



B #:	1046-14
Date:	May 27, 2014
To:	All Clients
Category:	Operations
From:	Asset Services – Corporate Actions Transformation Product Management Team
Attention:	Managing Directors/Vice Presidents/Managers/Supervisors
Subject:	Access to Corporate Action Event Announcements via Corporate Actions Web (CA Web) For Clients Not Engaged In ISO 20022 Testing

In advance of the Corporate Actions Web (CA Web) parallel testing period which will begin on June 23, 2014, clients have been given access to Announcements functionality in CA Web. This access enables clients to view corporate action announcements for all event types – Distributions, Redemptions and Reorganizations. This has been especially useful to firms that have been engaged in testing the ISO 20022 messages that will replace CCF files for Distribution events in December 2015.

Beginning May 30, 2014, firms not currently testing ISO 20022 messages or taking them into their production system will have CA Web access to distribution events only. To obtain announcement information for redemption and reorganization events, firms not engaged in ISO 20022 messaging will be required to use the PTS/PBS functions currently in place for this purpose until those functions are retired at a future date, to be determined.

ISO 20022 messaging offers many benefits including real-time receipt of announcements, more fielded data than is available in CCF files and opportunity for efficiencies gained through straight-through processing. As DTC moves ahead with its plans to retire its proprietary CCF files, firms not engaged in ISO 20022 testing are urged to begin that effort in order to be prepared in time for the December 2015 deadline.

Questions about the Corporate Actions Transformation initiative can be directed to your Relationship Manager, DTC's Client Account Representative Team (CART) at 212-855-4270 or the CA Transformation mailbox at CATransformation@dtcc.com.