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DATE:	MAY 21, 2014
TO:	ALL PARTICIPANTS
ATTENTION:	MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER, COMPLIANCE OFFICER
FROM:	PRODUCT MANAGEMENT
SUBJECT:	SATURDAY MAY 31, 2014 WEB TEST PLANS FOR REPLATFORMING INITIATIVE FOR CORPORATE BOND, MUNICIPAL BOND, UIT (CMU) REAL TIME TRADE MATCHING (RTTM) SYSTEM

As detailed in Important Notice A7672 dated January 30, 2014¹ the CMU RTTM system is undergoing a major rewrite, which will modernize the CMU RTTM software, upgrade CMU RTTM Web, eliminate obsolete processing and port the application to DTCC approved hardware.

Saturday May 31, 2014 Test of Production Region for New CMU RTTM Web

On Saturday May 31, 2014 from 8:00 a.m. – noon ET, the DTCC Web Portal for the new CMU RTTM Web Production website will be made available for testing.

Only users, who have been provisioned by their Super Access Coordinator for new CMU RTTM Web will be able to test. The DTCC Portal for new CMU RTTM Web is available for connectivity testing now and connectivity testing is a prerequisite for entry into the test on May 31. Firms’ Operators (testers) must have accessed the new CMU RTTM Web in the Production region (CMU) in the DTCC Portal prior to May 29 (see details below).

Firms should click on “CMU” from the DTCC Web Portal, not ‘CMU RTTM-PSE” when testing on Saturday. On that day, users will have access to RTTM Web screens, and will not see ‘Site Temporarily Unavailable’ on this page.

While the Saturday test is optional for Members, DTCC encourages firms to have one or more users logon and test new CMU RTTM Web on May 31 to ensure connectivity and functionality in the future new Production environment. Each location of a Member with CMU RTTM Web should test to ensure access from that location. Firms will need to logon from their internal Network.

¹ <http://www.dtcc.com/~media/Files/pdf/2014/1/30/a7672.ashx>

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Functionality Available to be Tested on May 31 via New CMU RTTM Web:

- **Trade Input:** The Processing Day for the test will be 5/30/14. Trade Input includes Initial Trade Entry, Cancel, Modify, Affirm, and DK. DTCC will facilitate Affirm and DK testing by entering two trades in each market for firms to Affirm/DK. Trades can be submitted versus actual contra party #s and Correspondent Symbols (MPIDs).
- **Trade View and Potential Trade Matches**
- **Report Center:** Access to previous days' reports.
- **Trade Search functionality:** RTTM's new feature for Inactive Trade History will contain data for Inactive trades for the seven days prior to 5/30/14.
- **New Trade Note Functionality:** To send a trade note to the contra side.

Trade Input on May 31 Test Will Not Impact Actual Production

Trades input on May 31 will be dead ended by DTCC and will not be processed in actual RTTM production.

MQ Message testing is not part of the Saturday test, only new CMU RTTM Web is available. MSRB replies to Muni trades will not be part of this test.

Eligible Counter Parties for Trade Submissions

Firms can enter trades vs. actual Participant #s for the test. Firms can also enter vs. the test account #s 0122 (QAWJ) and 0123 (QA AE).

Notifying DTCC of Plans to Participate in Test

Please email the undersigned at efanning@dtcc.com if you plan to test on May 31 and did not notify DTCC via a previous DTCC survey.

New CMU RTTM Web User Guide

Please review the RTTM Web User guide prior to the test. The guide has been sent to your Super Access Coordinator and is also available at <https://dtcclearning.com/learning/cmu/>.

DTCC Contacts On May 31

Users that have questions during the Saturday May 31 should email cmutesting@dtcc.com or call the undersigned at (212) 855-7623, Kelly Kilroy at (813) 470-1033, or John Mullaly at (212) 855-4134.

Feedback on May 31 CMU Test

Feedback on the new RTTM Web system test should be sent to cmutesting@dtcc.com. Please cc. efanning@dtcc.com.

New CMU RTTM Web User Requirements for May 31 Test

1. Firms' Operators (testers) must have accessed the new CMU RTTM Web in the Production region in the DTCC Portal prior to May 29 and have verified the following:
 - a. Operators have tested new CMU RTTM Web Production (CMU) and obtained the "Site Temporarily Unavailable" page. Operators who plan to test on May 31 must verify they can access this web page.
 - b. Operators must have already tested new CMU RTTM Web functionality (submitting/viewing trades) in the CMU RTTM PSE test region.
2. Operators testing on May 31 should access new CMU RTTM Web, now, from the location they plan to test from on May 31. (i.e., if they are testing from home on May 31, they should test connectivity from home prior to May 31.)

The implementation date of new CMU RTTM will be announced in a subsequent Important Notice.

If you have any questions regarding new CMU RTTM, please contact your Relationship Manager, CNS Operations at 888-382-2721 option 2, option 2, the Client Account Representative Team at 212-855-4270 option 1, or the undersigned at 212-855-7623 or efanning@dtcc.com.

Ed Fanning
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