



Important Notice
National Securities Clearing Corporation

A#:	7820
P&S #:	7390
Date:	04/11/2014
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will no longer participate in the ACATS service, as detailed below:
Last Trade Date, April 17, 2014:
 The Bank of New York Mellon/Mellon Trust of New England N.A. No. 0954
- The following firm will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, April 14, 2014:
 Gold Bullion International, LLC No. 1142
- Please update the NSCC Directory dated April 2014 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, April 8, 2014</u>				
Krueger Brokerage, Inc.		0235	KRUG	
Braver, Stern Securities Corp.	BSSC	0352	BRAV	
<u>Add First Trade Date, April 8, 2014</u>				
High Point Capital Group, Inc.	ETCC	0873	COHI	
<u>Add First Trade Date, April 9, 2014</u>				
Integrated Trading and Investments, Inc.	IBKR	0017	INII	
<u>Add First Trade Date, April 10, 2014</u>				
Concept Capital Markets, LLC	IBFS	0824	CMKT	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.

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