



*Important Notice*  
**National Securities Clearing Corporation**

**A#:** 7932  
**P&S#** 7507  
**DATE:** NOVEMBER 17, 2014  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGING PARTNER/OFFICER; RISK MANAGERS; OPERATIONS  
MANAGER; MANAGER, DATA PROCESSING MANAGER, IT MANAGER  
**FROM:** PRODUCT MANAGEMENT  
**SUBJECT:** DTCC LIMIT MONITORING ENHANCEMENTS

Effective Friday, November 21, 2014, NSCC will implement a new notification type sent to users of the DTCC Limit Monitoring tool. The new notification will advise firms in real-time of changes to the set-up of their risk entities. Reported updates will include creation and deletion of risk entities, creation and deletion of trade arrays, updates to the net-notional amount or updates to the content of other fields.

These notifications will be delivered as a standard MQ message or as an email to the contact-management distribution list created by firms within the DTCC Limit Monitoring tool.

**Additional Information**

For questions about this Important Notice, contact your DTCC relationship manager, Client Account Representative at 212-855-4270 or Pawel Pieczara at 212-855-5697 or at [ppieczara@dtcc.com](mailto:ppieczara@dtcc.com).

Pawel Pieczara  
Product Management, Equities Clearance

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_fom.php](http://www.dtcc.com/subscription_fom.php).

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