



#:	CBRS008.12
Date:	August 9, 2012
To:	All current and prospective Cost Basis Reporting Service (CBRS) users
From:	Lydia Midwood
Subject:	Revised rules in Asset Sequence Number field

DTCC has made changes to the rules in the Asset Sequence Number field on the Asset Input record in CBRS, in order to allow zeroes as a valid value when the field is not required.

The first day this change is scheduled to be effective in both the testing environment, or Participant Services Environment (PSE), and the production environment is Friday, August 17, 2012.

The new language in the rules is as follows:

- If Transaction Type is 01 = ACATS and both the ‘Date Transfer was Initiated’ and the ‘Settlement Date of Transfer’ are equal or prior to 07/26/2012, the field may contain spaces, all zeroes, or a valid numeric value. Otherwise CBRS will reject the record.

In the previous version of the record layouts, if a participant submitted a record with Transaction Type 01 = ACATS and populated the Asset Sequence Number field with zeroes, CBRS rejected the records.

For all Transaction Types other than 01 = ACATS, CBRS spaces out the field and pass the record.

Additional Information

Please see the CBRS User Guide to learn more about the service:

<http://www.dtcc.com/products/documentation/cs/cbrs.php>

A copy of this Important Notice can be obtained from DTCC’s website:

http://www.dtcc.com/products/documentation/cs/cbrs_notices.php

If you have questions about CBRS, please call your Relationship Manager or the Customer Service Hotline at 888-382-2721, option 6, then option 7, then option 2.

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