



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 202
DATE: OCTOBER 20, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	J9509G101	WSTHF	WEST HOLDINGS CORP., HIROSHIMA ORDINARY SHARES (JAPAN)	10/20/2014
ADD ***	Q3194E101	DRVYF	DISCOVERY AFRICA LTD. ORDINARY SHARES (AUSTRALIA)	10/20/2014
ADD ***	S6815L196	RDPEF	REDEFINE PROPERTIES LTD ORDINARY SHARES (SOUTH AFRICA)	10/20/2014
ADD	82936K102	SMAC	SINO MERCURY ACQUISITION CORP COMMON STOCK	10/20/2014
ADD	82936K110	SMACR	SINO MERCURY ACQUISITION CORP RIGHT	10/20/2014
DELETE				
DELETE ***	G2372R109	CHOOF	COHORT PLC HENLEY-ON-THAMES ORD SHS (UK)	10/20/2014
DELETE ***	G3481V106	FPOP	FIRST PROPERTY GROUP PLC ORD SHS (UK)	10/20/2014
DELETE ***	J9503W102	WNWSF	WEATHERNEWS INC TOKYO ORD SHS (JPN)	10/20/2014
DELETE ***	P8493J108	SRTOF	SAO MARTINHO SA ORD SHS (BRAZIL)	10/20/2014

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DELETE ***	S1786F104	CLOVF	CLOVER INDUSTRIES LTD ROODEPOORT ORD SHS (ZAF)	10/20/2014
DELETE ***	Y05222123	AYDYF	AYUDHYA INSURANCE PUBLIC CO LTD UNITS NON-VOTING DEPSITORY R	10/20/2014
DELETE ***	Y5306M104	LFORF	LION FOREST INDUSTRIES BHD ORD SHS (MYS)	10/20/2014
DELETE ***	Y9281M147	UVPMF	UNIVANICH PALM OIL PUB COMP LED SHS FOREIGN REGISTERED (THA)	10/20/2014
DELETE	24713A105	DLLFF	DELORO RESOURCES LTD (CDA)	10/20/2014
DELETE	300504107	EVOQ	EVOQ PROPERTIES, INC. COMMON STOCK	10/20/2014
DELETE	683709109	PLANQ	OPEN PLAN SYSTEMS INC	10/20/2014
DELETE	76131N119	ROICW	RETAIL OPPORTUNITY INVESTMENTS CORP WTS EXP 10/17/2011 (MD)	10/20/2014
DELETE	76131N200	ROICU	RETAIL OPPORTUNITY INVESTMENTS CORP UTS (MD)	10/20/2014
DELETE	978106102	WOMI	WOM INC	10/20/2014

SYMBOL CHANGES

	210737102	KSMRD TO: KSMRF	CONTAGIOUS GAMING, INC. ORDINARY SHARES (CANADA)	10/20/2014
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REORGANIZATION

DELETE	16961T109	GRWIF	CHINOOK TYEE INDUSTRY LTD ORDINARY SHARES	
ADD	16961T208	GRWID	CHINOOK TYEE INDUSTRY LTD ORDINARY SHARES	10/20/2014
DELETE	36354R106	PLORF	GALILEO PETROLEUM LTD ORDINARY SHARES (CANADA)	
ADD	36354R205	PLORD	GALILEO PETROLEUM LTD ORDINARY SHARES (CANADA)	10/20/2014
DELETE	44930W102	PNCH	IC PLACES, INC. COMMON STOCK	
ADD	45249L109	PNCHD	IMAGINATION TV, INC. NEW COMMON STOCK	10/20/2014
DELETE	74162G103	PMLDY	PRIME MINERALS LTD SPONSORED ADR (AUSTRALIA)	
ADD	74162G202	PMLDD	PRIME MINERALS LTD SPONSORED ADR NEW (AUSTRALIA)	10/20/2014
DELETE	828229104	SSEBF	SILVER SPRUCE RES INC	
ADD	828229203	SSEBD	SILVER SPRUCE RESOURCES INC ORDINARY SHARES (CANADA)	10/20/2014
DELETE	831004205	SLMXF	SLAM EXPLORATION INC ORD SHS (CDA)	
ADD	831004304	SLMXD	SLAM EXPLORATION LTD COMMON	10/20/2014

SHARES (CANADA)

WHEN ISSUE

ADD	494274111	KBALV	KIMBALL INTERNATIONAL INC CLASS B EX DIST (S/D 11/06/2014)	10/20/2014
ADD	49428J109	KEIVV	KIMBALL ELECTRONICS INC COMMON STOCK W.I. (S/D 11/06/2014)	10/20/2014

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
