



Important Notice

Fixed Income Clearing Corporation - GOV

GOV#:	GOV003.14
Date:	January 10, 2014
To:	Government Securities Division Members
Category:	Service Updates
Subject:	Notice of Rule Filing Approval SR-FICC-2013-10—Registered Investment Companies as GSD Netting Members—establishment of minimum financial requirements

On January 9, 2014, the Securities and Exchange Commission approved proposed rule change SR-FICC-2013-10 which was filed pursuant to Section 19(b)(2) of the Securities Exchange Act of 1934, as amended. The purpose of the filing is to amend the Rulebook of the Government Securities Division (“GSD”) to establish the minimum financial requirements for the existing membership category of Registered Investment Company Netting Members¹ and thereby allow such firms into GSD membership.

This rule change was described in Important Notice GOV084.13 dated October 29, 2013. The full text of this rule change (SR-FICC-2013-10) may be obtained by visiting The Depository Trust & Clearing Corporation’s website at www.dtcc.com.

Questions regarding the rule filing should be addressed to Donaldine Temple, Vice President and Assistant General Counsel, at (212) 855-3277 or dtemple@dtcc.com.

Nikki Poulos
Managing Director and General Counsel

¹ Pursuant to the GSD Rules, the term "Registered Investment Company Netting Member" is an Investment Company (1) that is registered with the Commission, (2) admitted to membership in GSD’s Netting System pursuant to the GSD Rules, and (3) whose membership in the Netting System has not been terminated.

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