



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 059
DATE: MARCH 27, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	G5205K175	JQMPF	J.P.MORGAN PRIVATE EQUITY LTD SHS (GUERMSEY)	03/27/2014
ADD	G6856M122	OXBRU	OXBRIDGE RE HOLDINGS LTD UNIT	03/27/2014
ADD ***	Q1615N106	CBRGF	CABCHARGE AUSTRALIA LIMITED ORDINARY SHARES (AUSTRALIA)	03/27/2014
ADD ***	Y0608V126	BGKJF	BANGKOK LIFE ASSURANCE LTD UTS NON -VTG DEPO RECPT (THIL)	03/27/2014
ADD	03820J100	AGTC	APPLIED GENETIC TECHNOLOGIES CORP COM	03/27/2014
ADD	85223W101	SQBK	SQUARE 1 FINANCIAL INC COM	03/27/2014
ADD	87075J105	SWFR	SWIFT START CORP COM STK	03/27/2014
PORTAL ADD				
ADD	670656883	NRKFZ	NUVEEN NY ANT-FREE NT MUNI INCM FD INST MUNIFUND TR PFD 144A	03/27/2014
ADD	67070X804	NZFFZ	NUVEEN DIV ADVANTAGE MUNI FD 3 INSTL MUNIFD TER PFD 144A	03/27/2014

DELETE

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DELETE	081851206	BNGXF	BENGUET CORPORATION CLASS B (PHILLIPPINES)	03/27/2014
DELETE	92850E107	VTNC	VITRAN CORPORATION INC	03/27/2014
SEC 12K SUSPENSION	00750W101	CANN	ADVANCED CANNABIS SOLUTIONS, INC. COMMON STOCK	03/27/2014
SEC 12K SUSPENSION	425466109	HDRX	HENDRX CORP	03/27/2014
SEC 12K SUSPENSION	727606105	PLNU	PLASTINUM POLYMER TECHNOLOGIES CORP COMMON STOCK	03/27/2014
SEC 12K SUSPENSION	92534X109	VBDG	VERTICAL BRANDING, INC. COMMON STOCK	03/27/2014
SEC 12K SUSPENSION	966849101	WUFG	WHY USA FIN'L GROUP INC	03/27/2014
SEC 12K SUSPENSION	98376R209	XNEZ	XNE INC COM STK (NV)	03/27/2014

MARKET MOVE

253868871	DLRDP TO: DLRPRH	DIGITAL REALTY TRUST, INC.	03/27/2014
*		REDEEMABLE PREFERRED STOCK SER H (XNAS TO: XNYS)	

SYMBOL CHANGES

25532B109	DDRI TO: DDRIE	DIVERSIFIED RES INC NEV COMMON STOCK	03/27/2014
36465C303	GPLAD TO: GPLA	GAMEPLAN INC COM STK (NV)	03/27/2014
42225V102	HCCAE TO: HCCA	HEALTHCARE CORPORATION OF AMERICA COMMON STOCK	03/27/2014
559616107	MIFC TO: MIFCE	MAGNOLIA LANE INCOME FUND. NEW COMMON	03/27/2014
694933300	PPZRD TO: PPZRF	PACIFIC TOPAZ RESOURCES LTD ORDINARY SHARES	03/27/2014
904197100	UMAX TO: UMAXE	UMAX GROUP CORPORATION COMMON STOCK (NV)	03/27/2014

REORGANIZATION

DELETE	449832104	PNCH	IC PUNCH MEDIA INC COM	
ADD	44930W102	PNCHD	IC PLACES, INC. (NEW) COMMON STOCK	03/27/2014
DELETE	58934T105	MRCDD	MERCULITE DISTRIBUTING, INC. COMMON STOCK	
ADD	09228G101	MRCDD	BLACKCRAFT CULT INC COM	03/27/2014
DELETE	973153109	SOLH	WINDAUS GLOBAL ENERY INC COM	
ADD	97382J102	WSTI	WINDSTREAM TECHNOLOGIES INC COM	03/27/2014
DELETE	F11235128	BOUBF	BOURBON SA ORD SHS (FRANCE)	
ADD	F11235136	BOUBF	BOURBON SA ORDINARY SHARES (FRANCE)	03/27/2014

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NAME CHANGE

DELETE	46428C100	IUNSF	ISHARES S&P/TSX CAPPED ENERGY INDEX FD (CANADA)	
ADD	46428C100	IUNSF	ISHARES S&P/TSX CAPPED ENERGY INDEX ETF (CANADA)	03/27/2014
DELETE	46428D108	IUTSF	ISHARES S&P/TSX 60 INDEX FD (CDA)	
ADD	46428D108	IUTSF	ISHARES S&P/TSX 60 INDEX ETF (CDA)	03/27/2014
DELETE	46428L100	IUNTF	ISHARES MSCI EAFE INDEX FUND CAD-HEDGED (CANADA)	
ADD	46428L100	IUNTF	ISHARES MSCI EAFE INDEX ETF CAD HEDGED (CANADA)	03/27/2014
DELETE	46428M108	IUTCF	ISHARES S&P/TSX GLOBAL GOLD INDEX FUND (CANADA)	
ADD	46428M108	IUTCF	ISHARES S&P/TSX GLOBAL GOLD INDEX (CANADA)	03/27/2014
DELETE	46430H105	ISPTF	ISHARES S&P/TSX COMPLETION INDEX FD (CANADA)	
ADD	46430H105	ISPTF	ISHARES S&P/TSX COMPLETION INDEX ETF (CANADA)	03/27/2014
DELETE	46430J101	IRSHF	ISHARES S&P/TSX CAPPED COMPOSITE INDEX FUND UNIT (CANADA)	
ADD	46430J101	IRSHF	ISHARES S&P/TSX CAPPED COMPOSITE INDEX ETF (CANADA)	03/27/2014
DELETE	46430K108	ISDXF	ISHARES DEX ALL CORPORATE BOND INDEX FUND (CANADA)	
ADD	46430K108	ISDXF	ISHARES CANADIAN CORP BOND INDEX ETF (CANADA)	03/27/2014
DELETE	46430L106	ISHXF	ISHARES DEX ALL GOVERNMENT BD INDEX FD(CANADA)	
ADD	46430L106	ISHXF	ISHARES CANADIAN GOVERNMENT BD INDEX ETF (CANADA)	03/27/2014
DELETE	46430M104	IOOXF	ISHARES DEX LONG TERM BOND INDEX FUND (CANADA)	
ADD	46430M104	IOOXF	ISHARES CANADIAN LONG TERM BD INDEX ETF (CANADA)	03/27/2014
DELETE	46430T109	ISARF	ISHARES DEX UNIVERSE BD INDEX FD (CANADA)	
ADD	46430T109	ISARF	ISHARES CANADIAN UNIVERSE BD	03/27/2014

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INDEX ETF (CANADA)

DELETE	46430W102	ISDJF	ISHARES DOW JONES CDA SELECT DIVID INDEX FD UNITS (CANADA)	
ADD	46430W102	ISDJF	ISHARES DOW JONES CDA SELECT DIVID INDEX ETF (CANADA)	03/27/2014
DELETE	46431A109	INITF	ISHARES DEX SHORT TERM BD INDEX FD (CDA)	
ADD	46431A109	INITF	ISHARES CANADIAN SHORT TERM BD INDEX ETF (CANADA)	03/27/2014
DELETE	46431B107	ITSXF	ISHARES S&P/TSX CAPPED FINANCIALS INDEX FD (CANADA)	
ADD	46431B107	ITSXF	ISHARES S&P/TSX CAPPED FINANCIALS INDEX ETF (CANADA)	03/27/2014
DELETE	46431C105	ICDXF	ISHARES DEX REAL RETURN BD UIT (CDA)	
ADD	46431C105	ICDXF	ISHARES CANADIAN REAL RETURN BD INDEX ETF (CDA)	03/27/2014
DELETE	46431D103	IUSPF	ISHARES S&P/TSX CAPPED REIT INDEX FUND CANADA	
ADD	46431D103	IUSPF	ISHARES S&P/TSX CAPPED REIT INDEX ETF CANADA	03/27/2014
DELETE	46431E101	ISESF	ISHARES S&P/TSX CAPPED MATERIALS INDEX FUND (CANADA)	
ADD	46431E101	ISESF	ISHARES S&P/TSX CAPPED MATERIALS INDEX ETF (CANADA)	03/27/2014
DELETE	46431F108	ISHAF	ISHARES DIVERSIFIED MONTHLY INCOME UNIT (CANADA)	
ADD	46431F108	ISHAF	ISHARES DIVERSIFIED MONTHLY INCOME ETF UNIT (CANADA)	03/27/2014
DELETE	46431N101	ICDZF	ISHARES DEX HYBRID BOND INDEX FUND UNIT (CANADA)	
ADD	46431N101	ICDZF	ISHARES CANADIAN HYBRID CORP BD INDEX ETF (CANADA)	03/27/2014
DELETE	46434P103	IXLCF	ISHARES S&P GLOBAL CONSUMER DISCRETIONARY INDEX FUND (CDA)	
ADD	46434P103	IXLCF	ISHARES S&P GLOBAL CONSUMER DISCRETIONARY INDEX ETF CAD HEDGED	03/27/2014

DELETE	46434Q101	IXLDF	ISHARES S&P GLOBAL INDUSTRIALS FD CAD HEDGED EQ ETF (CDA)	
ADD	46434Q101	IXLDF	ISHARES S&P GLOBAL INDUSTRIALS INDEX ETF CAD HEDGED	03/27/2014

WHEN ISSUE

ADD	38259P128	GOOAV	GOOGLE INC COM STK EX-DIST W.I.	03/27/2014
ADD	38259P706	GOOCV	GOOGLE INC CLASS C W.I.	03/27/2014

* 19C-3 Security rule

*** Foreign Netting Security

NOTE: ALL SEC 12J & 12K SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY.

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
