

Usage Guideline

Corporate Action Cancellation Advice - Extension supl.006.001.12

DTCC - US - CA - Announcements - SR 2025

This document describes a usage guideline restricting the base message **MX supl.006.001.12**. You can also consult [this information online](#).

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Message Functionality

Overview

The DTCCCACNSD1 message extends ISO corporate action cancellation advice (CACN) message with DTCC corporate action elements not covered in the standard message.

Collection Description

Outline

The DTCC - US - CA - Announcements - SR 2025 / Corporate Action Cancellation Advice Extension supl.006.001.12 message is composed of 6 building blocks

a. Pagination

Page number of the message and continuation indicator to indicate that the multi-parts message is to continue or that the message is the last page of the multi-parts message.

b. Notification

Notification information to be extended as supplementary data to cancellation advice.

c. GeneralInformation

Information to be extended as supplementary data to general information.

d. UnderlyingSecurity

Information to be extended as supplementary data to underlying security details.

e. EventsLinkage

Information to be extended as supplementary data to events linkage.

f. ExternalComments

Information to be extended as external comments to cancellation advice.

1 Restriction summary

This Usage Guideline restricts the supl.006.001.12 message.

Restriction Type	See page
Removed elements	12, 13, 19, 19, 20, 20, 20, 21, 21
Make mandatory	n.a.
Reduce Multiplicity	n.a.
Ignore	n.a.
Text rules	n.a.
Conditional rules	n.a.
Formal rules	n.a.
Fixed values	n.a.
Truncates	n.a.
Comments	n.a.
Annotations	n.a.
Changed datatypes	n.a.
Extensions	n.a.
Synonyms	n.a.

2 Structure

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>DTCCCACNSD1V12</i>	<DTCCCACNSD1>		[1..1]		
	Pagination	<Pgntn>		[0..1]		6
	PageNumber	<PgNb>		[1..1]		15
	LastPageIndicator	<LastPgInd>		[1..1]		16
	Notification	<Ntfctn>		[0..1]		6
	PlaceAndName	<PlcAndNm>		[0..1]		12
	CustomerInternalSecurityIdentification	<CstmrIntlSctyId>		[0..1]	⊘	12
	SecurityOfInterestMatchingSecurity	<SctyOfIntrstMtchgScty>		[0..1]	⊘	12
	GeneralInformation	<GnlInf>		[0..1]		6
	PlaceAndName	<PlcAndNm>		[0..1]		11
	EventType	<EvtTp>		[0..1]		11
	SubEventType	<SubEvtTp>		[0..1]		11
	EventGroup	<EvtGrp>		[0..1]		11
	UnderlyingSecurity	<UndrlygScty>		[0..1]		7
	PlaceAndName	<PlcAndNm>		[0..1]		13
	MoneyMarketInstrumentFlag	<MnyMktInstrmFlg>		[0..1]		13
	EventsLinkage	<EvtsLkg>		[0..*]		7
	PlaceAndName	<PlcAndNm>		[0..1]		9
	LinkedCorporateActionIdentification	<LkdCorpActnId>		[1..1]		9
	LinkageType	<LkgTp>		[1..1]		9
	LinkAddedDate	<LkAddedDt>		[1..1]		10
	LinkModifiedDate	<LkModfdDt>		[0..1]		10
	ExternalComments	<XtrnlCmnts>		[0..1]		8
	PlaceAndName	<PlcAndNm>		[0..1]		10
	ExternalComments	<XtrnlCmnts>		[0..1]		10

3 Message Building Blocks

Note The following chapter identifies the building blocks of the DTCC - US - CA - Announcements - SR 2025 / Corporate Action Cancellation Advice Extension supl.006.001.12 message definition.

3.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-parts message is to continue or that the message is the last page of the multi-parts message.

The **Pgntn** block contains the following elements (see datatype "[Pagination1](#)" on [page 15](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		15
	LastPageIndicator	<LastPgInd>		[1..1]		16

3.2 Notification

XML Tag: Ntfctn

Presence: [0..1]

Definition: Notification information to be extended as supplementary data to cancellation advice.

The **Ntfctn** block contains the following elements (see datatype "[CorporateActionNotificationSD10](#)" on [page 12](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		12
	CustomerInternalSecurityIdentification	<CstmrIntlSctyId>		[0..1]	🚫	12
	SecurityOfInterestMatchingSecurity	<SctyOfIntrstMtgScty>		[0..1]	🚫	12

3.3 GeneralInformation

XML Tag: Gnllnf

Presence: [0..1]

Definition: Information to be extended as supplementary data to general information.

The **Gnllnf** block contains the following elements (see datatype "[CorporateActionGeneralInformationSD56](#)" on page 10 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		11
	EventType	<EvtTp>		[0..1]		11
	SubEventType	<SubEvtTp>		[0..1]		11
	EventGroup	<EvtGrp>		[0..1]		11

3.4 UnderlyingSecurity

XML Tag: UndrlygScty

Presence: [0..1]

Definition: Information to be extended as supplementary data to underlying security details.

The **UndrlygScty** block contains the following elements (see datatype "[FinancialInstrumentAttributesSD20](#)" on page 13 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		13
	MoneyMarketInstrumentFlag	<MnyMktInstrmFlg>		[0..1]		13

3.5 EventsLinkage

XML Tag: EvtsLkg

Presence: [0..*]

Definition: Information to be extended as supplementary data to events linkage.

The **EvtsLkg** block contains the following elements (see datatype "[CorporateActionCancellationSD3](#)" on page 9 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		9
	LinkedCorporateActionIdentification	<LkdCorpActnId>		[1..1]		9

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkageType	<LkgTp>		[1..1]		9
	LinkAddedDate	<LkAddedDt>		[1..1]		10
	LinkModifiedDate	<LkModfdDt>		[0..1]		10







3.6 ExternalComments

XML Tag: XtrnlCmnts

Presence: [0..1]

Definition: Information to be extended as external comments to cancellation advice.

The **XtrnlCmnts** block contains the following elements (see datatype "[CorporateActionCancellationSD4](#)" on page 10 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		10
	ExternalComments	<XtrnlCmnts>		[0..1]		10

4 Message Components

Note The following chapter identifies the message components.

4.1 CorporateActionCancellationSD3

Definition: Provides additional information regarding linkage details.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		9
	LinkedCorporateActionIdentification	<LkdCorpActnId>		[1..1]		9
	LinkageType	<LkgTp>		[1..1]		9
	LinkAddedDate	<LkAddedDt>		[1..1]		10
	LinkModifiedDate	<LkModfdDt>		[0..1]		10

Used in element(s)

"EventsLinkage" on page 7

4.1.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: "Max350Text" on page 35

4.1.2 LinkedCorporateActionIdentification

XML Tag: LkdCorpActnId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related corporate action event.

Datatype: "Max35Text" on page 35

4.1.3 LinkageType

XML Tag: LkgTp

Presence: [1..1]

Definition: Indicates the reason why two or more events are related.

Datatype: "DTCCLinkType1Code" on page 19

4.1.4 LinkAddedDate

XML Tag: LkAddedDt

Presence: [1..1]

Definition: Events can be linked together. This date represents the date on which the link was established.

Datatype: ["ISODate" on page 34](#)

4.1.5 LinkModifiedDate

XML Tag: LkModfdDt







Presence: [0..1]

Definition: Events can be linked together. This date represents the date on which the link was modified.

Datatype: ["ISODate" on page 34](#)

4.2 CorporateActionCancellationSD4

Definition: Provides additional information regarding additional comments.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		10
	ExternalComments	<XtrnlCmnts>		[0..1]		10

Used in element(s)

["ExternalComments" on page 8](#)

4.2.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: ["Max350Text" on page 35](#)

4.2.2 ExternalComments

XML Tag: XtrnlCmnts







Presence: [0..1]

Definition: Additional textual information regarding the cancelled event.

Datatype: ["Max8000Text" on page 36](#)

4.3 CorporateActionGeneralInformationSD56

Definition: Provides additional information regarding corporate action general information details.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		11
	EventType	<EvtTp>		[0..1]		11
	SubEventType	<SubEvtTp>		[0..1]		11
	EventGroup	<EvtGrp>		[0..1]		11

Used in element(s)

"GeneralInformation" on page 6

4.3.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: "Max350Text" on page 35

4.3.2 EventType

XML Tag: EvtTp

Presence: [0..1]

Definition: DTCC (The Depository Trust and Clearing Corporation) native corporate action event type name. Used in place for the events that cannot be classified by ISO Code and are marked as OTHR. Can be used in combination with DTCC sub event type (when provided) to identify the event. For an example: a distribution based on recapitalisation event will be presented as event type: OTHR in standard message. DTCC native event type would be Distribution (DIST) and DTCC sub event type would be Recapitalisation.

Datatype: "ExtendedEventType6Code" on page 31

4.3.3 SubEventType

XML Tag: SubEvtTp

Presence: [0..1]

Definition: DTCC (The Depository Trust and Clearing Corporation) corporate action sub event type name further defines the event type. For an example: a cash dividend event eligible for dividend reinvestment at DTC election will be noted as event type: cash dividend (DVCA) and DTCC sub event type: DRIP(DTC only).

Datatype: "DTCCSubEventType11Code" on page 23

4.3.4 EventGroup

XML Tag: EvtGrp







Presence: [0..1]



Definition: DTC processing domain/ category for event types.

Datatype: ["EventGroup4Code" on page 30](#)

4.4 CorporateActionNotificationSD10

Definition: Customer security identification reference information.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		12
	CustomerInternalSecurityIdentification	<CstmrIntlSctyId>		[0..1]		12
	SecurityOfInterestMatchingSecurity	<SctyOfIntrstMtchgScty>		[0..1]		12

Used in element(s)

["Notification" on page 6](#)

4.4.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: ["Max350Text" on page 35](#)

4.4.2 CustomerInternalSecurityIdentification

XML Tag: CstmrIntlSctyId

Presence: [0..1]

Definition: Internal security identification as provided by the customer for the given security on the security of interest (SOI) import file.

Datatype: ["Max16Text" on page 35](#)

Usage Guideline details

- This element(suppl.006.001.12/Notification/CustomerInternalSecurityIdentification) is removed.

4.4.3 SecurityOfInterestMatchingSecurity

XML Tag: SctyOfIntrstMtchgScty

Presence: [0..1]

Definition: Security identifier that is used to match the customer's SOI (Security of Interest) to the GCA VS Security Cross Reference.

The **SctyOfIntrstMtgScty** block contains the following elements (see datatype "[SecurityIdentification20](#)" on page 16 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		17
	OtherIdentification	<OthrId>		[0..*]		17
	Description	<Desc>		[0..1]		17

Usage Guideline details

- This element([supl.006.001.12/Notification/SecurityOfInterestMatchingSecurity](#)) is removed.

4.5 FinancialInstrumentAttributesSD20

Definition: Provides additional information regarding underlying security details.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		13
	MoneyMarketInstrumentFlag	<MnyMktInstrmFlg>		[0..1]		13

Used in element(s)

["UnderlyingSecurity"](#) on page 7

4.5.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: ["Max350Text"](#) on page 35

4.5.2 MoneyMarketInstrumentFlag

XML Tag: MnyMktInstrmFlg

Presence: [0..1]

Definition: Indicates that the underlying security is a money market instrument.

Datatype: ["YesNoIndicator"](#) on page 37

4.6 IdentificationSource4Choice

Definition: Choice between source of identification of a financial instrument.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		14
	Proprietary	<Prtry>	Or}	[1..1]		14

Used in element(s)

"Type" on page 15

4.6.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 33

4.6.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "RestrictedFINExact2Text" on page 36

4.7 OtherIdentification2

Definition: Other accepted financial instrument's identification than ISIN.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		14
	Suffix	<Sfx>		[0..1]		15
	Type	<Tp>		[1..1]		15

Used in element(s)

"OtherIdentification" on page 17

4.7.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: ["RestrictedFINXMax31Text" on page 37](#)

4.7.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: ["Max16Text" on page 35](#)

4.7.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype ["IdentificationSource4Choice" on page 14](#) for details)

❌ Removed -
 ❌ Partial Removed -
 ❖ Ignored -
 ❖ Partial Ignored -
 ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		14
	Proprietary	<Prtry>	Or}	[1..1]		14

4.8 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

❌ Removed -
 ❌ Partial Removed -
 ❖ Ignored -
 ❖ Partial Ignored -
 ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		15
	LastPageIndicator	<LastPgInd>		[1..1]		16

Used in element(s)

["Pagination" on page 6](#)

4.8.1 PageNumber

XML Tag: PgNb

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 36

4.8.2 LastPageIndicator

XML Tag: LastPgInd

Presence: [1..1]

Definition: Indicates the last page.

Datatype: "YesNoIndicator" on page 37

4.9 SecurityIdentification20

Definition: Identification of a security.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		17
	OtherIdentification	<OthrId>		[0..*]		17
	Description	<Desc>		[0..1]		17

Rules

R1 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R2 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R3 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity*: Fatal
- *Error Code*: X00194
- *Error Text*: At least one identification must be present.

R4 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R5 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"SecurityOfInterestMatchingSecurity" on page 12

4.9.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 34

4.9.2 OtherIdentification

XML Tag: OthrId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrId** block contains the following elements (see datatype "OtherIdentification2" on page 14 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		14
	Suffix	<Sfx>		[0..1]		15
	Type	<Tp>		[1..1]		15

4.9.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "RestrictedFINXMax140Text" on page 36

5 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

5.1 DTCCLinkType1Code

Definition: Specifies types of linked events.

Type: CodeSet

Code	Name	Definition
CHMA	EventChangeToMandatory	<p>Events that are consecutive and evolve from a Voluntary Event to a Mandatory Event but of the same basic GCA (Global Corporate Actions validation service) event type. For example Exchange - Voluntary to Exchange - Mandatory. Note: The old and new events should not overlap and the older event will remain in an "approved" state.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(su-pl.006.001.12/EventsLinkage/LinkageType/EventChangeToMandatory) is removed.
CHVO	EventChangeToVoluntary	<p>Event that are consecutive and evolve from a Mandatory Event to a voluntary event (for example Merger-Mandatory becomes a Merger-Voluntary).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(su-pl.006.001.12/EventsLinkage/LinkageType/EventChangeToVoluntary) is removed.
COEV	CorrespondingEvent	Events that are concurrent and affect the same underlying security (for example: Stock Split followed by a Reverse Split).
DUPE	Duplicate	Events (event records) are duplicate of each other.
FRSE	FractionalSecurity	Events are linked because of fractional security.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(su-pl.006.001.12/EventsLinkage/LinkageType/FractionalSecurity) is removed.
HYBS	PartOfHybridSecurity	<p>Events are linked because of underlying security is part of hybrid security. Hybrid securities have underlying securities. As an example Telecom hybrid security is made of various Telecom securities, example ATT, Verizon etc. When there is a dividend on the underlying security the hybrid pays as well. Announcements are linked under the hybrid security payment announcement to the underlying security that is paying that event. This is the way the holders of the hybrid are informed where this income is being derived from, since on many occasions there can be multiple announcements under the hybrid at the same time since the underlying securities are paying a distribution.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(su-pl.006.001.12/EventsLinkage/LinkageType/PartOfHybridSecurity) is removed.
ISEV	EventOnIntermediarySecurity	<p>Events are linked because of an intermediary security (for example Rights Distribution to a Rights Subscription; Rights Subscription to an Assimilation).</p>
IUNS	InsuredUninsuredSecurities	<p>Events are linked because of insured or uninsured securities. In the market there are many securities where a municipality or issuer will insure a portion of their outstanding principal. It technically is the same security, however, there are two different CUSIPs one for the insured piece and the other for the uninsured part.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(su-pl.006.001.12/EventsLinkage/Link-

Code	Name	Definition
		ageType/InsuredUninsuredSecurities) is removed.
MMKT	MultiMarket	<p>Events are linked because event security is listed in another market under another market security.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(su-pl.006.001.12/EventsLinkage/LinkageType/MultiMarket) is removed.
OTHR	Other	Cannot be classified by any of the link types.
TONU	EventConvertedToNewEvent	<p>Event that are consecutive but of a different GCA (Global Corporate actions validation service) event type. For example Tender converts to Merger Voluntary when 429 Notice issued. Note: the old and new events should not overlap and the older event will remain in an "approved" state.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(su-pl.006.001.12/EventsLinkage/LinkageType/EventConvertedToNewEvent) is removed.

Used in element(s)

["LinkageType" on page 9](#)

Restricts

["DTCCLinkTypeCode" on page 21](#)

5.2 DTCCLinkTypeCode

Definition: Specifies types of linked events.

Type: CodeSet

Code	Name	Definition
CHMA	EventChangeToMandatory	Events that are consecutive and evolve from a Voluntary Event to a Mandatory Event but of the same basic GCA (Global Corporate Actions validation service) event type. For example Exchange - Vol-

Code	Name	Definition
		untary to Exchange - Mandatory. Note: The old and new events should not overlap and the older event will remain in an "approved" state.
CHVO	EventChangeToVoluntary	Event that are consecutive and evolve from a Mandatory Event to a voluntary event (for example Merger-Mandatory becomes a Merger-Voluntary).
COEV	CorrespondingEvent	Events that are concurrent and affect the same underlying security (for example: Stock Split followed by a Reverse Split).
DUPE	Duplicate	Events (event records) are duplicate of each other.
FRSE	FractionalSecurity	Events are linked because of fractional security.
HYBS	PartOfHybridSecurity	Events are linked because of underlying security is part of hybrid security. Hybrid securities have underlying securities. As an example Telecom hybrid security is made of various Telecom securities, example ATT, Verizon etc. When there is a dividend on the underlying security the hybrid pays as well. Announcements are linked under the hybrid security payment announcement to the underlying security that is paying that event. This is the way the holders of the hybrid are informed where this income is being derived from, since on many occasions there can be multiple announcements under the hybrid at the same time since the underlying securities are paying a distribution.
ISEV	EventOnIntermediarySecurity	Events are linked because of an intermediary security (for example Rights Distribution to a Rights Subscription; Rights Subscription to an Assimilation).
IUNS	InsuredUninsuredSecurities	Events are linked because of insured or uninsured securities. In the market there are many securities where a municipality or issuer will insure a portion of their outstanding principal. It technically is the same security, however, there are two different CUSIPs one for the insured piece and the other for the uninsured part.
MMKT	MultiMarket	Events are linked because event security is listed in another market under another market security.
OTHR	Other	Cannot be classified by any of the link types.

Code	Name	Definition
TONU	EventConvertedToNewEvent	Event that are consecutive but of a different GCA (Global Corporate actions validation service) event type. For example Tender converts to Merger Voluntary when 429 Notice issued. Note: the old and new events should not overlap and the older event will remain in an "approved" state.

Is restricted by

"DTCCLinkType1Code" on page 19

5.3 DTCCSubEventType11Code

Definition: Specifies DTCC (The Depository Trust and Clearing Corporation) defined sub event types.

Type: CodeSet

Code	Name	Definition
A144	USLegal144A	Identifies an exchange offer on securities that are subject to Securities Rule 144A.
ADRS	ADR	Identifies when the event security is an ADR. The underlying security is American Depositary Receipt.
BLOT	BoardLot	Identifies a change in Board Lot size.
BTST	BidTenderSealedTender	Identifies a tender offer event in which the holder can choose the price at which they are willing to tender their securities. This price may or may not be accepted by the offeror.
C305	DeemedDividend305C	Identifies an event which has been considered a deemed distribution under Section 305(c) of the United States Internal Revenue Code.
CASE	CashAndSecurities	Identifies an event where the payout will be both cash and securities.
CASH	Cash	Identifies an event where the payout will be cash.
CILI	CashinLieu	Identifies DTC (the Depository Trust Company) specific sub-event where holders can elect to "sell" whole shares to satisfy fractional entitlements (usually as a result of a merger) at the beneficial owner level.
CONV	Conversion	Identifies an event which is a full call or a maturity with conversion.
COTE	ConvertAndTender	Identifies a tender offer with a convert feature.
CTAX	TaxCredit	Identifies an event where a tax credit relating to the Build America Bonds will occur.

Code	Name	Definition
CUPR	NameAndCUSIPChangeAndPresentationRequired	Name change with both CUSIP change and presentation required.
CUSP	NameAndCUSIPChange	Name change with CUSIP change.
DRPD	DividendReinvestmentByDTC	Identifies an event where the Issue is eligible for a Dividend Reinvestment program at DTCC (The Depository Trust and Clearing Corporation).
DRPI	DividendReinvestmentByIssuer	Identifies an event where the issuer offers a dividend reinvestment program that is not processed by DTC (the Depository Trust Company).
ECNI	AmountsInExcessOfCumulativeNetIncome	Identifies when a publicly traded partnership identifies the amount realised on such portion of the distribution as an amount in excess of cumulative net income under IRS regulation 1.1446(f)-4(c)(2)(iii).
FTPR	OfferToPurchase	Identifies a tender offer event made by another company to purchase a portion or all of the outstanding shares.
GDRS	GDR	Identifies when the event security is a GDR. The underlying security is a global depositary receipt.
M871	DividendEquivalentPayment871M	Identifies an event which has been considered a dividend equivalent payment under Section 871(m) of the United States Internal Revenue Code.
MBCK	MortgageBacked	Indicates an event where the issue has an early redemption feature that allows the holder to elect to sell bonds back to the issuer on a monthly basis, according to specified conditions.
MITE	MiniTender	Identifies when a tender offer is a mini tender.
MROS	MandatoryRedemptionOfShares	Identifies an event where redemption shares are issued in addition to shares of the original security. This is related to the Mandatory Exchange event with the same sub-event type name.
NOTI	ImportantNotice	Identifies a MMI Important Notice.
OPOF	OpenOffer	Identifies an event in certain markets where underlying rights are not issued.
OPTO	OptOut	Identifies an event (for example Cash Dividend) where DTC (the Depository Trust Company) offers a DRIP option as a default option (holder must opt out of the DRIP Option).
PLCL	PlaceOfListing	Identifies a change in the place where the security is listed.
POPI	PoisonPill	Identifies sale of rights event where issuers redeem poison pill rights.

Code	Name	Definition
PREQ	PresentationRequired	Identifies an event where the presentation of securities will be required.
PRNI	PhysicalRightsNotIssued	Identifies an event where the company is not issuing a security with the right to subscribe for additional shares. In these instances, a User CUSIP is created by DTC (The Depository Trust Company) as opposed to a company-issued CUSIP in order to identify these issues.
QN92	ExemptionQualifiedNotice92Days	Identifies a qualified notice issued by a publicly traded partnership that states applicability of the 10 percent exception under IRS regulation 1.1446(f)-4(b)(3).
RCLA	Classification1042S	Identifies distributions that have multiple components for tax withholding and 1042-S reporting purposes.
RDTH	BasedOnRecordDateHoldings	Identifies an event which will be based on record date holdings.
REGS	RegulationS	Identifies an exchange offer on securities that are subject to securities rule Reg S.
RMRK	Remarketing	Identifies an event that involves a Stock Purchase Contract product that is offering an early settlement or remarketing feature prior to the contract's expiration.
SALE	SaleOfAssets	Identifies an event where the distribution is from the proceeds of the sale of assets.
SECU	Securities	Identifies an event where the payout will be securities.
SETE	SelfTender	Identifies when a tender offer is a self tender.
SHEX	ShareExchange	Identifies an event where two companies exchange their shares during a merger. After the share exchange, one company becomes a subsidiary of the other and is no longer listed. Usually applicable to an event in JP markets.
SHPP	SharePurchasePlan	Identifies an event involving the offer of shares by a corporation listed on the Australia Stock Exchange, in which ASIC will give relief so that the company can offer existing shareholders the opportunity to acquire small numbers of additional shares without needing a disclosure document or Product Disclosure Statement (PDS).
SOPT	SurvivorOptions	Indicates an event where the Issue has an early redemption feature. This feature allows the holder to elect to sell bonds back to the issuer on a predetermined basis (excluding monthly) according to specific priorities.
SPAC	SpecialPurposeAcquisitionCompany	Specified purpose acquisition companies (SPACs) are public companies

Code	Name	Definition
		comprised of cash available to investors in financial markets. The sole purpose of SPACs is to use the proceeds to finance a future acquisition. SPACs generally commit to identify a target operating company and complete a business combination transaction within a specified timeframe. SPACs have a feature where funds are put into a trust account and when the target investment is identified the investor can have their proceeds returned or participate in the business combination.
STDT	Standard	Identifies an event where one company merges with the other and assumes the name of the surviving company. It is the standard market-accepted merger. Usually applicable to an event in JP markets.
TWRI	TenderWithRights	Identifies a tender offer event on a Rights security. Holders must submit both the ordinary share(s) and right(s) to be eligible to receive the tender consideration. The ratio of ordinary shares to rights is defined in the offer.
UNIT	UnitCombination	Identifies a combination of assets or types of assets packaged together and sold as one. For example, a shareholder buying one unit of company stock may get preferred shares, ordinary shares and even warrants in the unit.
UNWD	Unwind	Identifies an event where "unwinding" of the basket of securities occurs.
VOTE	Vote	Event where creditors whose claims are impaired are entitled to vote.
WITH	WithPayout	Identifies a consent event with payout.
WITO	WithoutPayout	Identifies a consent event without payout.
XFER	Transfer	Identifies an event where one company transfers all of its shares to a newly created company. Usually applicable to an event in JP markets.

Used in element(s)

"SubEventType" on page 11

Restricts

"DTCCSubEventTypeCode" on page 26

5.4 DTCCSubEventTypeCode

Definition: Specifies DTCC (The Depository Trust and Clearing Corporation) defined sub event types.

Type: CodeSet

Code	Name	Definition
A144	USLegal144A	Identifies an exchange offer on securities that are subject to Securities Rule 144A.
ADRS	ADR	Identifies when the event security is an ADR. The underlying security is American Depositary Receipt.
AMET	AnnualGeneral	Identifies a meeting event called by the company on behalf of security holders at which the company can present corporate resolutions that may require a vote by the holders.
BLOT	BoardLot	Identifies a change in Board Lot size.
BTST	BidTenderSealedTender	Identifies a tender offer event in which the holder can choose the price at which they are willing to tender their securities. This price may or may not be accepted by the offeror.
C305	DeemedDividend305C	Identifies an event which has been considered a deemed distribution under Section 305(c) of the United States Internal Revenue Code.
CASE	CashAndSecurities	Identifies an event where the payout will be both cash and securities.
CASH	Cash	Identifies an event where the payout will be cash.
CILI	CashinLieu	Identifies DTC (the Depository Trust Company) specific sub-event where holders can elect to "sell" whole shares to satisfy fractional entitlements (usually as a result of a merger) at the beneficial owner level.
CONV	Conversion	Identifies an event which is a full call or a maturity with conversion.
COTE	ConvertAndTender	Identifies a tender offer with a convert feature.
CTAX	TaxCredit	Identifies an event where a tax credit relating to the Build America Bonds will occur.
CUPR	NameAndCUSIPChangeAndPresentationRequired	Name change with both CUSIP change and presentation required.
CUSP	NameAndCUSIPChange	Name change with CUSIP change.
DRPD	DividendReinvestmentByDTC	Identifies an event where the Issue is eligible for a Dividend Reinvestment program at DTCC (The Depository Trust and Clearing Corporation).
DRPI	DividendReinvestmentByIssuer	Identifies an event where the issuer offers a dividend reinvestment program that is not processed by DTC (the Depository Trust Company).
ECNI	AmountsInExcessOfCumulativeNetIncome	Identifies when a publicly traded partnership identifies the amount realised on such portion of the distribution

Code	Name	Definition
		as an amount in excess of cumulative net income under IRS regulation 1.1446(f)-4(c)(2)(iii).
EXOM	Extraordinary	Identifies a meeting event called by the company when urgent matters need to be addressed before the next General Meeting.
FINL	FinalPayment	Identifies an event that include notification of a final payment in lieu of the original commitment.
FTPR	OfferToPurchase	Identifies a tender offer event made by another company to purchase a portion or all of the outstanding shares.
GDRS	GDR	Identifies when the event security is a GDR. The underlying security is a global depositary receipt.
INTE	InterimPayment	Identifies an event that include notification of an interim payment in lieu of the original commitment.
M871	DividendEquivalentPayment871M	Identifies an event which has been considered a dividend equivalent payment under Section 871(m) of the United States Internal Revenue Code.
MBCK	MortgageBacked	Indicates an event where the issue has an early redemption feature that allows the holder to elect to sell bonds back to the issuer on a monthly basis, according to specified conditions.
MITE	MiniTender	Identifies when a tender offer is a mini tender.
MROS	MandatoryRedemptionOfShares	Identifies an event where redemption shares are issued in addition to shares of the original security. This is related to the Mandatory Exchange event with the same sub-event type name.
NCPR	NameChangeAndPresentationRequired	Name change with presentation required without new CUSIP.
NOTI	ImportantNotice	Identifies a MMI Important Notice.
OPOF	OpenOffer	Identifies an event in certain markets where underlying rights are not issued.
OPTO	OptOut	Identifies an event (for example Cash Dividend) where DTC (the Depository Trust Company) offers a DRIP option as a default option (holder must opt out of the DRIP Option).
ORDM	Ordinary	Identifies a meeting event called by the company. Usually held annually.
PLCL	PlaceOfListing	Identifies a change in the place where the security is listed.
POPI	PoisonPill	Identifies sale of rights event where issuers redeem poison pill rights.

Code	Name	Definition
PREQ	PresentationRequired	Identifies an event where the presentation of securities will be required.
PRNI	PhysicalRightsNotIssued	Identifies an event where the company is not issuing a security with the right to subscribe for additional shares. In these instances, a User CUSIP is created by DTC (The Depository Trust Company) as opposed to a company-issued CUSIP in order to identify these issues.
QN92	ExemptionQualifiedNotice92Days	Identifies a qualified notice issued by a publicly traded partnership that states applicability of the 10 percent exception under IRS regulation 1.1446(f)-4(b)(3).
RCLA	Classification1042S	Identifies distributions that have multiple components for tax withholding and 1042-S reporting purposes.
RDTH	BasedOnRecordDateHoldings	Identifies an event which will be based on record date holdings.
REGS	RegulationS	Identifies an exchange offer on securities that are subject to securities rule Reg S.
RMRK	Remarketing	Identifies an event that involves a Stock Purchase Contract product that is offering an early settlement or remarketing feature prior to the contract's expiration.
SALE	SaleOfAssets	Identifies an event where the distribution is from the proceeds of the sale of assets.
SECU	Securities	Identifies an event where the payout will be securities.
SETE	SelfTender	Identifies when a tender offer is a self tender.
SHEX	ShareExchange	Identifies an event where two companies exchange their shares during a merger. After the share exchange, one company becomes a subsidiary of the other and is no longer listed. Usually applicable to an event in JP markets.
SHPP	SharePurchasePlan	Identifies an event involving the offer of shares by a corporation listed on the Australia Stock Exchange, in which ASIC will give relief so that the company can offer existing shareholders the opportunity to acquire small numbers of additional shares without needing a disclosure document or Product Disclosure Statement (PDS).
SOPT	SurvivorOptions	Indicates an event where the Issue has an early redemption feature. This feature allows the holder to elect to sell bonds back to the issuer on a predetermined basis (excluding monthly) according to specific priorities.
SPAC	SpecialPurposeAcquisitionCompany	Specified purpose acquisition companies (SPACs) are public companies

Code	Name	Definition
		comprised of cash available to investors in financial markets. The sole purpose of SPACs is to use the proceeds to finance a future acquisition. SPACs generally commit to identify a target operating company and complete a business combination transaction within a specified timeframe. SPACs have a feature where funds are put into a trust account and when the target investment is identified the investor can have their proceeds returned or participate in the business combination.
SPCL	Special	Identifies a meeting event called by the company that cannot be categorized as Ordinary, Annual General, or Extraordinary.
STDT	Standard	Identifies an event where one company merges with the other and assumes the name of the surviving company. It is the standard market-accepted merger. Usually applicable to an event in JP markets.
TWRI	TenderWithRights	Identifies a tender offer event on a Rights security. Holders must submit both the ordinary share(s) and right(s) to be eligible to receive the tender consideration. The ratio of ordinary shares to rights is defined in the offer.
UNIT	UnitCombination	Identifies a combination of assets or types of assets packaged together and sold as one. For example, a shareholder buying one unit of company stock may get preferred shares, ordinary shares and even warrants in the unit.
UNWD	Unwind	Identifies an event where "unwinding" of the basket of securities occurs.
VOTE	Vote	Event where creditors whose claims are impaired are entitled to vote.
WITH	WithPayout	Identifies a consent event with payout.
WITO	WithoutPayout	Identifies a consent event without payout.
XFER	Transfer	Identifies an event where one company transfers all of its shares to a newly created company. Usually applicable to an event in JP markets.

Is restricted by

"DTCCSubEventType11Code" on page 23

5.5 EventGroup4Code

Definition: Specifies DTC (The Depository Trust Company) processing domain for the event.

Type: CodeSet

Code	Name	Definition
DISN	Distribution	Distribution domain events.
GENL	General	General domain events.
REDM	Redemption	Redemption domain events.
REOR	Reorganization	Reorganization domain events.

Used in element(s)

"EventGroup" on page 11

Restricts

"EventGroupCode" on page 31

5.6 EventGroupCode

Definition: Specifies DTC (The Depository Trust Company) processing domain for the event.

Type: CodeSet

Code	Name	Definition
DISN	Distribution	Distribution domain events.
GENL	General	General domain events.
REDM	Redemption	Redemption domain events.
REOR	Reorganization	Reorganization domain events.

Is restricted by

"EventGroup4Code" on page 30

5.7 ExtendedEventType6Code

Definition: Specifies DTCC (The Depository Trust and Clearing Corporation) defined corporate action event type.

Type: CodeSet

Code	Name	Definition
CDRD	CertificateOfDepositEarlyRedemption	Feature of a security that allows an issuer to make a payment to the security holder. This event will be used for securities subject to redemptions other than those categorised as full and partial calls (for example early Certificate of Deposit redemptions).
FPAY	FinalPaydown	Final distribution of principal due on a security, typically Collateralised Mortgage Obligations.
REDW	RedemptionOfWarrant	Event is a redemption of warrant.

Code	Name	Definition
TMTN	Termination	Security, usually a form of a derivative, for which the agent or issuer has decided to terminate the derivative based on a change to the underlying security(ies) or a change in strategy. Distinguishes from Mandatory Exchange, Exchange Offer event types mapped to the same ISO event type code.

Used in element(s)

"EventType" on page 11

Restricts

"ExtendedEventTypeV2Code" on page 32

5.8 ExtendedEventTypeV2Code

Definition: Specifies DTCC (The Depository Trust and Clearing Corporation) defined corporate action event type.

Type: CodeSet

Code	Name	Definition
BRIS	BonusRightsIssue	Security holders are issued additional securities, free of payment, from the issuer in proportion to their holdings. A bonus issue is typically represented by shares or warrants. Nominal value does not change. The security holder may be offered choice of entitlement. Distinguishes from Rights Subscription, Sale Of Rights event types mapped to the same ISO event type code.
CDRD	CertificateOfDepositEarlyRedemption	Feature of a security that allows an issuer to make a payment to the security holder. This event will be used for securities subject to redemptions other than those categorised as full and partial calls (for example early Certificate of Deposit redemptions).
FLPR	FullPrerefunding	Exercise of a privilege by the issuer to repay, in full, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt. Distinguishes from "partial defeasance", "partial prerefunding" event types mapped to the same ISO event type code.
FPAY	FinalPaydown	Final distribution of principal due on a security, typically Collateralised Mortgage Obligations.
MEET	Meeting	Meeting of a company's share or bond holders to address resolutions put forth by the issuer. Note: this is information

Code	Name	Definition
		only event. Pending deprecation and migration to ISO Proxy Message suite.
PDEF	PartialDefeasance	Issuer sets aside funds in escrow to guarantee payment for the portion of the security on maturity date. Defeased and Non-defeased cusips are issued respectively for the defeased and non-defeased portions.
PPRE	PartialPrerefunding	Issuer sets aside funds in escrow to guarantee payment for the portion of the security prior to maturity date. Refunded and Non-Refunded cusips are issued respectively for the refunded and non-refunded portions.
PPUT	PartialMandatoryPut	The mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities.
REDW	RedemptionOfWarrant	Event is a redemption of warrant.
RPLA	RightsPlanAdoption	General information provided by the issuer that should not result in material changes to the security.
TMTN	Termination	Security, usually a form of a derivative, for which the agent or issuer has decided to terminate the derivative based on a change to the underlying security(ies) or a change in strategy. Distinguishes from Mandatory Exchange, Exchange Offer event types mapped to the same ISO event type code.

Is restricted by

["ExtendedEventType6Code" on page 31](#)

5.9 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

["Code" on page 14](#)

Restricts

["ExternalFinancialInstrumentIdentificationTypeCode" on page 34](#)

5.10 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

["ExternalFinancialInstrumentIdentificationType1Code" on page 33](#)

5.11 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern	[A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}
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Used in element(s)

["ISIN" on page 17](#)

5.12 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

"LinkAddedDate" on page 10, "LinkModifiedDate" on page 10

5.13 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

"CustomerInternalSecurityIdentification" on page 12, "Suffix" on page 15

5.14 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

"PlaceAndName" on page 9, "PlaceAndName" on page 10, "PlaceAndName" on page 11, "PlaceAnd-Name" on page 12, "PlaceAndName" on page 13

5.15 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

"LinkedCorporateActionIdentification" on page 9

5.16 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
---------	------------

Used in element(s)

"PageNumber" on page 15

5.17 Max8000Text

Definition: Specifies a character string with a maximum length of 8000 characters.

Type: Text

Format

maxLength	8000
minLength	1

Used in element(s)

"ExternalComments" on page 10

5.18 RestrictedFINExact2Text

Definition: Specifies a character string with an exact length of 2 characters that must has a pattern XX|TS.

Type: Text

Format

length	2
pattern	XX TS

Used in element(s)

"Proprietary" on page 14

5.19 RestrictedFINXMax140Text

Definition: Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	140
minLength	1
pattern	[0-9a-zA-Z^\-\\?:\(\)\.\n\r,\"']{1,140}

Used in element(s)

"Description" on page 17

5.20 RestrictedFINXMax31Text

Definition: Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	31
minLength	1
pattern	[0-9a-zA-Z^\-\\?:\(\)\.\n\r,\"']{1,31}

Used in element(s)

"Identification" on page 14

5.21 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

"LastPageIndicator" on page 16, "MoneyMarketInstrumentFlag" on page 13

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