



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 102
DATE: 05/29/2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	D30968156	PLHNF	PAUL HARTMANN AG HEIDENHEIM AN DER BRENZ ORD SHS (DEU)	05/29/2014
ADD ***	T66932111	MDLAF	MEDIOLANUM SPA ORD SHS (ITA)	05/29/2014
ADD	105865117	BZRSF	BRAZIL RES INC WTS EXP 4 MONTH HOLD EXP 05/01/14	05/29/2014
12K SEC REINSTATEMENT	72341V108	PGFY	PINGIFY INTL INC COM STK	05/29/2014
ADD	73935B870	LALT	POWERSHARES ALTERNATIVE MULTI STRATEGY PORT ETF	05/29/2014
ADD	76118L102	RESN	RESONANT INC COM STK (DE)	05/29/2014
ADD	86564H109	SMTUY	SUMITOMO RUBBER INDS LTD UNSPONSORED ADR (JPN)	05/29/2014
DELETE				
DELETE	136918109	CAOMF	CANCOR MINES INC	05/29/2014
DELETE	29786J107	ECAV	ETRE REIT LLC COM SHS SER A-1 (DE)	05/29/2014
DELETE	32026V104	FFWM	FIRST FNDTN INC COM STK (CA)	05/29/2014
DELETE	448640102	HPAC	HYDE PK ACQUISITION CORP II COM STK (DE)	05/29/2014

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Non-Confidential

DELETE	616900205	MRFD	MORGAN'S FOODS INC (NEW)	05/29/2014
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MARKET MOVE

05990K106*	BANC	BANC CALIF INC COM STL (MD)	05/29/2014
		(XNAS TO: XNYS)	
05990K304*	BANCL TO: BOCA	BANC CALIF INC 7.5% SR NT DUE	05/29/2014
		4/15/2020 (XNAS TO: XNYS)	
05990K403*	BANCP TO: BANCPRC	BANC CALIF INC DEP SHS REPSTG 8%	05/29/2014
		1/40TH INT PERP PFD SER C	
		(XNAS TO: XNYS)	

SYMBOL CHANGES

037230208	ANTBD TO: ANTB	ANTRIABIO INC COM STK	05/29/2014
16952G108	CHGHE TO: CHGH	CHINA HERB GROUP HLDGS CORP (NV)	05/29/2014
29015T306	ELRAD TO: ELRA	ELRAY RESOURCES INC COM STK	05/29/2014
60743L109	ZDEXD TO: ZDEXF	MOBIUS RESOURCES INC ORD SHS	05/29/2014
		(CDA)	
68627Y105	ORFNE TO: ORFN	ORION FINANCIAL GROUP, LTD.	05/29/2014
		COMMON STOCK (WY)	
90239L107	TPMDE TO: TPMD	TYPE 1 MEDIA INC COM STK	05/29/2014

REORGANIZATION

DELETE	T93619103	TODGF	TOD S GROUP SPA SHS	
ADD ***	T9423Q101	TODGF	TOD S GROUP SPA ORD SHS (ITA)	05/29/2014
DELETE	640505301	NEOM	NEOMEDIA TECH INC COM STK (DE)	
ADD	640505400	NEOMD	NEOMEDIA TECHNOLOGIES INC NEW	05/29/2014
			COM STK (DE)	
DELETE	846822104	SPTN	SPARTAN STORES INC	
ADD	847215100	SPTN	SPARTANNASH CO COM STK (MI)	05/29/2014

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
