



#:	CBRS004.12
Date:	March 20, 2012
To:	All current CBRS users
From:	Lydia Midwood
Subject:	Creating a relationship between a Transfer Agent's LPA and TA numbers

In Important Notice # CBRS009.11, published on December 6, 2011, DTCC described a field on the Cost Basis Reporting Service (CBRS) transaction output files that will provide CBRS users with the Limited Participant Account (LPA) number for cost basis passed on Direct Registration System (DRS) Profile/Deliver Order (DO) transactions. CBRS will populate this field only for original and corrected asset and tax lot records, for Transaction Type 28 = DRS Profile/DO. Otherwise, the field will contain spaces.

In order for CBRS to populate the LPA Number in this new field, DTCC's Account Administration department will set up a relationship between a transfer agent's Transfer Agent (TA) number that is currently eligible for CBRS, and its LPA Number. This new functionality applies only to Transfer Agents who are eligible for CBRS and have a Limited Participant Account number.

DTCC is scheduled to set up this relationship by April 9, 2012.

Broker-dealers and banks who receive cost basis from a transfer agent for Transaction Type 28 = DRS Profile/DO will benefit from having the LPA number on the transaction output: they can use the number to more easily match the DRS Profile/DO asset transfer to the CBRS record for that transfer.

Transfer Agents would like to opt out of this functionality should contact the Transfer Agent Liaisons at agentliaison@dtcc.com.

Additional Information

Please see the CBRS User Guide to learn more about the service:

<http://www.dtcc.com/products/documentation/cs/cbrs.php>

A copy of this Important Notice can be obtained from DTCC's website:

http://www.dtcc.com/products/documentation/cs/cbrs_notices.php

If you have questions about CBRS, please call your Relationship Manager or the Customer Service Hotline at 888-382-2721, option 6, then option 7, then option 2.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Non-Confidential