



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 089
DATE: MAY 9, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	G51694100	JLMKF	JOLIMARK HOLDINGS LIMITED ORDINARY SHARES (CAYMAN ISLANDS)	05/09/2014
ADD	G6856M106	OXBR	OXBRIDGE RE HOLDINGS LTD ORD SHS	05/09/2014
ADD	G6856M114	OXBRW	OXBRIDGE RE HLDGS LTD WTS EXP 3/26/2019	05/09/2014
ADD ***	G7419H113	RDFIF	REDEFINE INTERNATIONAL PLC ORDINARY SHARES (ISLE OF MAN)	05/09/2014
ADD ***	J57719122	NSOMF	NISSHIN OIL MILLS LTD ORDINARY SHARES (JAPAN)	05/09/2014
ADD ***	J6548T102	RNDOF	ROUND ONE CORP SAKAI CITY OSAKA PREFECTURE ORD SHS(JAPAN)	05/09/2014
ADD ***	J85968105	TKRKF	TOKAI RIKA CO LTD ORDINARY SHARES (JAPAN)	05/09/2014
ADD ***	Q7142U109	ORRAF	ORORA LTD ORDINARY SHARES (AUSTRALIA)	05/09/2014
ADD ***	Q8153C103	RYWHF	ROYAL WOLF HOLDINGS LTD ORDINARY SHARES (AUSTRALIA)	05/09/2014
ADD	Y09675151	TEUKF	BOX SHIPS INC. WARRANTS EXP 4/10/2019 (MARSHALL ISLAND)	05/09/2014

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Non-Confidential

ADD	01374T102	ABDC	ALCENTRA CAP CORP COM STK	05/09/2014
ADD	07311R109	BHROF	BAYSHORE PETE CORP COMMON SHARES (CANADA)	05/09/2014
ADD	19326U100	CCPT	COLE CREDIT PROPERTY TRUST IV INC COMMON STOCK	05/09/2014
ADD	750469207	RDUS	RADIUS HEALTH INC COM STK	05/09/2014
ADD	89977P106	TOUR	TUNIU CORP SPONS ADS REPSTG CL A ORD	05/09/2014

DELETE

DELETE ***	G4160K114	GIVZF	GREENVIRONMENT PLC ORDINARY SHARES (UNITED KINGDOM)	05/09/2014
DELETE	G6856M122	OXBRU	OXBRIDGE RE HOLDINGS LTD UNIT	05/09/2014
DELETE	098003205	BDCG	BONDS COM GROUP INC NEW COM STK (DE)	05/09/2014
DELETE	59283R104	CASA	MEXICAN RESTAURANTS INC	05/09/2014

MARKET MOVE

Y7388L129	SBLKF TO: SBPRC	SAFE BULKERS, INC CUM RED PERP PFD	05/09/2014
*		(MARSHALL ISLANDS) (XNAS TO: XNYS)	

SYMBOL CHANGES

368487203	GEIGE TO: GEIG	GEI GLOBAL ENERGY CORP COM STK	05/09/2014
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REORGANIZATION

DELETE	021342100	YRLLF	ALTA VISTA VENTURES LTD ORD SHS (CDA)	
ADD	021342209	YRLLD	ALTA VISTA VENTURES LTD ORDINARY SHARES (CANADA)	05/09/2014
DELETE	042914200	ARDWF	ARROWHEAD WATER PRODUCTS LTD COM (CANADA)	
ADD	14074C105	ARDWF	CAPTIVA VERDE INDUSTRIES, INC. COMMON STOCK (CANADA)	05/09/2014
DELETE	26518K105	DREUF	DUNDEE REAL ESTATE INVESTMENT TRUST UNIT (CANADA)	
ADD	26153W109	DREUF	DREAM INDUSTRIAL REAL ESTATE INVESTMENT TRUST UNIT (CANADA)	05/09/2014
DELETE	863309100	SRCAF	STRIA CAP INC COM SHS (CDA)	
ADD	86330Y105	SRCAF	STRIA LITHIUM, INC. COMMON SHARES (CANADA)	05/09/2014

NAME CHANGE

DELETE G5198J110 JFAID

JPMORGAN FLEMING AMERICAN
INVESTMENT TRUST PLC NEW ORDINARY

ADD *** G5198J110 JFAID

JPMORGAN AMERICAN INVESTMENT TRUST PLC NEW ORDINARY SHS (UK) 05/09/2014

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
