



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 015
DATE: 01/23/2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER,
MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER,
MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE
LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	J1327L107	EXEXF	EXCEL CO LTD TOKYO ORD SHS (JPN)	01/23/2014
ADD ***	J3259R102	KIHOF	KI HOLDINGS CO LTD ORD SHS (JPN)	01/23/2014
ADD ***	J39960109	MUJIF	MARUFUJI SHEET PILING CO LTD TOKYO ORD SHS (JPN)	01/23/2014
ADD ***	J6011G108	OHMGF	OHMOTO GUMI CO LTD ORD SHS (JPN)	01/23/2014
ADD ***	J67478107	SNKOF	SANKO CO LTD ORD SHS (JPN)	01/23/2014
ADD ***	J69736106	SAEEF	SATORI ELECT CO ORD SHS (JPN)	01/23/2014
ADD ***	J7030P103	SEKHF	SEIKOH GIKEN CO LTD ORD SHS (JPN)	01/23/2014
ADD ***	J78873106	TKWAF	TACHIKAWA CORP ORD SHS (JPN)	01/23/2014
ADD ***	J93928109	UEHRF	UEHARA SEI SHOJI CO LTD ORD SHS (JPN)	01/23/2014
ADD ***	Y2070F100	DIGBF	DIGI.COM BHD ORD SHS (MYS)	01/23/2014
ADD	40053M103	GSAOY	GRUPO SANBORNS SAB DE CV SPONSORED ADR (MEX)	01/23/2014
ADD	461208209	IVXLF	INVENTRONICS LTD NEW ORD SHS (CDA)	01/23/2014
ADD	63938Y100	NMRIY	NAVIOS MARITIME HLDGS INC SPON ADR REPSTG 1/100TH PERP PFD	01/23/2014
ADD	670083104	NVKIF	NOVIK INC COM SHS (CDA)	01/23/2014

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Non-Confidential

DELETE

DELETE ***	G22404118	CENJF	COASTAL ENERGY COMPANY ORD SHS (CYM)	01/23/2014
DELETE ***	G6878P103	PLLHF	PALLINGHURST RESOURCES ORD SHS (GUERNSEY)	01/23/2014
DELETE	344847108	FOWUY	FOOK WOO GRP HLDGS LTD UNSPON ADR (CYM)	01/23/2014
DELETE	756183208	RBGCF	REBGOLD CORP COM NEW (CDA)	01/23/2014

SYMBOL CHANGES

00973E102	AKBS TO: AKER	AKERS BIOSCIENCES INC COMMON	01/23/2014
073303109	SBORD TO: BETV	BE AT TV, INC COMMON STOCK	01/23/2014
427746102	APPAD TO: HRTX	HERON THERAPEUTICS INC NEW COM STK (DE)	01/23/2014
683476303	OPWED TO: OPWEF	OPAWICA EXPLS INC NEW ORD SHS	01/23/2014
77536Q103	GCRID TO: GCRIF	ROGUE RESOURCES INC ORD SHS (CDA)	01/23/2014

REORGANIZATION

DELETE	Q92621111	TRYUF	TUC RES LTD ORD SHS (AUS)	
ADD ***	Q8621T100	TRYUF	SPECTRUM RARE EARTHS LTD ORD SHS (AUS)	01/23/2014
DELETE	531169100	LBPE	LIBERTY PETROLEUM CORP	
ADD	531169308	LBPED	LIBERTY PETE CORP NEW COM STK (DE)	01/23/2014
DELETE	653921106	NKOSF	NIKOS EXPLORATIONS LTD	
ADD	653921205	NKOSD	NIKOS EXPLORATIONS LTD ORD SHS (CDA)	01/23/2014
DELETE	74373F100	PVCT	PROVECTUS PHARMACEUTICAL INC	
ADD	74373P108	PVCT	PROVECTUS BIOPHARMACEUTICALS, INC. (DE)	01/23/2014
DELETE	941888109	WSBF	WATERSTONE FINANCIAL INC COM STK	
ADD	94188P101	WSBF	WATERSTONE FINL INC COM STK (MD)	01/23/2014

NAME CHANGE

DELETE	10678P104	BECC	BREITLING ENERGY CORP INC COM STK (NV)	
ADD	10678P104	BECC	BREITLING ENERGY CORP COM STK (NV)	01/23/2014

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
