



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 214
DATE: NOVEMBER 5, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD	33734X853	FPXI	FIRST TR ETF II INTL IPO ETF (MA)	11/05/2014
ADD	33739P202	FEMB	FIRST TR ETF EMERGING MARKETS LOCAL CURRENCY BD (MA)	11/05/2014
ADD	33739Q200	LMBS	FIRST TR FD IV LOW DURATION MTG OPPORTUNITIES ETF (MA)	11/05/2014
ADD	45764F305	IGCA	INNOVATIVE GAMING CORPORATION OF AMERICA COMMON STOCK	11/05/2014
ADD	60447F101	MDSQF	MINSUD RESOURCES ORDINARY SHARES (CANADA)	11/05/2014
ADD	98420N105	XENE	XENON PHARMACEUTICALS INC COMMON STOCK	11/05/2014
DELETE				
DELETE	591596101	MCOMQ	METRICOM INC	11/05/2014
DELETE	812350130	SHLDR	SEARS HOLDINGS CORP SUBSCRIPTION RTS	11/05/2014
DELETE	84262S105	SNCBQ	SOUTHERN CMNTY BANCSHARES INC (GA)	11/05/2014

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Non-Confidential

PORTAL DELETE

DELETE	48125D746 JPMIZ	JPMORGAN CHASELONDON INDIAN LW EX PR WT 144A/3C7 EXP 11/4/14	11/05/2014
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MARKET MOVE

12665T206	CVSL	CVSL INC. COMMON STOCK	11/05/2014
*		(XNAS TO: XASE)	
14040H709	CPFLP TO: COFPRD	CAPITAL ONE FINANCIAL CORP DEP	11/05/2014
*		SHS RPSTG 1/40TH PFD SER D. (XNAS TO: XNYS)	

SYMBOL CHANGES

P6464E223	MXTS TO: MXTSF	MAXCOM TELECOMUNICACIONES SA DE	11/05/2014
***		CV MEXICO CERT PART ORD REP	
008287203	AFMCD TO: AFMCF	AFRICAN METALS CORPORATION	11/05/2014
		ORDINARY SHARES	
05337B603	AVLRD TO: AVLRF	AVALA RESOURCES LTD. ORDINARY	11/05/2014
		SHARES (CANADA)	
47712W406	JAUGD TO: JAUGF	JET GOLD CORPORATION ORDINARY	11/05/2014
		SHARES (CANADA)	
50544T203	DRIOD TO: DRIO	LABSTYLE INNOVATIONS CORP COMMON	11/05/2014
		STOCK	
530307107	LBDV TO: LBRDA	LIBERTY BROADBAND CORP COM SER A	11/05/2014
		(S/D 11/10/2014)	
530307206	LDBV TO: LBRDB	LIBERTY BROADBAND CORPORATION	11/05/2014
		COMMON STOCK SERIES B	
		(S/D 11/10/2014)	
530307305	LBDKV TO: LBRDK	LIBERTY BROADBAND CORP COM SERIES	11/05/2014
		C COM STK (S/D) 11/10/2014)	
665167201	NFRGD TO: NFRGF	NORTHERN FREEGOLD RESOURCES LTD	11/05/2014
		COMMON SHARES (CANADA)	
746094200	PMBSD TO: PMBS	PURAMED BIOSCIENCE, INC. COMMON	11/05/2014
		STOCK	
89149D106	TSMND TO: TSMNF	TOSCA RESOURCES CORP. ORDINARY	11/05/2014
		SHARES (CANADA)	

REORGANIZATION

DELETE	P69005117 SNCVF	SANLUIS CORPORACION SAB DE CV ORD	
		SHS (MEX)	
ADD ***	P7990M135 SNCVF	RASSINI SAB DE CV ORD PART CERT	11/05/2014
		RPSTG 1 SH-B SH-C 1	
DELETE	Q5797N107 MARXF	MARENICA ENERGY LTD ORDINARY	
		SHARES	
ADD	Q5797N149 MARXF	MARENICA ENERGY LTD SHS NEW	11/05/2014

DELETE	Q22625307	CFSPF	CFS RETAIL PROPERTY TRUST GROUP STAPLED SEC
ADD ***	Q6994B102	CFSPF	NOVION PPTY GROUP STAPLED SECURITY 11/05/2014 (AUSTRALIA)
DELETE	09178V207	BZCN	BIZACTIONS, INC. COMMON STOCK
ADD	13765E107	CGRW	CANNAGROW HOLDINGS, INC. COMMON 11/05/2014 STOCK
DELETE	26927B100	EWSI	E-WASTE SYS INC COM STK (NV)
ADD	26927B209	EWSID	E-WASTE SYSTEMS, INC. COMMON STOCK 11/05/2014

* 19C-3 Security rule
*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com