



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 212
DATE: NOVEMBER 3, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD	05460X125	AXPIW	AXION POWER INTERNATIONAL, INC. WARRANTS EXPIRING 01/24/2016	11/03/2014
ADD	32026V104	FFWM	FIRST FOUNDATION INC COM	11/03/2014
ADD	34963X200	FDVF	FORTUNE DIVERSIFIED INDS INC COM NEW	11/03/2014
ADD	419336102	HGTW	HAVE GUN WILL TRAVEL ENTERTAINMENT, INC. COMMON STOCK	11/03/2014
ADD	49428J109	KE	KIMBALL ELECTRONICS INC COMMON STOCK	11/03/2014
ADD	81783Q105	SVRGF	SEVEN GENERATIONS ENERGY LTD. ORDINARY SHARES (CANADA)	11/03/2014
DELETE				
DELETE	16947V202	CPLY	CHINA PREMIUM LIFESTYLE ENTERPRISE INC COM STK	11/03/2014
DELETE	216844100	COOPQ	COOPERATIVE BANKSHARES INC	11/03/2014
DELETE	23333J108	DTIIQ	DT INDUSTRIES INC	11/03/2014
DELETE	22357R103	COVSV	COVISINT CORP COM W.I. (S/D 11/06/2014)	11/03/2014

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Non-Confidential

DELETE	31429C101	FFCO	FED FIRST FINANCIAL CORP COM	11/03/2014
DELETE	33740N105	FUBC	1ST UNITED BANCORP, INC. (FL) COMMON STOCK	11/03/2014
DELETE	45881M308	IMCB	INTERMOUNTAIN COMMUNITY BANCORP (ID) COMMON STOCK	11/03/2014
DELETE	494274111	KBALV	KIMBALL INTERNATIONAL INC CLASS B EX DIST (S/D 11/06/2014)	11/03/2014
DELETE	49428J109	KEIVV	KIMBALL ELECTRONICS INC COMMON STOCK W.I. (S/D 11/06/2014)	11/03/2014
DELETE	501925101	LSBI	LSB FINL CORP	11/03/2014
DELETE	667872105	NWBM	NORTHWESTERN BANCORP INC (MI)	11/03/2014
DELETE	66978B203	ZKIIF	NOSTRUM OIL & GAS;GDR(EACH REP	11/03/2014
DELETE	76155H105	RBIO	REVO BIOLOGICS INC COMMON STOCK	11/03/2014
DELETE	836068205	SNBNP	SOUND BANKING CO PFD	11/03/2014
DELETE	868828104	SLGTQ	SURGILIGHT INC	11/03/2014
DELETE	87311L104	TWTC	TW TELECOM INC CL A COM	11/03/2014
DELETE	983845108	XRSC	XRS CORP COM STK (MN)	11/03/2014
SEC 12J SUSPENSION	64378H102	NDAC	NEW DRAGON ASIA CORP	11/03/2014
SEC 12K SUSPENSION	918231101	VHGI	VHGI HLDGS INC COM STK (DE)	11/03/2014

PORTAL DELETE

DELETE	66978B104	ZHAIL	NOSTRUM OIL & GAS LP. SPONSORED GDR 144A (ISLE OF MAN)	11/03/2014
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SYMBOL CHANGES

171326101	CHUME TO: CHUM	CHUMA HOLDINGS, INC. COMMON STOCK	11/03/2014
494274103	KBALB TO: KBAL	KIMBALL INTL INC CL B	11/03/2014
644462103	UMMGD TO: NGCG	NEW GENERATION CONSUMER GROUP, INC. COMMON STOCK	11/03/2014
922097100	MINAD TO: VAPI	VAPIR ENTERPRISES INC. NEW COMMON	11/03/2014

REORGANIZATION

DELETE	Y0192H103	AQU	AQUASITION CORP COM STK	
ADD	Y46002104	KBSF	AQUASITION CORP COM STK	11/03/2014
DELETE	Y0192H111	AQUUW	AQUASITION CORP WARRANTS	
ADD	Y46002112	KBSFW	KBS FASHION GROUP LIMITED WARRANTS	11/03/2014
DELETE	Y0192H129	AQUUU	AQUASITION CORP UNIT 1 COM & 1 WT EXP	
ADD	Y46002120	KBSFU	KBS FASHION GROUP LIMITED UNITS	11/03/2014

PORTAL REORGANIZATION

DELETE	85711T107	SZTNZ	STATE NATIONAL COMPANIES, INC. COMMON 144A	
ADD	85711T305	SNC	STATE NATIONAL COMPANIES, INC. COM	11/03/2014

CUSIP CHANGE

DELETE	S92992122	VMRFF	VILLAGE MAIN REEF LIMITED ORDINARY SHARES (SOUTH AFRICA)	
ADD ***	S92992130	VMRFF	VILLAGE MAIN REEF LIMITED ORDINARY SHARES (SOUTH AFRICA)	11/03/2014

* 19C-3 Security rule

*** Foreign Netting Security

**NOTE: ALL SEC 12J & 12K SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY.
ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com**
