



CA ID	CUSIP	Security Description	Product Type	Event Type	Announced Date	Ex Date	Record Date	Payable Date	Due Bill Redemption Date****	Effective Date	DTC Instruction Expiration Date	DTC Cover/Protect Expiration Date	DTC Anticipated Pay Date	Publication Date	Cash Rate	Security Rate	DTC Contra CUSIP	Disbursed CUSIP	DTC Security Positions
542995299	192422103	COGNEX	10 - Common Stock	Stock Dividend	21-Nov-23	4-Dec-23	4-Dec-23	6-Dec-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.25	NA	192422103	10,000
542995301	12628R107	CSB BANCORP INC (OHIO)-	10 - Common Stock	Stock Split	21-Nov-23	5-Dec-23	5-Dec-23	7-Dec-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.10	NA	12628R107	10,000
543100413	902494103	TYSON FOODS, INC.	10 - Common Stock	Tender Offer	28-Nov-23	N/A	N/A	N/A	N/A	N/A	12-Dec-23	13-Dec-23	14-Dec-23	N/A	\$ 25.00	N/A	902LVT030	NA	10,000
543079115	714046109	REVVITY INC. COM	10 - Common Stock	Optional Dividend	24-Nov-23	6-Dec-23	6-Dec-23	12-Dec-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 1.50	0.10	N/A	714046109	10,000
542995297	364760108	Gap Inc	10 - Common Stock	Spin Off	21-Nov-23	6-Dec-23	6-Dec-23	8-Dec-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.40	NA	364760108	10,000
542995291	55303J106	MGP INDGREDIENTS INC +	10 - Common Stock	Cash Dividend	21-Nov-23	N/A	5-Dec-23	6-Dec-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.25	N/A	N/A	N/A	10,000
542995296	680194107	OLD POINT FINANCIAL CORP-	10 - Common Stock	Rights Distribution	21-Nov-23	12-Dec-23	7-Dec-23	8-Dec-23	12-Dec-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	989817101	10,000
543079122	704699107	PEAPACK GLADSTONE FINANCIAL CORP -	10 - Common Stock	Optional Dividend	24-Nov-23	12-Dec-23	7-Dec-23	8-Dec-23	12-Dec-23	N/A	N/A	N/A	N/A	N/A	\$ 2.50	0.25	N/A	704699107	10,000
543079135	896095106	TRICO BANCSHARES +	10 - Common Stock	Optional Dividend	24-Nov-23	14-Dec-23	11-Dec-23	13-Dec-23	14-Dec-23	N/A	N/A	N/A	N/A	N/A	\$ 2.00	0.15	N/A	896095106	10,000
542995302	43708L108	HOME FED BANCORP INC L	10 - Common Stock	Stock Split	21-Nov-23	4-Dec-23	4-Dec-23	6-Dec-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.25	NA	43708L108	10,000
543100495	989817101	ZUMIEZ INC.	10 - Common Stock	Rights Subscription	28-Nov-23	N/A	N/A	N/A	N/A	N/A	13-Dec-23	14-Dec-23	15-Dec-23	N/A	\$12.00 + plus	1.00	N/A	680194107	10,000

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542995298	706327103	PEMBINA PIPELINE CORPORATION F	10 - Common Stock	Spin Off	21-Nov-23	12-Dec-23	5-Dec-23	7-Dec-23	12-Dec-23	N/A	N/A	N/A	N/A	N/A	N/A	0.35	NA	706327103	10,000	
542995303	923725105	VERMILION ENERGY INC F	10 - Common Stock	Stock Split	21-Nov-23	14-Dec-23	11-Dec-23	13-Dec-23	14-Dec-23	N/A	N/A	N/A	N/A	N/A	N/A	0.15	NA	923725105	10,000	
543100453	14167R308	Carecloud Inc	20 - Preferred Stock	Exchange Offer	28-Nov-23	N/A	N/A	N/A	N/A	N/A	5-Dec-23	6-Dec-23	7-Dec-23	N/A	N/A	0.60	141LVT023	47103U100	10,000	
543100481	78590A406	SACHEM CAPITAL CORP. 7.75% NOTES DUE 2025	22 - Preferred Stock - REIT	Mandatory Exchange	28-Nov-23	N/A	N/A	N/A	N/A	6-Dec-23	N/A	N/A	6-Dec-23	N/A	N/A	1,000.00	N/A	G84393AC4	10,000	
542995300	72200U209	\$ARP PIMCO CORPORATE	102 - Auction Rate Preferred Stock - ARPS	Stock Dividend	21-Nov-23	15-Dec-23	12-Dec-23	14-Dec-23	15-Dec-23	N/A	N/A	N/A	N/A	N/A	N/A	0.25	NA	72200U209	10,000	
542995292	83175M205	SMITH & NEPHEW GROUP P L C	110 - American Depositary Receipt	Cash Dividend	21-Nov-23	6-Dec-23	6-Dec-23	7-Dec-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.15	N/A	N/A	N/A	10,000	
543100462	108441205	BRIDGESTONECO RPADR*+	110 - American Depositary Receipt	Tender Offer	28-Nov-23	N/A	N/A	N/A	N/A	N/A	12-Dec-23	13-Dec-23	14-Dec-23	N/A	\$ 35.00	N/A	108LVT032	NA	10,000	
543100467	47103U100	ETF JANUS DETROIT STR BE<	117 - Exchange Traded Funds	Exchange Offer	28-Nov-23	N/A	N/A	N/A	N/A	N/A	6-Dec-23	7-Dec-23	8-Dec-23	N/A	N/A	0.75	471LVT023	14167R308	10,000	
542995289	643154JL2	KY COMMWLTH REF RV KY D05/14/08 4.500% MN18 BE	310 - Municipal Bond	Interest	21-Nov-23	N/A	13-Dec-23	14-Dec-23	N/A	N/A	N/A	N/A	12-Dec-23	N/A	\$25.00	N/A	N/A	N/A	10,000	
543091282	64966LU41	\$VRDO NEW YORK N Y NY D06/18/15 00.010% 44 BE	314 - Municipal Variable Rate Demand Obligation (VRDO)	Partial Call	27-Nov-23	N/A	N/A	14-Dec-23	N/A	N/A	N/A	N/A	N/A	4-Dec-23	\$ 1,000.00	N/A	N/A	N/A	100,000	
543100475	49177JAE2	KENVUE INC	500 - Corporate Bond (CB) or Global Corporate	Exchange Offer	28-Nov-23	N/A	N/A	N/A	N/A	N/A	12-Dec-23	13-Dec-23	14-Dec-23	N/A	N/A	0.70	491LVTAC6	46137L144	10,000	

			e Bond (GCB)																
542995290	444425AA0	CD Barclays DEL WIL D12/30/13 0.010%	507 - Certificates of Deposit	Interest	21-Nov-23	N/A	4-Dec-23	5-Dec-23	N/A	N/A	N/A	N/A	5-Dec-23	N/A	\$25.00	N/A	N/A	N/A	100,000
543091281	61762FAM8	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Full Call	27-Nov-23	N/A	N/A	5-Dec-23	N/A	N/A	N/A	N/A	5-Dec-23	N/A	\$1,000.00	N/A	N/A	N/A	100,000
543091277	64830XCJ6	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Maturity	27-Nov-23	N/A	N/A	14-Dec-23	N/A	N/A	N/A	N/A	14-Dec-23	N/A	\$1,000.00	N/A	N/A	N/A	100,000
543091278	G18375AA6	GABS CAPITAL ONE 04-3 D04/14/04 0.010% JJ22	541 - Asset-Backed Security (ABS) or Global ABS (GABS)	Partial Call	27-Nov-23	N/A	N/A	13-Dec-23	N/A	N/A	N/A	N/A	5-Dec-23	N/A	\$1,000.00	N/A	N/A	N/A	100,000
543091279	G84393AC4	STAR ENERGY GEOTHERMAL (WAYANG WINDU) LIMITED DUE 2033	542 - Non-CMO/ABS Amortizing Issue	Partial Call	27-Nov-23	N/A	N/A	12-Dec-23	N/A	N/A	N/A	N/A	12-Dec-23	N/A	\$1,000.00	N/A	N/A	N/A	100,000
543091280	46124AAA9	CB144A INVACARE HLDGS D05/05/23 07.500% MN28	572 - Convertible Corporate Debt	Partial Call	27-Nov-23	N/A	N/A	14-Dec-23	N/A	N/A	N/A	N/A	6-Dec-23	N/A	\$1,000.00	N/A	N/A	N/A	100,000
543100484	61768C BJ5	MORGAN STANLEY ABS CAPITAL I 2006-NC1	704 - Corporate Debt Derivative	Mandatory Exchange	28-Nov-23	N/A	N/A	N/A	N/A	13-Dec-23	N/A	N/A	13-Dec-23	N/A	N/A	1,000.00	N/A	11276B109	10,000
543100485	11276B109	BROOKFIELD INFRASTRUCTURE	705 - Equity Derivative	Mandatory Exchange	28-Nov-23	N/A	N/A	N/A	N/A	13-Dec-23	N/A	N/A	13-Dec-23	N/A	N/A	1,000.00	N/A	78590A406	10,000
543100499	72942P112	RIGHTS HERZFELD CARIBBBASIN FUND INC	730 - Right	Rights Subscription	28-Nov-23	N/A	N/A	N/A	N/A	13-Dec-23	14-Dec-23	15-Dec-23	N/A	N/A	\$12.00 + plus 1 right	1.00	N/A	74348T102	10,000
542995293	74348T102	PROSPECT CAPITAL CORPORATION RR	761 - Closed End Fund	Cash Dividend	21-Nov-23	13-Dec-23	7-Dec-23	12-Dec-23	13-Dec-23	N/A	N/A	N/A	N/A	N/A	\$0.10	N/A	N/A	N/A	10,000

543100 493	67101M 454	NUVEEN TAX EXEMPT UNIT TRUST GEORGIA INSURED 27	790 - Unit Investme nt Trust (Nuveen Only)	Cash Dividen d	28-Nov- 23	13- De c- 23	4- Dec- 23	12- Dec- 23	13-Dec- 23	N/A	N/A	N/A	N/A	N/A	\$ 0.05	N/A	N/A	N/A	10,00 0
543100 399	00781N 575	ADVISORS DISCIPLINED TR	795 - Unit Investme nt Trust	Exchan ge Offer	28-Nov- 23	N/ A	N/A	N/A	N/A	N/A	13- Dec-23	N/A	N/A	N/A	N/A	N/A			10,00 0

Important Considerations:

When a field is marked N/A it will usually not be applicable for this event with the exception being where Elective Dividend Services apply in certain cases such as Tax Relief and DRIP. Participants may apply instructions in these cases but it is not mandatory that they do so

* The CA ID will be populated and available when the announcement has been generated in DTCC's Corporate Actions systems

*** The DTC Contra CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

**** The DTC Disbursed CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

***** Participants testing Rights Subscriptions in the PTS PSOP and PBS Rights Subscriptions functions should refer to the event details in PTS/PBS for subscription level information

***** Participants testing IVORS should refer to the redemption/rollover details in PTS/PBS Instructions Submission and Inquiries functions for further details

***** Due Bill Redemption Date is the first date that Due Bills can be redeemed and should be considered allocation date. This column is not to be confused with the CA Web Due Bill Redemption Date data element which is mapped to Due Bill Off Date