



CA ID	CUSIP	Security Description	Product Type	Event Type	Announced Date	Ex Date	Record Date	Payable Date	Due Bill Redemption Date****	Effective Date	DTC Instruction Expiration Date	DTC Cover/Protect Expiration Date	DTC Anticipated Pay Date	Publication Date	Cash Rate	Security Rate	DTC Contra CUSIP	Disbursed CUSIP	DTC Security Positions
542657500	192422103	COGNEX	10 - Common Stock	Exchange Offer	25-Oct-23	N/A	N/A	N/A	N/A	N/A	7-Nov-23	8-Nov-23	9-Nov-23	N/A	N/A	0.75	N/A	364760108	10,000
542657517	12628R107	CSB BANCORP INC (OHIO)-	10 - Common Stock	Mandatory Exchange	25-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	14-Nov-23	N/A	N/A	1.00	N/A	923725105	10,000
542657398	902494103	TYSON FOODS, INC.	10 - Common Stock	Stock Dividend	25-Oct-23	8-Nov-23	6-Nov-23	7-Nov-23	8-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	0.25	N/A	902494103	10,000
542657415	714046109	REVVITY INC. COM	10 - Common Stock	Stock Split	25-Oct-23	13-Nov-23	8-Nov-23	10-Nov-23	13-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	0.10	N/A	714046109	10,000
542657507	364760108	Gap Inc	10 - Common Stock	Tender Offer	25-Oct-23	N/A	N/A	N/A	N/A	N/A	7-Nov-23	8-Nov-23	9-Nov-23	N/A	\$ 25.00	N/A	N/A	N/A	10,000
542657544	55303J106	MGP INDGREDIENTS INC +	10 - Common Stock	Optional Dividend	25-Oct-23	13-Nov-23	13-Nov-23	15-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.15	0.25	N/A	55303J106	10,000
542657397	680194107	OLD POINT FINANCIAL CORP-	10 - Common Stock	Spin Off	25-Oct-23	16-Nov-23	13-Nov-23	15-Nov-23	16-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	0.20	N/A	706327103	10,000
542657519	704699107	PEAPACK GLADSTONE FINANCIAL CORP -	10 - Common Stock	Cash Dividend	25-Oct-23	10-Nov-23	7-Nov-23	9-Nov-23	10-Nov-23	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	N/A	N/A	10,000
542657399	896095106	TRICO BANCSHARES +	10 - Common Stock	Stock Dividend	25-Oct-23	17-Nov-23	13-Nov-23	16-Nov-23	17-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	0.15	N/A	896095106	10,000
542659280	43708L108	HOME FED BANCORP INC L	10 - Common Stock	Optional Dividend	26-Oct-23	2-Nov-23	2-Nov-23	3-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.15	0.2	NA	43708L108	10,000
542657392	989817101	ZUMIEZ INC.	10 - Common Stock	Cash Dividend	25-Oct-23	7-Nov-23	7-Nov-23	9-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.10	N/A	N/A	N/A	10,000
542657417	706327103	PEMBINA PIPELINE CORPORATION F	10 - Common Stock	Stock Split	25-Oct-23	16-Nov-23	13-Nov-23	15-Nov-23	16-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	0.15	N/A	706327103	10,000

542657 400	923725 105	VERMILION ENERGY INC F	10 - Common Stock	Stock Dividen d	25-Oct- 23	14- No v- 23	14- Nov- 23	15- Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.5	NA	923725 105	10,000	
542657 393	14167R 308	Carecloud Inc	20 - Preferre d Stock	Cash Dividen d	25-Oct- 23	16- No v- 23	13- Nov- 23	15- Nov- 23	16-Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.15	N/A	N/A	N/A	10,000
542657 501	78590A 406	SACHEM CAPITAL CORP. 7.75% NOTES DUE 2025	22 - Preferre d Stock - REIT	Stock Split	25-Oct- 23	7- No v- 23	7- Nov- 23	9- Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.15	N/A	78590A 406	10,000	
542659 408	72200U 209	\$ARP PIMCO CORPORATE	102 - Auction Rate Preferre d Stock - ARPS	Special Dividen d	26-Oct- 23	10- No v- 23	7- Nov- 23	9- Nov- 23	10-Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.25	N/A	N/A	72200U 209	10,000
542657 504	83175M 205	SMITH & NEPHEW GROUP P L C	110 - America n Deposita ry Receipt	Stock Split	25-Oct- 23	10- No v- 23	7- Nov- 23	9- Nov- 23	10-Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	0.10	N/A	83175M 205	10,000	
542659 263	108441 205	BRIDGESTONECO RPADR*+	110 - America n Deposita ry Receipt	Optional Dividen d	26-Oct- 23	3- No v- 23	31- Oct- 23	2- Nov- 23	3-Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.10	0.20	N/A	108441 205	10,000
542659 409	47103U 100	ETF JANUS DETROIT STR BE<	117 - Exchang e Traded Funds	Special Dividen d	26-Oct- 23	16- No v- 23	13- Nov- 23	15- Nov- 23	16-Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.15	N/A	N/A	47103U 100	10,000
542657 505	643154 JL2	KY COMMWLTH REF RV KY D05/14/08 4.500% MN18 BE	310 - Municipa l Bond	Stock Split	25-Oct- 23	13- No v- 23	13- Nov- 23	15- Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.5	N/A	643154 JL2	10,000	
542657 508	64966L U41	\$VRDO NEW YORK N Y NY D06/18/15 00.010% 44 BE	314 - Municipa l Variable Rate Demand Obligatio n (VRDO)	Tender Offer	25-Oct- 23	N/ A	N/A	N/A	N/A	N/A	9-Nov- 23	10-Nov- 23	13-Nov- 23	N/A	\$ 35.00	N/A	N/A	N/A	100,00 0	
542657 499	61772B AB9	MORGAN STANLEY GLOBAL MEDIUM- TERM NOTES, SERIES I	500 - Corporat e Bond (CB) or Global Corporat e Bond (GCB)	Partial Call	25-Oct- 23	N/ A	N/A	14- Nov- 23	N/A	N/A	N/A	N/A	N/A	25-Oct- 23	\$ 1,000. 00	N/A	N/A	N/A	10,000	
542657 496	444425 AA0	CD Barclays DEL WIL D12/30/13 0.010%	507 - Certificat es of Deposit	Maturity	25-Oct- 23	N/ A	N/A	15- Nov- 23	N/A	N/A	N/A	N/A	16-Nov- 23	N/A	\$1,00 0.00	N/A	N/A	N/A	100,00 0	

542657 529	61762F AM8	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collatera lized Mtge Obligatio n or Global CMO	Interest	25-Oct- 23	N/ A	8- Nov- 23	10- Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 10.00	N/A	N/A	N/A	100,00 0
542659 261	64830X CJ6	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collatera lized Mtge Obligatio n or Global CMO	Interest	26-Oct- 23	N/ A	1- Nov- 23	2- Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 12.00	N/A	N/A	N/A	100,00 0
542657 497	G18375 AA6	GABS CAPITAL ONE 04-3 D04/14/04 0.010% JJ22	541 - Asset- Backed Security (ABS) or Global ABS (GABS)	Partial Call	25-Oct- 23	N/ A	N/A	16- Nov- 23	N/A	N/A	N/A	N/A	N/A	25-Oct- 23	\$ 1,000. 00	N/A	N/A	N/A	100,00 0
542657 498	G84393 AC4	STAR ENERGY GEOTHERMAL (WAYANG WINDU) LIMITED DUE 2033	542 - Non- CMO/AB S Amortizi ng Issue	Final Paydow n	25-Oct- 23	N/ A	N/A	14- Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 50.00	N/A	N/A	N/A	100,00 0
542657 495	46124A AA9	CB144A INVACARE HLDGS D05/05/23 07.500% MN28	572 - Converti ble Corporat e Debt	Maturity	25-Oct- 23	N/ A	N/A	10- Nov- 23	N/A	N/A	N/A	N/A	13-Nov- 23	N/A	\$ 1,000. 00	N/A	N/A	N/A	100,00 0
542657 396	61768C BJ5	MORGAN STANLEY ABS CAPITAL I 2006-NC1	704 - Corporat e Debt Derivativ e	Interest	25-Oct- 23	N/ A	10- Nov- 23	15- Nov- 23	N/A	N/A	N/A	N/A	N/A	24-Oct- 23	\$ 25.00	N/A	N/A	N/A	10,000
542659 410	11276B 109	BROOKFIELD INFRASTRUCTURE	705 - Equity Derivativ e	Rights Distribut ion	26-Oct- 23	N/ A	8- Nov- 23	10- Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	203668 116	10,000
542657 510	203668 116	RTS COMMUNITY H BE+	730 - Right	Rights Subscrip tion	25-Oct- 23	N/ A	8- Nov- 23	10- Nov- 23	N/A	N/A	14- Nov-23	15-Nov- 23	16-Nov- 23	N/A	\$12.0 0 + plus 1 right	1.00	N/A	74348T 102	10,000
542657 543	74348T 102	PROSPECT CAPITAL CORPORATION RR	761 - Closed End Fund	Optional Dividen d	25-Oct- 23	10- No v- 23	7- Nov- 23	9- Nov- 23	10-Nov- 23	N/A	N/A	N/A	N/A	N/A	\$ 0.05	0.15	N/A	74348T 102	10,000
542657 394	67062J 102	NUVEEN MUNICIPAL INCOME FUND INC	790 - Unit Investme nt Trust (Nuveen Only)	Cash Dividen d	25-Oct- 23	7- No v- 23	7- Nov- 23	9- Nov- 23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.05	N/A	N/A	N/A	10,000
542657 509	46137L 144	INVESCO UNIT TRUSTS TAXABLE	795 - Unit	Exchan ge Offer	25-Oct- 23	N/ A	N/A	N/A	N/A	N/A	13- Nov-23	14-Nov- 23	15-Nov- 23	N/A	N/A	0.45	N/A	192422 103	10,000

		INCOME SERIES 489 INVESTMENT GRADE INCOME TRUST, 7-13 YEAR SERIES 43 IGST43	Investme nt Trust															
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**Important Considerations:**

When a field is marked N/A it will usually not be applicable for this event with the exception being where Elective Dividend Services apply in certain cases such as Tax Relief and DRIP. Participants may apply instructions in these cases but it is not mandatory that they do so

\* The CA ID will be populated and available when the announcement has been generated in DTCC's Corporate Actions systems

\*\*\* The DTC Contra CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

\*\*\*\* The DTC Disbursed CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

\*\*\*\*\* Participants testing Rights Subscriptions in the PTS PSOP and PBS Rights Subscriptions functions should refer to the event details in PTS/PBS for subscription level information

\*\*\*\*\* Participants testing IVORS should refer to the redemption/rollover details in PTS/PBS Instructions Submission and Inquiries functions for further details

\*\*\*\*\* Due Bill Redemption Date is the first date that Due Bills can be redeemed and should be considered allocation date. This column is not to be confused with the CA Web Due Bill Redemption Date data element which is mapped to Due Bill Off Date