

IMPORTANT NOTICE

DTCC Solutions LLC



#: CBRS002.11
Date: April 7, 2011
To: All current and prospective Cost Basis Reporting Service (CBRS) users
From: Lydia Midwood
Subject: Change to CBRS WebDirect functionality: 3,000 record maximum size for CBRS Transaction Download End of Day (EOD) file

DTCC is instituting a maximum size for the CBRS Transaction Download End of Day (EOD) file (Autoroute # 02401664 in Production and # 02981664 in Test) available via WebDirect in Excel format.

CBRS will produce the Transaction Download EOD file only when the number of records (combined asset and tax lot records both received and submitted) per firm per day is less than 3,000. If a firm exceeds this size on a given day, CBRS will not create the file. Firms who exceed this maximum need to be able to take in the Transaction Machine Readable Output (MRO) file (Autoroute #02400264 in Production and # 02980264 in Test).

This change is scheduled to take place on Friday, June 10, 2011.

Additional Information

Please see the CBRS User Guide on www.dtcc.com to learn more about CBRS and WebDirect, and the service in general:

<http://www.dtcc.com/products/documentation/cs/cbrs.php>

A copy of this Important Notice can be obtained from DTCC's website:

http://www.dtcc.com/products/documentation/cs/cbrs_notices.php

If you have questions about CBRS, please call your Relationship Manager or the Customer Service Hotline at 888-382-2721, option 6, then option 7, then option 2.

In response to customer feedback, DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more about this new service and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

To remove your name from the former system of email notifications, send a message to unsubscribe@dtcc.com.