationalRegistrationIdentificationNumber | National registration identification number. In Singapore this is known as the NRIC.   |
| SOCS | SocialSecurityNumber                     | Number assigned by a social security agency.   |
| TXID | TaxIdentificationNumber                  | Number assigned by a tax authority to an entity.   |

**Is restricted by**"TypeOfIdentification4Code" on page 71



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