



*Important Notice*

**National Securities Clearing Corporation**

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| <b>P&amp;S #:</b> | <b>7528</b>  |
| <b>A#:</b>        | <b>7953</b>  |
| <b>Date:</b>      | <b>December 08, 2014</b>   |
| <b>To:</b>        | <b>All Participants</b>  |
| <b>Attention:</b> | <b>Managing Partner/Officer, P&amp;S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants</b> |
| <b>From:</b>      | <b>Connectivity, Activation and Production Support (CAPS)</b>  |
| <b>Subject:</b>   | <b>CHRISTMAS HOLIDAY SCHEDULE</b>  |

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces, CMU/RTTM, and all New York Banks will be closed on Thursday, December 25, 2014 in observance of Christmas Day. The following changes in NSCC's operations and schedules will be in effect.

**COMPARISON SYSTEMS**

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date Wednesday, December 24, 2014 will be accepted no later than 8:00 pm on Wednesday, December 24, 2014.

**Trade Capture & Reporting:**

No UTC processing will take place on Thursday, December 25, 2014.

**CNS CONTINUOUS NET SETTLEMENT**

Seg. Exemptions and Priority Requests for settlement of Friday, December 26, 2014 will be accepted on Wednesday Evening, December 24, 2014.

**CENTRAL DELIVERY AND SETTLEMENT**

No Delivery Services or Settlement Operations will be provided on Thursday, December 25, 2014.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

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## **INTER-CITY DELIVERIES**

Due to the legal holiday in Canada on Friday, December 26, 2014 (Boxing Day), all inter-city deliveries received by NSCC on Wednesday, December 24, 2014 for delivery to Canadian Participants only will settle on Monday, December 29, 2014.

## **ACATS- AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE**

No ACATS activity will be accepted on Thursday, December 25, 2014.

## **ACATS - FUND/SERV INTERFACE:**

No ACATS-Fund/SERV Interface activity will be accepted on Thursday, December 25, 2014.

## **ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Thursday, December 25, 2014.

## **OBLIGATION WAREHOUSE**

OW will be closed for the business day Thursday, December 25, 2014. No input will be received or processed and no output generated for OW. Any submission after close of business Wednesday, December 24, 2014 should be for the next business date. Normal processing will resume for business day Friday, December 26, 2014.

## **DTCC PAYMENT aXis**

No DTCC Payment aXis activity will be accepted on Thursday, December 25, 2014.

## **FUND/SERV, NETWORKING & OMNI/SERV**

No Fund/SERV, Networking or OMNI/SERV activity will be accepted on Thursday, December 25, 2014. There will be no distribution of any Fund/SERV, Networking or DSA and DSP data on Thursday, December 25, 2014.

## **MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)**

No MFPSI or MFPSII activity will be accepted on Thursday, December 25, 2014.

## **INSURANCE PROCESSING**

IPS files received on Thursday, December 25, 2014 will be processed. **POV files will be transmitted on Thursday, December 25, 2014.** Subsequent output regarding all other files will be produced and distributed on Friday, December 26, 2014.

Questions regarding this Important Notice should be directed to your DTCC Relationship Manager, Client Account Representative, or the undersigned at (212) 855-5947.

Robert Famigletti  
Manager  
Connectivity Activation and Production  
Support