



Important Notice
National Securities Clearing Corporation

A#:	7826
P&S #:	7396
Date:	04/25/2014
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, April 30, 2014:

Rafferty Capital Markets, LLC/Longleaf Partner Funds

No. 4863

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to ALPS Distributors, Inc. (#5642) for the purpose of utilizing Fund/SERV, Networking, ACATS Fund/SERV and MF – Profile services, as detailed below:

First Trade Date, May 1, 2014:

ALPS Distributors Inc./Longleaf Partner Funds

No. 4863

Networking Symbol:

KM

Contact: James Howard

Phone: (508) 871-4608

- The following firm will retire from all services, as detailed below:

Last Trade Date, April 25, 2014:

Pershing LLC-Special Acct

No. 0452

- The following firm will no longer participate in the Trade Comparison, Trade Recording, Obligation Warehouse and Envelope Settlement services, as detailed below:

Last Trade Date, April 25, 2014:

Chicago Board Options Exchange, Inc.

No. 0739

- The following firm will begin participation in the Omni/SERV 529 Aggregation service, as detailed below:

First Trade Date, April 24, 2014:

ING Investments Distributor, LLC

No. 5734

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Non-Confidential

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, April 25, 2014:

UMB Fund Services, Inc. No. 1534

First Trade Date, April 29, 2014:

SS&C Technologies, Inc. No. 1623
 SS&C Technologies, Inc. No. 1624
 SS&C Technologies, Inc. No. 1626
 SS&C Technologies, Inc. No. 1627
 SS&C Technologies, Inc. No. 1629

- The following additional number has been assigned to Quasar Distributors LLC (#5368) for the purpose of utilizing Fund/SERV service, as detailed below:

First Trade Date, April 24, 2014:

Quasar Distributors LLC/Muzinich Offshore Funds No. 3058

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking and ACATS Fund/SERV services as detailed below:

First Trade Date, May 1, 2014:

Ultimus Fund Distributors LLC/Wavelength Funds No. 3052
 Networking Symbol: L0
 Contact: Doug Jones
 Phone: (513) 587-3408

- Please update the NSCC Directory dated April 2014 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, April 21, 2014

Franklin Street Securities, Inc.	PERS	0443	FSST
FBT Investments, Inc.	PERS	0443	FBTI
Highlander Capital Group, Inc.	PERS	0443	HIGH

Add First Trade Date, April 25, 2014

Wunderlich Securities, Inc.	MLCO	0161	WNDR
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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, April 28, 2014</u>				
From: Green Street Advisors, Inc.	PERS	0443	GNAV	
To: Green Street Advisors, Inc.	BSSC	0352	GNAV	
<u>Delete Last Trade Date, April 21, 2014</u>				
Saxony Securities, Inc.	PERS	0443	SAXO	
Hallmark Investments, Inc.		0235	HMRK	
<u>Delete Last Trade Date, April 23, 2014</u>				
Bianco Financial Inc.	PFCL	0158	BFIB	
<u>Delete Last Trade Date, April 25, 2014</u>				
Tahoe Fixed Income LLC	SALI	0750	TAFI	
<u>Add First Trade Date, April 22, 2014</u>				
Dinosaur Securities, L.L.C.	IBKR	0017	DNSR	
Arbor Research & Trading, LLC.	ICBK	0388	ARTI	
<u>Add First Trade Date, April 25, 2014</u>				
Dinosaur Securities, L.L.C.		0235	RPTR	
<u>Add First Trade Date, April 28, 2014</u>				
KCG Americas LLC	NITC	0295	KCGM	
Robinhood Financial, LLC.	PFCL	0158	CRFN	

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker and Executing Broker Name Change Effective First Trade Date, April 28, 2014</u>				
From: Tahoe Fixed Income LLC	SALI	0750	TAFI	
To: Nathan Hale Capital, LLC	PERS	0443	TAFI	
<u>Add First Trade Date, April 25, 2014</u>				
First Partners Bank Investment Division	SALI	0750	FPBK	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.