

IMPORTANT NOTICE

DTCC Solutions LLC



#: CBRS007.11
Date: August 31, 2011
To: All current and prospective Cost Basis Reporting Service users
From: Lydia Midwood
Subject: Additional updates to the Cost Basis Reporting Service record layouts

DTCC plans to change the record layouts for the Cost Basis Reporting Service (CBRS), to help the industry comply with IRS regulations that go into effect for mutual fund assets on January 1, 2012. These changes also reflect customer feedback on processing CBRS records for all asset classes.

This Notice describes changes to the CBRS record layouts, in addition to the changes announced in Important Notice CBRS004.11, dated June 17, 2011. The Asset Input and Tax Lot Input record layouts have been revised. There are no changes to the Cost Basis Transaction Machine Readable Output (MRO) record layout. Please see Important Notices CBRS005.11 and CBRS006.11 for more information about this year's enhancements, and testing.

The CBRS record layouts can be found at:
<http://www.dtcc.com/products/documentation/cs/cbrs.php>

Specifics of the Changes to the Record Layouts

Fields on the Asset Input and Tax Lot Input have changed. The table below lists these changes. In the **Field** column, after each field name there is a code: **A** = Asset Input, **T** = Tax Lot Input.

Field	Change
Transfer Control Number (A,T)	Added comment
Total Position Quantity (A)	Removed rule
Tax Lot Original Cost (T)	Revised rule
Tax Lot Current Cost (T)	Revised rules

Additional Information

A copy of this Important Notice can be obtained from DTCC's website:
http://www.dtcc.com/products/documentation/cs/cbrs_notices.php

If you have questions regarding CBRS, please call the Customer Service Hotline at 888-382-2721, option 6, then option 7, then option 2.

In response to customer feedback, DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more about this new service and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

To remove your name from the former system of email notifications, send a message to unsubscribe@dtcc.com.