



A#:	7845
P&S #:	7415
Date:	05/30/2014
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

The following item is revised from Important Notice A#7838 (P&S#7408) dated May 9, 2014. The First Trade Date has changed from May 12, 2014 to June 2, 2014.

- The following firm will begin participation in the MF – Daily Price and Rate, Networking and DTCC Payment aXis services, as detailed below:

First Trade Date, June 2, 2014:

Quasar Distributors LLC/Muzinich Offshore Funds No. 3058
Networking Symbol: KH
Contact: Marcella Ryan
Phone: +353 1 776 8787

- The following firm will retire from all services, as detailed below:

Last Trade Date, May 29, 2014:

Guggenheim Distributors, LLC No. 4912

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following firm will begin participation in the Fund/SERV, Networking, Omni/SERV Activity & Position, DTCC Payment aXis, ACATS Fund/SERV and MF – Profile services, as detailed below:

First Trade Date, May 30, 2014:

Guggenheim Funds Distributors, LLC No. 4912
Networking Symbol: YD
Contact: George Andrews
Phone: (301) 296-5459

- The following firm will retire from all services, as detailed below:

Last Trade Date, May 30, 2014:

Frontier Trust Company/P.J. Robb Variable Corp. No. 6531

- The following firm will begin participation in the ACATS service, as detailed below:
First Trade Date, May 29, 2014:
Pinnacle Investments LLC/PCM Funds No. 3075
- The following firms will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, May 29, 2014:
Stone Coast Fund Services LLC No. 1647

First Trade Date, May 30, 2014:
Realty Capital Securities, LLC No. 1649
Realty Capital Securities, LLC No. 1650

First Trade Date, June 3, 2014:
Woodfield Fund Administration, LLC No. 1651
- The following additional number has been assigned to Quasar Distributors LLC (#5368) for the purpose of utilizing Fund/SERV service, as detailed below:
First Trade Date, June 3, 2014:
Quasar Distributors LLC/BlueBay Funds No. 3074
- The following additional number has been assigned to Quasar Distributors LLC (#5368) for the purpose of utilizing Fund/SERV, Networking and ACATS Fund/SERV services, as detailed below:
First Trade Date, June 3, 2014:
Quasar Distributors LLC/Sound Point Funds No. 3079
Networking Symbol: @D
Contact: Casey Sauer
Phone: (414) 203-9122
- Please update the NSCC Directory dated May 2014 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, May 28, 2014</u>				
From: Berghoff & Company, Inc.		0235	BEMC	
To: Berghoff & Company, Inc.	NFSC	0226	BEMC	
<u>Delete Last Trade Date, May 28, 2014</u>				
Glacier Point Capital LLC	WEDB	0103	GPCS	
<u>Delete Last Trade Date, May 30, 2014</u>				
KCG Americas LLC	PERS	0443	LIBE	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.