



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 112
DATE: 06/12/2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	G6986L168	PDGNF	PENDRAGON PLC ORD SHS (UK)	06/12/2014
ADD ***	J0428D105	BEINF	BEING CO LTD TSU (JPN)	06/12/2014
ADD ***	J04851101	BBNSF	BUSINESS BRAIN SHOWA-OTA, INC ORD SHS (JPN)	06/12/2014
ADD ***	Y1306X109	CUUWF	CHENG SHIN RUBBER INDUSTRY CO LTD ORD SHS (TWN)	06/12/2014
ADD	43111Q108	HMKTF	HIGHMARK MARKETING CORP ORD SHS (CDA)	06/12/2014
ADD	524707502	LRPSP	LEGACY RESERVES LP PERP PFD UNIT FIXED TO FLTG (DE)	06/12/2014
ADD	60739U105	MOBL	MOBILEIRON INC COM STK (DE)	06/12/2014
ADD	66979M109	NMGRF	NOUVEAU MONDE MNG ENTERPRISES INC ORD SHS (CDA)	06/12/2014
ADD	826640104	SGNL	SIGNAL GENETICS INC COM STK (DE)	06/12/2014
DELETE				
DELETE ***	Q0698D100	AAGLF	AURORA OIL ORD SHS (AUS)	06/12/2014
DELETE ***	T0R28T104	ACTAF	ACTA SPA ORD SHS (GERMANY)	06/12/2014

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Non-Confidential

DELETE***	Y6366T104	NAOLF	NORDIC AMERN OFFSHORE LTD ORD SHS REG S (MHL)	06/12/2014
DELETE	07317Q113	BTEXF	BAYTEX ENERGY CORP SUBSCRIPTION RECEIPTS (CANADA)	06/12/2014
DELETE	86606J105	SFNS	SUMMIT FINANCIAL SERVICES GROUP INC	06/12/2014

MARKET MOVE

16942P101	CHGS TO: CHGS	CHINA GENGSHEG MINERALS, INC. COM STK (NV) (XASE TO XNAS)	06/12/2014
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SYMBOL CHANGES

07159Y109	MMRSD TO: BMTNF	BATTLE MTN GOLD INC ORD SHS (CDA)	06/12/2014
13874X202	CWXZD TO: CWXZF	CANWEL BLDG MATLS GRP LTD ORD SHS (CDA)	06/12/2014
247267206	BCDMD TO: BCDMF	DELRAND RES LTD COM SHS (CDA)	06/12/2014
46117L106	SHNZY TO: INTHY	INTOUCH HOLDINGS PUB COMP LTD. AMERICAN DEPOSITARY SHS	06/12/2014
60283L105	VGMTD TO: VGMTF	MINERA ALAMOS INC COM SHS (CDA)	06/12/2014
63970T308	NEBUD TO: NEBUF	NEBU RES INC ORD SHS NEW (CDA)	06/12/2014
691543409	OXLPP TO: OXLCN	OXFORD LANE CAP CORP. LIQUIDATION PREF (MD)	06/12/2014
84763M201	SPGZD TO: SPGZ	SPECTRUM GRP INTL INC COM STK (DE)	06/12/2014
89467Q200	TWIRD TO: TWIRF	TREE ISLAND STEEL LTD ORD SHS (CDA)	06/12/2014

REORGANIZATION

DELETE	03063Y103	ADMC	AMERICAS DIAMOND CORP COMMON	
ADD	598340107	MWOG	MIDWEST OIL AND GAS INC COM (NV)	06/12/2014
DELETE	671015105	OROE	ORO EAST MINING INC COM STK (DE)	
ADD	671015204	OROED	ORO EAST MINING INC (NEW) COM STK (DE)	06/12/2014
DELETE	847715109	SDSP	SPEEDSPORT BRANDING INC COM	
ADD	13767T102	SDSPD	CANNLABS INC (NEW) COM (NV)	06/12/2014

NAME CHANGE

DELETE	46429B333	GNMA	ISHARES GNMA BOND ETF	
ADD	46429B333	GNMA	ISHARES CORE GNMA BOND ETF	06/12/2014

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com