



A#:	a7894
P&S:	7464
Date:	June 10, 2014
To:	All Participants
From:	Enterprise Service Center
Attention:	Managing Partner/Officer, P&S Manager, Cashier, Data Processing Manager, Fund/SERV Participants
Subject:	INDEPENDENCE DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE, and NASDAQ Exchanges, FINRA, OTC Marketplaces, RTTM/CMU and all New York Banks will be closed on Friday, July 4, 2014 in observance of Independence Day. The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals, and UITS (CMU) for trade date July 3, 2014 will be accepted no later than 8:00 P.M. on Thursday, July 3, 2014.

CNS CONTINUOUS NET SETTLEMENT

Seg. Exemptions and Priority Requests for settlement Monday, July 7, 2014 will be accepted on Thursday, July 3, 2014.

CENTRAL DELIVERY AND SETTLEMENT

No Delivery or Settlement Operations will be provided on Friday, July 4, 2014.

INTER-CITY DELIVERIES

Inter-City Deliveries will be accepted on Thursday, July 3, 2014 for settlement Monday, July 7, 2014.

ACATS- AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE:

No ACATS activity will be accepted on Friday, July 4, 2014.

ACATS-FUND/SERV

No ACATS-FUND/SERV Interface activity will be accepted on Friday, July 4, 2014.

ACATS-IPS

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

No ACATS-IPS Interface activity will be accepted on Friday, July 4, 2014.

OBLIGATION WAREHOUSE

OW will be closed for the business day Friday, July 4, 2014. No input will be received or processed and no output generated for OW. Any submission after close of business Thursday, July 3, 2014 should be for the next business day Monday, July 7, 2014.

MUTUAL FUND COMMISSION SETTLEMENT

No Mutual Fund Commission Settlement activity will be accepted on Friday, July 4, 2014. There **will be** normal processing of MF Commissions on Saturday, July 5, 2014.

FUND/SERV AND NETWORKING & OMNI/SERV:

No Fund/SERV, Networking or OMNI/SERV activity will be accepted on Friday, July 4, 2014. There will be no distribution of any Fund/SERV, Networking or DSA/DSP data on Friday, July 4, 2014. There **will be** normal Networking and Omni/SERV processing on Saturday, July 5, 2014.

INSURANCE PROCESSING SERVICE

IPS files received on Friday, July 4, 2014 will be processed. POV files will be transmitted on Friday, July 4, 2014 (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding these files will be produced and distributed on Saturday, July 5, 2014 for POV, AAP and FAR. Insurance Commissions, APP/SUB, and LNA will be distributed on Monday, July 7, 2014. There will be no Insurance Commission or APP/SUB Settlement provided on Friday, July 4, 2014. There **will be** normal processing of the Insurance Application on Saturday, July 5, 2014.

Questions regarding this notice should be directed to DTCC Relationship Management (800-422-0582) or the undersigned at (212) 855-5947.

Robert Famigletti
Manager
Enterprise Service Center

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