



Important Notice
National Securities Clearing Corporation

A#:	7817
P&S #:	7387
Date:	04/04/2014
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will no longer participate in the Networking service, as detailed below:

Last Trade Date, April 4, 2014:

Country Capital Management Company No. 7937

- The following firms will change their names only, as detailed below:

First Trade Date, April 7, 2014:

From: Electronic Brokerage Systems, LLC No. 0627

To: Dash Financial LLC No. 0627

First Trade Date, April 9, 2014:

From: Gabelli and Company/Gabelli Funds-(NFDS) No. 5574

To: G.Research, Inc. No. 5574

- The following firm will begin participation in the MF – Daily Price & Rate service, as detailed below:

First Trade Date, April 9, 2014:

Archer Distributors LLC/Arrow Funds No. 4824

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price & Rate, DTCC Payment aXis, ACATS and ACATS Fund/SERV services, as detailed below:

First Trade Date, April 4, 2014:

Foreside Fund Services, LLC/Context Capital Funds No. 3047

Networking Symbol: 6U

Contact: Brandon Stultz

Phone: (207) 347-2020

- The following additional number has been assigned to Global Trust Company (#5915) for the purpose of utilizing Fund/SERV, Networking and MF – Daily Price & Rate services, as detailed below:

First Trade Date, April 7, 2014:

Global Trust Company/Standish	No. 3048
Networking Symbol:	9J
Contact: Christopher Hulse	
Phone: (781) 970-5015	

- Please update the NSCC Directory dated March 2014 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Executing Broker Name Change Effective First Trade Date, April 3, 2014

From: Knight Libertas LLC	PERS	0443	LIBE
To: KCG Americas LLC	PERS	0443	LIBE

Delete Last Trade Date, March 31, 2014

Cobra Trading, Inc.	VSIN	0595	CBRV
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Delete Last Trade Date, April 1, 2014

First New York Securities, LLC	BSSC	0352	FNYS
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- Please update the NSCC Directory dated March 2014 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, March 31, 2014

Minnesota Valley Investments		0235	MVII
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Delete Last Trade Date, April 1, 2014

JFD Securities, Inc.	MLCO	0161	JFDS
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Delete Last Trade Date, April 2, 2014

ICAP Corporates LLC	SILK	0501	GARZ
Gleacher & Company Securities, Inc.	PERS	0443	BPSG
Gleacher & Company Securities, Inc.	PERS	0443	GLCH
RD Capital Group, Inc.	PERS	0443	RDCG

Delete Last Trade Date, April 3, 2014

Bayes Capital LLC

LSCI 0512 BAYY

Delete Last Trade Date, April 4, 2014

Lime Brokerage LLC

FLTG 0330 LIFO

Add First Trade Date, April 1, 2014

Joseph Grace

WEDB 0103 JGRC

Add First Trade Date, April 2, 2014

Acorns Securities, LLC

0235 ACRN

Add First Trade Date, April 7, 2014

Hovde Group, LLC

WEDB 0103 HOVD

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.