

DTCC

SMART CONNECTIVITY SERVICES

DTCC FEE GUIDE

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OVERVIEW

SMART is the DTCC managed connectivity service providing secure global access to all DTCC services. This fee guide details the charges for the services. Separate fees apply by speed and level of resilience. Typically, fees are applied on a calendar month basis with some specific charges identified as one off. In addition, DTCC fees are detailed for the supported connectivity options over approved third-party services. This covers extranet services from BT Radianz and ICE/SFTI, and secure internet through AT&T ANIRA. There may be additional fees from those vendors billed separately and not included in this fee guide.

The following are the connectivity services are offered to DTCC customers:

- **SMART Standard:** Customers install two or more connections in the customer's most capable and geographically diverse operating facilities. The customer is responsible for routing across the alternative SMART connections in the event of failure. Depending on the line speed selected DTCC will also install broadband back-up lines as part of the standard configuration on the same router (no separate charge).
- **SMART Non-standard:** Customers without suitable geographically diverse facilities, or without the ability to route reliably among facilities, or by choice, must install backup connections of equal bandwidth for each primary connection in each facility.
- **Approved third-party services:** Customers may access DTCC services through BT Radianz (USA and Global) or ICE/SFTI (USA only).
- **Secure internet:** Customers may use the AT&T ANIRA secure internet services to access selected DTCC products. This is typically for lower speed requirements. The service has a single user software version and a multi user hardware option. Some restrictions apply on allowed message and file types.

Types of SMART Equipment and Services offered by DTCC

- SMART Managed Connectivity (includes encryption)
- Circuits and Equipment
- Management channel
- Installation services
- 3rd party connections (ICE/SFTI, BT Radianz, ANIRA VPN and VPN Gateway)

CIRCUIT AND EQUIPMENT CHARGES

This section details the rates charged for SMART Circuits and equipment.

| CHARGE NAME | AMOUNT (\$) | CONDITIONS | ID |
|--|-------------|---|------|
| Customer site equipment – router | 250.00 | Per router | 5000 |
| Out of Band management solution | 80.00 | Per Unit | 5103 |
| CONNECTION FROM CONTINENTAL UNITED STATES | | | |
| Ethernet or T1 circuit at 512 Kbps burst rate | 1,823.00 | Per circuit, plus a 10% discount on the 2 nd circuit | 5004 |
| Ethernet or T1 circuit at Full Burst Rate | 3,780.00 | Per circuit, plus a 10% discount on the 2 nd circuit | 5005 |
| Ethernet or T3 circuit at 3 Mbps burst rate | 6,210.00 | Per circuit, plus a 40% discount on the 2 nd circuit | 5039 |
| Ethernet or T3 circuit at 5 Mbps burst rate | 10,260.00 | Per circuit, plus a 40% discount on the 2 nd circuit | 5006 |
| Ethernet or T3 circuit at 10 Mbps burst rate | 14,850.00 | Per circuit, plus a 40% discount on the 2 nd circuit | 5040 |
| Ethernet or T3 circuit at 20 Mbps burst rate | 18,225.00 | Per circuit, plus a 10% discount on the 2 nd circuit | 5108 |
| Ethernet or T3 circuit at 30 Mbps burst rate | 20,250.00 | Per circuit, plus a 10% discount on the 2 nd circuit | 5110 |
| Ethernet or T3 circuit at 40 Mbps & 50 Mbps burst rate | 22,275.00 | Per circuit, plus a 10% discount on the 2 nd circuit | 5111 |
| Ethernet or T3 circuit at 100 Mbps burst rate | 26,000.00 | Per circuit, plus a 10% discount on the 2 nd circuit | 5112 |
| CONNECTION - INTERNATIONAL | | | |
| Ethernet or T1 circuit at 512 Kbps burst rate | 2,498.00 | Per circuit, plus a 10% discount on the 2 nd circuit | 5010 |
| Ethernet or T1 circuit at Full Burst Rate | 5,873.00 | Per circuit, plus a 10% discount on the 2 nd circuit | 5011 |
| Ethernet or T3 circuit at 3 Mbps burst rate | 8,370.00 | Per circuit, plus a 40% discount on the 2 nd circuit | 5041 |
| Ethernet or T3 circuit at 5 Mbps burst rate | 13,770.00 | Per circuit, plus a 40% discount on the 2 nd circuit | 5012 |
| Ethernet or T3 circuit at 10 Mbps burst rate | 20,520.00 | Per circuit, plus a 40% discount on the 2 nd circuit | 5042 |
| Ethernet or T3 circuit at 40 Mbps & 50 Mbps burst rate | 22,275.00 | Per circuit, plus a 10% discount on the 2 nd circuit | 5111 |
| Ethernet or T3 circuit at 100 Mbps burst rate | 26,000.00 | Per circuit, plus a 10% discount on the 2 nd circuit | 5112 |

Note: Ethernet access will be ordered where available (for BW of 3Mbps and over)

Note2: The discount on the 2nd circuit will need to be of equal bandwidth to the 1st circuit.

Note3: Clients requesting a dual power supply router for their SMART connection will be charged a one-time fee of \$2,500.

Note4: SMART invoice's payment term is 30 days, please refer to the monthly invoice for due date.

| CHARGE NAME | AMOUNT (\$) | CONDITIONS | ID |
|--------------------------------|-------------|---|------|
| SPECIAL CHARGES | | | |
| DOMESTIC | | | |
| Special bandwidth | Varies | Per circuit, in addition to base cost of domestic T3 circuit | 5033 |
| Special diversity requirements | Varies | Per circuit, in addition to base cost of domestic T3 circuit | 5034 |
| Special loop requests | Varies | Per circuit, in addition to base cost of domestic T3 circuit | 5035 |
| INTERNATIONAL | | | |
| Special bandwidth | Varies | Per circuit, in addition to base cost of international T3 circuit | 5036 |
| Special diversity requirements | Varies | Per circuit, in addition to base cost of international T3 circuit | 5037 |
| Special loop requests | Varies | Per circuit, in addition to base cost of international T3 circuit | 5038 |

INSTALLATION CHARGES

This section details the rates charged for installation.

| CHARGE NAME | AMOUNT (\$) | CONDITIONS | ID |
|--|-------------|--------------|------|
| One-time vendor charge for service installation in continental United States | 800.00 | Per circuit | 5013 |
| One-time vendor charge for International service installation | 2,100.00 | Per circuit | 5014 |
| One-time vendor charge to expedite installation | At cost | Per circuit | 5016 |
| One-time charge to ship equipment | At cost | Per shipment | 5017 |

EXTRANET CONNECTION CHARGES

This section details the rates charged for other connections.

| CHARGE NAME | AMOUNT (\$) | CONDITIONS | ID |
|--|-------------|-------------|------|
| SMART Cloud | 2250.00 | Per month | 5116 |
| Access via ICE (NYSE/SFTI) to DTCC applications | 540.00 | Per month | 5024 |
| Access via BT Radianz to DTCC applications (domestic) | 203.00 | Per month | 5043 |
| Access via BT Radianz to DTCC applications (international) | 203.00 | Per month | 5048 |
| ANIRA Fees | | | |
| Monthly user ID Fee | 75.00 | Per user ID | 5104 |
| ANIRA VPN GATEWAY Fees | | | |
| ANIRA VPN Gateway Monthly user fee | 399.00 | Per Gateway | 5105 |
| One Time Charge activation | 250.00 | Per Gateway | 5106 |

LIST OF ABBREVIATIONS

| ABBREVIATION/ACRONYM | DESCRIPTION |
|----------------------|---|
| SMART | Securely Managed and Reliable Technology, a fully managed service operated by DTCC. |
| BT Radianz | An extranet service operated by BT (British Telecom) Radianz, a 3 rd party vendor. |
| ICE (NYSE/SFTI) | An extranet service operated by ICE, a 3 rd party vendor. |

FOR MORE INFORMATION

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