

The Depository Trust & Clearing Corporation

DTCC INTRODUCTORY SERVICE

The Introductory Service, a feature of DTCC's Global Trade Repository (GTR) service, facilitates data management and enhances data quality for counterparties that employ delegated reporting for their securities financing transactions data submitted to GTR, in compliance with their reporting obligation under the Securities Financing Transactions Regulation (SFTR).

For firms that have delegated their transaction reporting to their counterparties, the Introductory Service gives them direct, real-time access, in read-only mode, to the data submitted to GTR on their behalf.

For the firms to whom their counterparties have delegated securities financing transaction (SFT) reporting responsibilities, the service reduces their operational burdens by eliminating their need to manually generate data submission reports for these clients.

USER BENEFITS



Increased transparency

Retain oversight of transaction data submitted by counterparties.



Improved efficiency

Save time and resources by eliminating the need for post-submission data sharing between counterparties.



Timely access

View trade activity data in real time through a simple user interface, reducing dependency on counterparty-provided reports.



Enhanced internal controls

Access the data needed to complete compliance checks and reconcile books to firm records.

HOW IT WORKS

Firms that delegate their transaction reporting to a counterparty execute an Introductory Service agreement that enables them to access limited services offered by GTR. The cost of the Introductory Service is picked up by the firm's counterparty. Once the agreement is in place, the firm is granted read-only GTR Global Portal access to view and download the securities financing transaction-related data submitted to GTR on its behalf. Reports are available in XML format for a set of reports and CSV for every report. "Introduced" firms will not have the ability to make submissions to GTR.

FOR MORE INFORMATION

Visit dtcc.com, or e-mail gtr-onboarding@dtcc.com

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