



B #:	0099-14
Date:	January 17, 2014
To:	All Clients
Category:	Operations
From:	Asset Services – Corporate Actions Transformation Product Management Team
Attention:	Managing Directors/Vice Presidents/Managers/Supervisors
Subject:	Rollout Plan for Access to Corporate Actions Web (CA Web)

DTCC has set in motion its rollout plan for client's Corporate Action Web (CA Web) access in preparation for the mandatory migration to the CA Web in June 2014.

Schedule for CA Web Access

Access to CA Web for Announcements will be granted from January through April 2014. Your relationship manager will contact you in advance of your start date.

Procedure for Web Access

The following procedure (also known as the Super Access Coordinator (SAC)/Access Coordinator (AC) model) will be used for CA Web access.

- Clients must designate a CA Web Super Access Coordinator (SAC)
 - If the firm already has an SAC in place for PTS/PBS users, that SAC can be the CA Web SAC as well. Alternatively, the firm can designate someone else.
 - If the firm does not already have an SAC and/or chooses to select someone else for this role, the candidate will have to submit a DTCC Super AC Form to DTCC's Registration Support Group.
 - Your Relationship Manager will contact you regarding your SAC designation and advise you as to next steps.
- DTCC will provision each firm's SAC for CA Web
- The SAC can then provision ACs and Operators for one of the following roles:
 - Customer DTC
 - gives the user general access to Announcements
 - allows the user to set up individual saved searches for future use
 - Customer Admin DTC
 - gives the user general access to Announcements
 - allows the user to set up search function groups such as event type groups or country groups
 - allows the user to save group searches for use by all users in their firm

Access to the CA Web is via the DTCC Portal at the following URL: <https://portal.dtcc.com/gca/gca>. Your logon ID for CA Web will be separate and distinct from portal IDs you may have for other products such as Settlement Web, for example.

Mandatory Migration to CA Web

As mentioned above, the mandatory migration to CA Web will begin in June 2014. At that time, the following PTS functions and equivalent PBS functionality for Distribution event types will be replatformed on the CA Web:

EDS, DIVA, DAWN, SDAR, DPAL, PIAR, ADJI, DUEB, TAXI, BOOK

DTCC offers a comprehensive training plan set forth below for clients to gain an understanding of the ISO 20022 data model and competency in use of the CA Web application.

Training Plan

- November 2013 – June 2014: Follow the “CA Web Learning Path” set forth in the Corporate Actions Transformation Learning Center.
 - Review the ISO 20022 data model Quick Tip
 - View the instructional webcasts on CA Web functionality for Announcements, Instructions, Entitlements and Allocations
 - Attend Q&A sessions
 - Take the competency quiz
- January 2014 through June 2014: Attend the monthly industry calls to see live demonstrations of CA Web functionality and presentations that will keep you informed of key deadlines.

Registration details and agendas for the calls are sent monthly to individuals on the Corporate Actions Transformation distribution list. If you haven't already added your name to the list and would like to receive invitations to the calls, email CATransformation@dtcc.com. If you previously received an invitation for an industry call, your name is already on the distribution list. Registration is required for each monthly call.

- January 2014 through April 2014: Gain production access to CA Web for Announcements and begin familiarizing yourself with its navigation and the new data model.
- February 2014 through June 2014: Using the CA Web Simulator, practice submitting Instructions via the CA Web and familiarize yourself with functionality for Entitlements, Allocations and Adjustments. The CA Web Simulator will be available on the Learning Center.
- June 2014: Test during the parallel production period when clients will be given view only access to CA web lifecycle functionality in production – Entitlements, Instructions, Allocations and Adjustments.

(Note: Instructions cannot be submitted in CA Web until the parallel period ends and the Instructions functionality is fully deployed to production in June. Until that time, clients must use the PTS/PBS EDS function to submit instructions.)

Accessing the Corporate Actions Transformation Learning Center

Clients can access the Corporate Actions Transformation Learning Center at <https://dtcclearning.com/learning/assetservices/>

To register for the Asset Services Learning Center:

1. Go to <https://dtcclearning.com/learning/assetservices>
2. On the right hand side of the page, click **Create account**
3. Fill out the required fields and click **Register**.
4. You will receive an email from DTCC acknowledging your registration request. A subsequent email will follow within one business day confirming approval of your registration.

If you have questions or need support accessing the Learning Center, please contact the Customer Support Center (CSC) via the hotline at (888) 382 2721 option 1, option 3 or email at csc@dtcc.com.

Questions about the Corporate Actions Transformation initiative can be directed to your Relationship Manager, DTC's Client Account Representative Team (CART) at 212-855-4270 or the CA Transformation mailbox at CATransformation@dtcc.com.