



P&S #:	7529
A#:	7954
Date:	December 08, 2014
To:	All Participants
Attention:	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
From:	Connectivity, Activation and Production Support (CAPS)
Subject:	NEW YEAR'S DAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces, CMU/RTTM and all New York Banks will be closed on Thursday, January 1, 2015 in observance of New Year's Day. The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date Wednesday, December 31, 2014 will be accepted no later than 8:00 pm on Wednesday, December 31, 2014.

Trade Capture & Reporting:

No UTC processing will take place on Thursday, January 1, 2015.

CNS CONTINUOUS NET SETTLEMENT

Seg. Exemptions and Priority Requests for settlement of Friday, January 2, 2015 will be accepted on Wednesday Evening, December 31, 2014.

CENTRAL DELIVERY AND SETTLEMENT (ESS)

No Delivery Services or Settlement Operations will be provided on Thursday, January 1, 2015.

INTER-CITY DELIVERIES (IESS)

Inter-City Deliveries will be accepted on Wednesday, December 31, 2014 for settlement Friday, January 2, 2015.

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Non-Confidential

ACATS - AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE

No ACATS activity will be accepted on Thursday, January 1, 2015.

ACATS - FUND/SERV INTERFACE

No ACATS-Fund/SERV Interface activity will be accepted on Thursday, January 1, 2015

ACATS/IPS

No ACATS/IPS Interface activity will be accepted on Thursday, January 1, 2015.

OBLIGATION WAREHOUSE

The Obligation Warehouse (OW) will be closed for business on Thursday, January 1, 2015. No input will be received or processed and no output generated for OW. Any submission after close of business Wednesday, December 31, 2014 should be for the next business date of January 2, 2015.

DTCC PAYMENT aXis

No DTCC Payment aXis activity will be accepted on Thursday, January 1, 2015.

FUND/SERV, NETWORKING & OMNI/SERV

No Fund/SERV, Networking or OMNI/SERV activity will be accepted on Thursday, January 1, 2015. There will be no distribution of any Fund/SERV, Networking or DSA and DSP data on Thursday, January 1, 2015.

MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)

No MFPSI or MFPSII activity will be accepted on Thursday, January 1, 2015.

INSURANCE PROCESSING

IPS files received on Thursday, January 1, 2015 will be processed. POV files will be transmitted on Thursday, January 1, 2015. Subsequent output regarding all other files will be produced and distributed on Friday, January 2, 2015.

Questions regarding this Important Notice should be directed to your DTCC Relationship Manager, Client Account Representative, or the undersigned at (212) 855-5947.

Robert Famigletti
Manager
Connectivity, Activation and Production Support