



Important Notice
The Depository Trust Company

B #:	0384-14
Date:	March 3, 2014
To:	All Participants
Category:	Operations
From:	Settlement & Asset Services Product Management
Attention:	Operations Managers/Officers/Cashiers
Subject:	DWAC Centralized Billing – Transfer Agent Fees - REVISED

The chart attached below details the Transfer Agent DWAC fees that are billed via DTCC’s DWAC Centralized Billing:

#	TA NAME	TA NUMBERS	DWAC DEPOSIT FEE	DWAC WITHDRAWAL FEE	START DATE
1	Registrar and Transfer	2783	\$ 90.00	\$ 90.00	6/1/2013
2	Continental Stock Transfer	9920, 9926, 9979, 50017, 50209, 50244	\$ 80.00	\$ 80.00	6/1/2013
3	Wells Fargo	2665	\$ 85.00	\$ 85.00	7/1/2013
4	American Stock Transfer	2941, 50230	\$ 100.00	\$ 100.00	8/1/2013
5	DB Services America, Inc	9033	\$ 85.00	\$ 85.00	8/1/2013
6	First American Stock Transfer	50140	\$ 70.00	\$ 70.00	9/1/2013
7	BNY Mellon Corp. Trust	2735, 50073, 50112	\$ 85.00	\$ 85.00	10/1/2013
8	Broadridge Issuer Solutions	50054	\$ 75.00	\$ 75.00	10/1/2013
9	Olde Monmouth	50207	\$ 110.00	\$ 110.00	10/7/2013
10	World Wide Stock Transfer	50212	\$ 50.00	\$ 50.00	11/1/2013
11	Colonial Stock Transfer	50221	\$ 50.00	\$ 50.00	1/1/2014
12	Computershare	50150, 50108, 50173, 50156, 50237, 50238, 50239, 50241	\$ 75.00	\$ 75.00	1/6/2014
13	US Bank	9968, 50133, 50135, 50151	\$ 75.00	\$ 75.00	2/1/2014
14	BNP	50010	\$ 85.00	\$ 85.00	3/1/2014

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NOTES:

Continental Stock Transfer will bill Compliance DWACs outside of DTCC.

American Stock Transfer will bill \$250 for DWAC certificate requests, outside of DTCC.

Participants can receive daily updates on their DWAC transactions, including the transfer agent fees charged to them, in the daily ***STS DIRECT DEP/WT PARTICIPANT BILLING REPORT*** on SMART/Search.

The information is also available via file transfer via the daily ***DWCPAB*** file. The documentation for the file can be found at: <http://dtcc.com/~media/Files/Downloads/Settlement-Asset-Services/CCF/securities%20processing/17DWCPAB.ashx>

Questions regarding this notice can be directed to Joe Clemente at (813) 470-1228 / jpclemente@dtcc.com, your Client Account Representative or your Relationship Manager.