



CA ID	CUSIP	Security Description	Product Type	Event Type	Announced Date	Ex Date	Record Date	Payable Date	Due Bill Redemption Date*****	Effective Date	DTC Instruction Expiration Date	DTC Cover/Protect Expiration Date	DTC Anticipated Pay Date	Publication Date	Cash Rate	Security Rate	DTC Contra CUSIP	Disbursed CUSIP	DTC Security Positions
542832284	192422103	COGNEX	10 - Common Stock	Rights Distribution	9-Nov-23	23-Nov-23	20-Nov-23	22-Nov-23	23-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	55303J106	10,000
542832281	12628R107	CSB BANCORP INC (OHIO)-	10 - Common Stock	Stock Dividend	9-Nov-23	28-Nov-23	21-Nov-23	27-Nov-23	28-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	0.25	N/A	12628R107	10,000
542832286	902494103	TYSON FOODS, INC.	10 - Common Stock	Stock Split	9-Nov-23	28-Nov-23	21-Nov-23	27-Nov-23	28-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	0.10	N/A	902494103	10,000
542970898	203668116	RTS COMMUNITY H BE+	730 - Right	Rights Subscription	10-Nov-23	N/A	N/A	1-Dec-23	N/A	N/A	27-Nov-23	28-Nov-23	1-Dec-23	N/A	\$12.00 + plus 1 right	1.00	N/A	74348T102	10,000
542834449	364760108	Gap Inc	10 - Common Stock	Optional Dividend	9-Nov-23	28-Nov-23	24-Nov-23	27-Nov-23	28-Nov-23	N/A	N/A	N/A	N/A	N/A	\$ 2.50	0.25	N/A	364760108	10,000
542970899	714046109	REVVITY INC. COM	10 - Common Stock	Tender Offer	10-Nov-23	N/A	N/A	N/A	N/A	N/A	22-Nov-23	24-Nov-23	27-Nov-23	N/A	\$ 30.00	N/A	714LVT038	N/A	10,000
542834446	680194107	OLD POINT FINANCIAL CORP-	10 - Common Stock	Cash Dividend	9-Nov-23	27-Nov-23	23-Nov-23	24-Nov-23	27-Nov-23	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	N/A	NA	10,000
542832282	704699107	PEAPACK GLADSTONE FINANCIAL CORP -	10 - Common Stock	Stock Dividend	9-Nov-23	22-Nov-23	22-Nov-23	24-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.20	N/A	704699107	10,000
542971031	896095106	TRICO BANCSHARES +	10 - Common Stock	Optional Dividend	10-Nov-23	22-Nov-23	22-Nov-23	23-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 2.00	0.15	N/A	896095106	10,000
542970893	43708L108	HOME FED BANCORP INC L	10 - Common Stock	Exchange Offer	10-Nov-23	N/A	N/A	N/A	N/A	N/A	22-Nov-23	24-Nov-23	27-Nov-23	N/A	N/A	0.2	437LVT059	83175M205	10,000
542834442	989817101	ZUMIEZ INC.	10 - Common Stock	Stock Split	9-Nov-23	20-Nov-23	20-Nov-23	22-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.20	N/A	989817101	10,000
542832283	706327103	PEMBINA PIPELINE CORPORATION F	10 - Common Stock	Stock Dividend	9-Nov-23	20-Nov-23	20-Nov-23	22-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.15	N/A	706327103	10,000
542970901	46137L144	INVESCO UNIT TRUSTS TAXABLE INCOME SERIES 489 INVESTMENT GRADE INCOME TRUST, 7-13 YEAR SERIES 43 IGST43	795 - Unit Investment Trust	Tender Offer	10-Nov-23	N/A	N/A	N/A	N/A	N/A	21-Nov-23	22-Nov-23	24-Nov-23	N/A	\$ 25.00	N/A	461LVT074	N/A	10,000

542834444	14167R308	Carecloud Inc	20 - Preferred Stock	Stock Split	9-Nov-23	29-Nov-23	27-Nov-23	28-Nov-23	29-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	0.10	N/A	14167R308	10,000
542970897	55303J106	MGP INDGREDIENTS INC +	10 - Common Stock	Rights Subscription	10-Nov-23	N/A	N/A	1-Dec-23	N/A	N/A	27-Nov-23	28-Nov-23	1-Dec-23	N/A	\$12.00 + plus 1 right	1.00	N/A	192422103	10,000
542834445	72200U209	\$ARP PIMCO CORPORATE	102 - Auction Rate Preferred Stock - ARPS	Stock Split	9-Nov-23	20-Nov-23	20-Nov-23	22-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.15	N/A	72200U209	10,000
542970894	78590A406	SACHEM CAPITAL CORP. 7.75% NOTES DUE 2025	22 - Preferred Stock - REIT	Exchange Offer	10-Nov-23	N/A	N/A	N/A	N/A	N/A	22-Nov-23	23-Nov-23	24-Nov-23	N/A	N/A	0.15	785LVT024	43708L108	10,000
542834517	108441205	BRIDGESTONECORPAR DR*+	110 - American Depository Receipt	Cash Dividend	9-Nov-23	23-Nov-23	20-Nov-23	22-Nov-23	23-Nov-23	N/A	N/A	N/A	N/A	N/A	\$ 0.10	N/A	N/A	NA	10,000
542832278	47103U100	ETF JANUS DETROIT STR BE<	117 - Exchange Traded Funds	Spin Off	9-Nov-23	22-Nov-23	20-Nov-23	21-Nov-23	22-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	0.75	N/A	923725105	10,000
542834522	46124AA A9	CB144A INVACARE HLDGS D05/05/23 07.500% MN28	572 - Convertible Corporate Debt	Partial Call	9-Nov-23	N/A	N/A	24-Nov-23	N/A	N/A	N/A	N/A	24-Nov-23	9-Nov-23	\$ 1,000.00	N/A	N/A	N/A	100,000
542834520	61762FA M8	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Maturity	9-Nov-23	N/A	N/A	24-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 1,000.00	N/A	N/A	N/A	100,000
542832279	61772BA B9	MORGAN STANLEY GLOBAL MEDIUM-TERM NOTES, SERIES I	500 - Corporate Bond (CB) or Global Corporate Bond (GCB)	Spin Off	9-Nov-23	28-Nov-23	21-Nov-23	27-Nov-23	28-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	55303J106	10,000
542834518	444425A A0	CD Barclays DEL WIL D12/30/13 0.010%	507 - Certificates of Deposit	Interest	t	N/A	20-Nov-23	24-Nov-23	N/A	N/A	N/A	N/A	24-Nov-23	N/A	\$25.00	N/A	N/A	N/A	100,000
542834523	61768CB J5	MORGAN STANLEY ABS CAPITAL I 2006-NC1	704 - Corporate Debt Derivative	Full Call	9-Nov-23	N/A	N/A	23-Nov-23	N/A	N/A	N/A	N/A	23-Nov-23	N/A	\$ 1,000.00	N/A	N/A	N/A	10,000
542834519	643154J L2	KY COMMWLTH REF RV KY D05/14/08 4.500% MN18 BE	310 - Municipal Bond	Maturity	9-Nov-23	N/A	N/A	29-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 1,000.00	N/A	N/A	N/A	10,000
542970895	83175M205	SMITH & NEPHEW GROUP P L C	110 - American Depository Receipt	Exchange Offer	10-Nov-23	N/A	N/A	N/A	N/A	N/A	24-Nov-23	27-Nov-23	28-Nov-23	N/A	N/A	0.10	831LVT028	78590A406	10,000
542834524	64830XC J6	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Partial Call	9-Nov-23	N/A	N/A	1-Dec-23	N/A	N/A	N/A	N/A	N/A	9-Nov-23	\$ 1,000.00	N/A	N/A	N/A	100,000
542834525	64966LU 41	\$VRDO NEW YORK NY D06/18/15 00.010% 44 BE	314 - Municipal Variable Rate Demand	Partial Call	9-Nov-23	N/A	N/A	23-Nov-23	N/A	N/A	N/A	N/A	N/A	9-Nov-23	\$ 1,000.00	N/A	N/A	N/A	100,000

			Obligation (VRDO)																
542834521	G84393AC4	STAR ENERGY GEOTHERMAL (WAYANG WINDU) LIMITED DUE 2033	542 - Non-CMO/ABS Amortizing Issue	Partial Call	9-Nov-23	N/A	N/A	28-Nov-23	N/A	N/A	N/A	N/A	N/A	9-Nov-23	\$ 1,000.00	N/A	N/A	N/A	100,000
542832280	11276B109	BROOKFIELD INFRASTRUCTURE	705 - Equity Derivative	Spin Off	9-Nov-23	N/A	22-Nov-23	24-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.50	N/A	46137L144	10,000
542970900	923725105	VERMILION ENERGY INC F	10 - Common Stock	Tender Offer	10-Nov-23	N/A	N/A	N/A	N/A	N/A	24-Nov-23	27-Nov-23	28-Nov-23	N/A	\$ 20.00	N/A	923LVT035	N	10,000
542832285	74348T102	PROSPECT CAPITAL CORPORATION RR	761 - Closed End Fund	Rights Distribution	9-Nov-23	N/A	21-Nov-23	21-Nov-23	22-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	203668116	10,000
542834447	67062J102	NUVEEN MUNICIPAL INCOME FUND INC	790 - Unit Investment Trust (Nuveen Only)	Cash Dividend	9-Nov-23	N/A	28-Nov-23	24-Nov-23	27-Nov-23	28-Nov-23	N/A	N/A	N/A	N/A	\$ 0.05	N/A	N/A	N/A	10,000
542970896	G18375AA6	GABS CAPITAL ONE 04-3 D04/14/04 0.010% JJ22	541 - Asset-Backed Security (ABS) or Global ABS (GABS)	Mandatory Exchange	10-Nov-23	N/A	N/A	N/A	N/A	22-Nov-23	N/A	N/A	22-Nov-23	N/A	N/A	1,000.00	N/A	G84393AC4	100,000

Important Considerations:

When a field is marked N/A it will usually not be applicable for this event with the exception being where Elective Dividend Services apply in certain cases such as Tax Relief and DRIP. Participants may apply instructions in these cases but it is not mandatory that they do so

* The CA ID will be populated and available when the announcement has been generated in DTCC's Corporate Actions systems

*** The DTC Contra CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

**** The DTC Disbursed CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

***** Participants testing Rights Subscriptions in the PTS PSOP and PBS Rights Subscriptions functions should refer to the event details in PTS/PBS for subscription level information

***** Participants testing IVORS should refer to the redemption/rollover details in PTS/PBS Instructions Submission and Inquiries functions for further details

***** Due Bill Redemption Date is the first date that Due Bills can be redeemed and should be considered allocation date. This column is not to be confused with the CA Web Due Bill Redemption Date data element which is mapped to Due Bill Off Date