



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 008
DATE: 01/13/2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER,
MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER,
MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE
LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	A0432K102	AAMGF	AMAG AUSTRIA METALL AG ORD SHS (AUS)	01/13/2014
ADD ***	F11845108	BSOLF	BLUE SOLUTIONS ORD SHS (FRA)	01/13/2014
ADD ***	G007A5105	AGITF	ABERFORTH GEARED INCOME TRUST PLC ORD SHS (UK)	01/13/2014
ADD ***	G28672122	DUNVF	DUNEDIN SMALLER COMPANIES INVT TRUST PLC ORD SHS (UK)	01/13/2014
ADD ***	G4401K105	HDSOF	HENDERSON OPPORTUNITES TRUST PLC ORD SHS (UK)	01/13/2014
ADD ***	G5941C107	MSAAF	M&C SAATCHI PLC, LONDON ORD SHS (UK)	01/13/2014
ADD ***	G7734E100	RWSHF	RWS HOLDINGS PLC ORD SHS (UK)	01/13/2014
ADD ***	G8527P104	SEQUF	STRATEGIC EQUITY CAPITAL PLC LONDON ORD SHS (UK)	01/13/2014
ADD ***	N0280E105	ABUSF	AIRBUS GROUP NV ORD SHS (NLD)	01/13/2014
ADD	00777K106	AERZY	AEROFLOT RUSSIAN AIRLINES JSC SPONSORED ADR (RUS)	01/13/2014
ADD	03268Y106	ATIXF	ANALYTIXINSIGHT INC COM SHS (CDA)	01/13/2014

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Non-Confidential

ADD 449551209 IGGHY IG GROUP HLDGS PLC SPONSORED ADR (UK) 01/13/2014

DELETE

DELETE *** G2114M103 CHLBF CHINA HEALTH LABS &DIAGNOSTICS LTD (CYM) 01/13/2014
DELETE *** G98105102 WWSPF WSP GROUP PLC 01/13/2014
DELETE 080555204 BLCBB BELO CORP 01/13/2014
DELETE 392485108 GRKT GREEKTOWN SUPERHOLDINGS INC COM SER A-1 01/13/2014
DELETE 449551100 IGGRY IG GROUP HLDGS PLC UNSPON ADR (UK) 01/13/2014

SYMBOL CHANGES

81834P205 SVLED TO: SVLE SEVILLE VENTURES CORP COM STK (USA) 01/13/2014
91912D107 CEDTD TO: VLBI VALENTINE BEAUTY INC NEW COM STK 01/13/2014

REORGANIZATION

DELETE 00202J203 APPA AP PHARMA INC COM STK (DE)
ADD 427746102 APPAD HERON THERAPEUTICS INC NEW COM STK (DE) 01/13/2014

DELETE 054618103 AXGI AXIUM TECHNOLOGIES, INC. COM STK
ADD 054618202 AXGID AXIUM TECHNOLOGIES INC NEW COM STK (NV) 01/13/2014

DELETE 232792200 CYPYF CYPRESS HILLS RES CORP NEW (CDA)
ADD 232792309 CYPYD CYPRESS HILLS RESOURCE CORP NEW ORD SHS (CDA) 01/13/2014

DELETE 393233101 TMGR GREEN PROCESSING TECH INC COM STK (DE)
ADD 90419P100 TMGRD UMBRA APPLIED TECHNOLOGIES GROUP INC NEW COM STK (DE) 01/13/2014

DELETE 68245E101 OWOO ONE WORLD HOLDINGS INC COM STK
ADD 68245E200 OWOOD ONE WORLD HLDGS INC NEW COM STK (NV) 01/13/2014

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
