

B #:	0361-14
Date:	3/17/2014
To:	All Participants
Category:	Settlement
From:	Settlement Product Management
Attention:	Settlement Manager / Managing Director / Cashier
Subject:	Connectivity To DTC's UTOR Testing Environment

There are a number of major Settlement initiatives currently scheduled for production implementations, e.g., Settlement Matching. As some of these initiatives may require development and / or user acceptance testing by participants, DTC would like to take this opportunity to suggest that clients gain access to the UTOR test region for manual (Settlement Web U) and / or automated (MQ) processing.

Settlement Web

By using the "Settlement Web U" user interface, clients can process test transactions and become familiar with changes before they are included into DTC's production environment.

To access the Settlement Web U in the UTOR test region, clients should complete the following steps:

- First, ensure the accounts you'd like to test with have Settlement Web/PBS connectivity to the "U" test region by emailing pipequests@dtcc.com with your participant account details. If necessary, participants may be required to complete a router form and establish connectivity (please note that this step will take approximately ten days to complete after DTCC has received a correctly completed form).
- Next, verify that you have an access coordinator for the Settlement Web U product by contacting the Customer Support Center at csc@dtcc.com.
- Then have your Settlement Web U access coordinator provision the user IDs you'll be using to test with the proper roles.
- If needed, DTCC's Connectivity Activation Production Support group can assist participants with testing in the UTOR test region. They can be reached pipequests@dtcc.com.

Automated Messaging

By using MQ, clients can send and receive automated messages in DTCs UTOR region to test new or amended file layouts before they are introduced into production, as well as testing new internal processes.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

To process automated messaging in the UTOR test region, clients should complete the following steps:

- Determine if your participant number has MQ connectivity to DTC's UTOR environment by emailing pipequests@dtcc.com. Please be sure to include your Participant number and contact details. If it is determined that you do not have MQ connectivity, please follow the steps in Appendix 1 prior to moving to the next step.
- Request the output that you would like to receive. Included in the request should be:
 - The participant numbers for which you'd like to receive output
 - Your name, email, and phone number
 - Your Queue Manager Alias and Queue Name you'd like DTC to write to (if available)
- Note that clients will not need to request input permission for the UTOR region; messages can simply be sent once MQ connectivity is established.

Clients with any questions regarding the above can reach DTCC's Connectivity Activation Production Support group at 888-382-2721, option 5, option 3.

Participants requiring further assistance with the coordination of this effort should contact DTCC's Client Account Representative Team at 212-855-4270 (rmsupport@dtcc.com).

Appendix 1 - MQ Connectivity

To set up a DTCC Smart connection:

- Verify if a new circuit is needed by contacting pipequests@dtcc.com. As most customers have a circuit in place, this step should not be required.
 - If a new circuit is needed in order to setup the MQ channel, then a circuit request form must be completed by the customer.
 - Upon receipt of the completed request form, DTCC will begin the circuit order/install process. A circuit generally takes 6 to 8 weeks to get ordered and installed

In order to allow MQ to an existing/new circuit:

- Complete a SMART Router Exchange Form (REF) and an MQ Page One for the PSE/UAT environment and return them to pipequests@dtcc.com.
- When confirmed complete and correct, these forms will be assigned a PIP Connection Request Number (which will be provided to the customer). Both the REF and MQ requests are processed in parallel and are usually completed within 10 business days.
- Once the above MQ request has been completed, DTCC will return an MQ Page Two which contains information needed to complete the channel(s) setup on the customer's side.