



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 216
DATE: NOVEMBER 7, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	G12583129	BHMcF	BH MACRO LTD UNITS GBP CLASS (GUERNSEY)	11/07/2014
ADD ***	G9106M108	TSBBF	TSB BANKING GROUP PLC ORDINARY SHARES (UNITED KINGDOM)	11/07/2014
ADD ***	G98785101	YNSGF	YUANSHENGTAI DAIRY FARM LTD. ORDINARY SHARES (BERMUDA)	11/07/2014
ADD ***	J94456118	UHOIF	USHIO, INC. ORDINARY SHARES (JAPAN)	11/07/2014
ADD ***	L0022Z111	ANPXF	ACHERON PORTFOLIO CORP LUXEMBOURG ORD SHS B (LUXEMBOURG)	11/07/2014
ADD	Y8162K139	SBLKL	STAR BULK CARRIERS CORP SR NTS DUE 2019	11/07/2014
ADD	008911877	ACDVF	AIR CANADA INC VTG & VAR VTG SHARES (CANADA)	11/07/2014
ADD	358039105	FRPT	FRESHPET, INC COMMON STOCK	11/07/2014
ADD	376546305	GDVPP	GLADSTONE INVESTMENT CORP CUMULATIVE TERM PFD SERIES B	11/07/2014
ADD	45329R109	INCR	INC RESH HLDGS INC, CLASS A COM	11/07/2014
ADD	576314132	MSTVW	MAST THERAPEUTICS, INC. WARRANTS EXPIRING 11/12/2019	11/07/2014

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Non-Confidential

ADD	576314140	MSTTW	MAST THERAPEUTICS, INC. PRE FUNDED WARRANTS EXP 11/12/2019	11/07/2014
ADD	73937V106	PDBC	POWERSHARES DB OPT YLD DIVERS COMMODITY STRATEGY PORT ETF	11/07/2014
ADD	78010A333	RBCDF	ROYAL BK OF CANADA NONCUMULATIVE 5 YR RATE RESET PFD SER AL	11/07/2014
ADD	89679E300	TBK	TRIUMPH BANCORP, INC. COM	11/07/2014

DELETE

DELETE	M15633106	BWEBF	BACKWEB TECHNOLOGIES LTD	11/07/2014
DELETE ***	Q36898106	FRRLF	FAIRSTAR RESOURCES LTD ORDINARY SHARES (AUSTRALIA)	11/07/2014
DELETE ***	X60005117	ORIOF	ORIOLA-KD CORPORATION SHARES -B- (FINLAND)	11/07/2014

REORGANIZATION

DELETE	25600R200	DNAD	DNA DYNAMICS, INC. COMMON STOCK	
ADD	25600R309	DNADD	DNA DYNAMICS, INC. COMMON STOCK	11/07/2014
DELETE	257875401	DONFF	DONNER METALS LTD ORDINARY SHARES (CANADA)	
ADD	848422101	DONFF	SPHINX RESOURCES LTD ORDINARY SHARES (CANADA)	11/07/2014
DELETE	38175R109	MNTCF	GONDWANA OIL CORP COM SHS (CDA)	
ADD	29880P108	MNTCF	EUROPEAN METALS CORP. ORDINARY SHARES (CANADA)	11/07/2014
DELETE	38984G203	GAMR	GREAT AMERICAN GROUP INC COM STK (DE)	
ADD	05580M108	RILY	B. RILEY FINANCIAL, INC. COMMON STOCK	11/07/2014
DELETE	67176P101	OKME	OAK RIDGE ENERGY TECHNOLOGIES, INC. COMMON STOCK	
ADD	673833109	OGES	OAKRIDGE GLOBAL ENERGY SOLUTIONS INC COMMON STOCK	11/07/2014
DELETE	705343101	BASCF	PEDRO RESOURCES LTD ORD SHS (CANADA)	
ADD	705343200	BASCD	PEDRO RESOURCES LTD. NEW ORDINARY SHARES	11/07/2014
DELETE	761378108	RVEN	REVEN HOUSING REIT INC COM STK (CO)	
ADD	761378207	RVEND	REVEN HOUSING REIT, INC. COMMON STOCK	11/07/2014
DELETE	78484X108	STWS	STW RESOURCES HOLDING CORP COMMON STOCK	

ADD	78484X207	STWSD	STW RESOURCES HOLDING CORP NEW COMMON STOCK	11/07/2014
DELETE	86770Y102	SUIP	SUNRISE MNG CORP COM STK (NV)	
ADD	29976N108	ECGI	EVENT CARDIO GROUP, INC. COMMON STOCK	11/07/2014

CUSIP CHANGE

DELETE	G21101103	CHOP	CHINA GERUI ADVANCED MATERIALS GROUP LTD ORD SHS	
ADD	G21101137	CHOP	CHINA GERUI ADVANCED MATERIALS GROUP LTD ORD SHS	11/07/2014

* 19C-3 Security rule
 *** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com