

DTC will accept any value in “New Global Limit” field, but if you choose to populate this field, clients should take note of the following processing rules:

- “0” (a zero): DTC will consider you to be an early adopter and begin to route all of your ID receives to RAD where they will await further action by your firm.
- A value less than DTC’s default limits: DTC will route ID receives to RAD in accordance with its default limits.
- A value greater than DTC’s default limits: DTC will route ID receives to RAD that are greater than its default limits.
 - If the ID receive is equal to or in excess of the global limit you’ve defined, the ID receive will be routed to RAD where it will await further action by your firm.
 - If the ID receive is less than your global limit BUT equal to or greater than DTC’s default limit, the ID receive will be routed to RAD where it will be systemically approved by DTC (caution: transactions below the global limit but above the DTC default limit will be treated as original deliveries and not override DTC’s risk controls and will be subject to the receiver’s approval).

Questions regarding this important notice may be directed to your Relationship Manger.

